

Reinforcement Learning for finding large sets in extremal combinatorics

Karan Srivastava | Specialty Exam

Research supported in part by NSF Award DMS-2023239

Under supervision of Jordan Ellenberg (PhD Advisor) and Amy Cochran (IFDS Mentor)

Motivation

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Discovering faster matrix multiplication algorithms with reinforcement learning

[Alhussein Fawzi](#) , [Matej Balog](#), [Aja Huang](#), [Thomas Hubert](#), [Bernardino Romera-Paredes](#), [Mohammadamin Barekatain](#), [Alexander Novikov](#), [Francisco J. R. Ruiz](#), [Julian Schrittwieser](#), [Grzegorz Swirszcz](#), [David Silver](#), [Demis Hassabis](#) & [Pushmeet Kohli](#)

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Abstract

Improving the efficiency of algorithms for fundamental computations can have a widespread impact, as it can affect the overall speed of a large amount of computations. Matrix multiplication is one such primitive task, occurring in many systems—from neural networks to scientific computing routines. The automatic discovery of algorithms using machine learning offers the prospect of reaching beyond human intuition and outperforming the current best human-designed algorithms. However, automating the algorithm discovery procedure is intricate, as the space of possible algorithms is enormous. Here we report a deep reinforcement learning approach based on AlphaZero¹ for discovering efficient and provably correct algorithms for the multiplication of arbitrary matrices. Our agent, AlphaTensor, is trained to play a single-player game where the objective is finding tensor decompositions within a finite factor space. AlphaTensor discovered algorithms that outperform the state-of-the-art complexity for many matrix sizes. Particularly relevant is the case of 4×4 matrices in a finite field, where AlphaTensor’s algorithm improves on Strassen’s two-level algorithm for the

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Recent work shown that ML techniques can be useful for generating examples in math.

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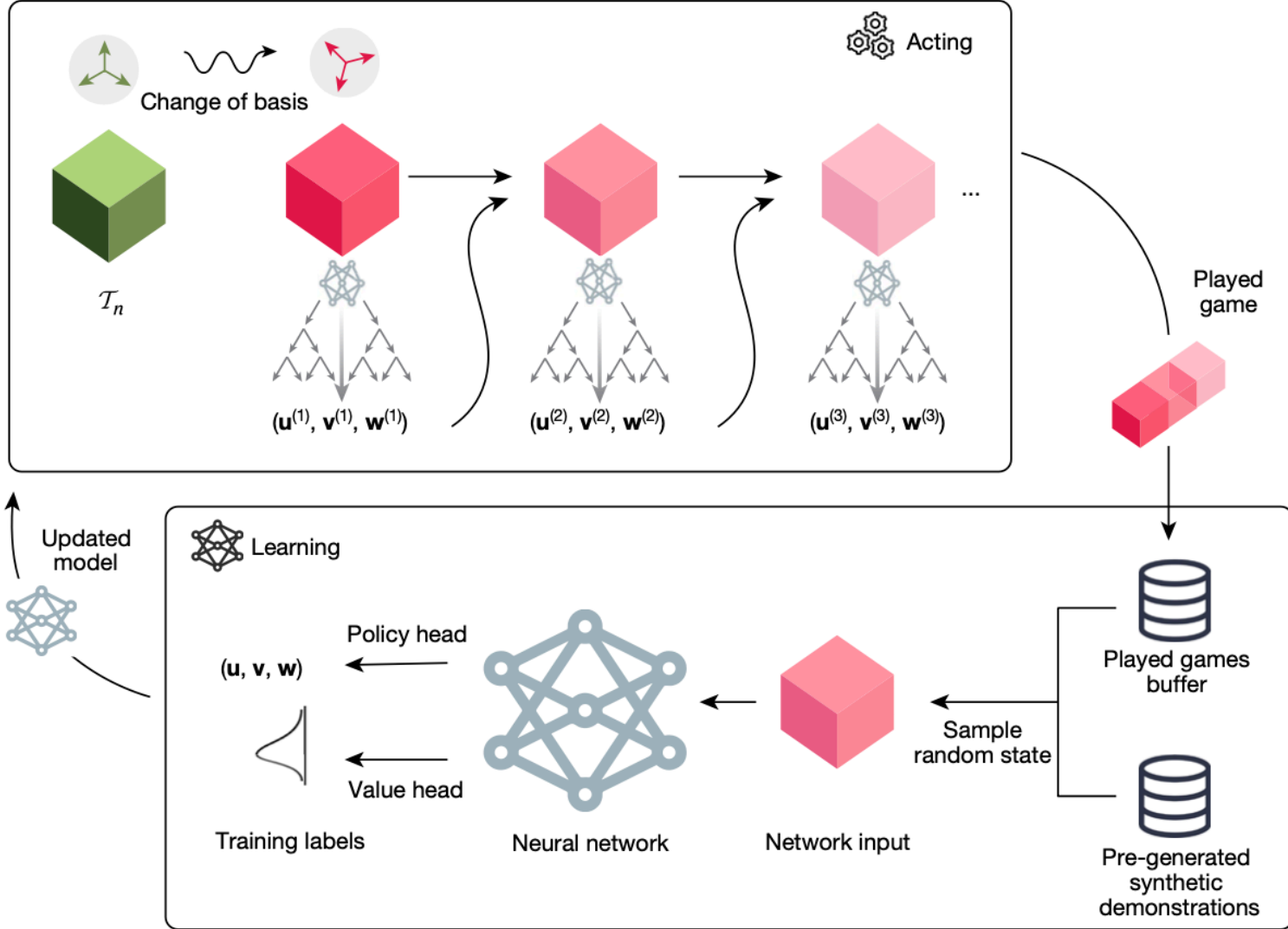
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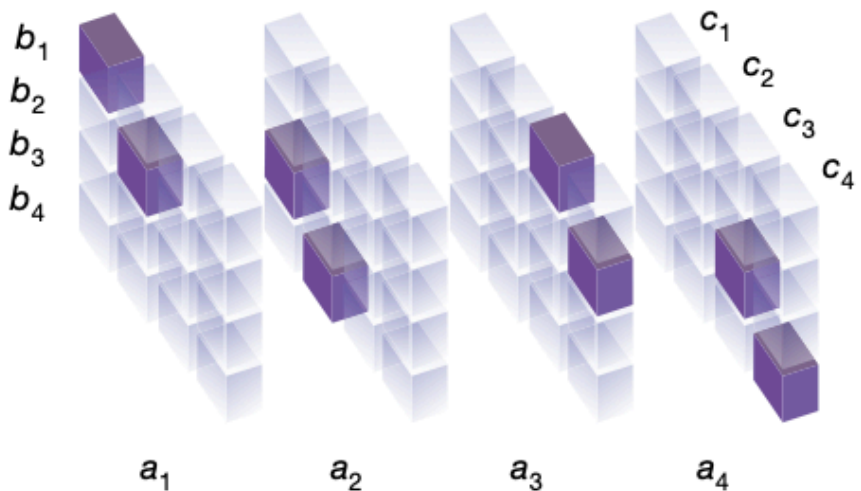
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$$\begin{pmatrix} c_1 & c_2 \\ c_3 & c_4 \end{pmatrix} = \begin{pmatrix} a_1 & a_2 \\ a_3 & a_4 \end{pmatrix} \cdot \begin{pmatrix} b_1 & b_2 \\ b_3 & b_4 \end{pmatrix}$$



$$\begin{aligned} m_1 &= (a_1 + a_4)(b_1 + b_4) \\ m_2 &= (a_3 + a_4)b_1 \\ m_3 &= a_1(b_2 - b_4) \\ m_4 &= a_4(b_3 - b_1) \\ m_5 &= (a_1 + a_2)b_4 \\ m_6 &= (a_3 - a_1)(b_1 + b_2) \\ m_7 &= (a_2 - a_4)(b_3 + b_4) \\ c_1 &= m_1 + m_4 - m_5 + m_7 \\ c_2 &= m_3 + m_5 \\ c_3 &= m_2 + m_4 \\ c_4 &= m_1 - m_2 + m_3 + m_6 \end{aligned}$$

Can we leverage ML algorithms to find difficult examples in math?
What can we learn from the examples that machines find?

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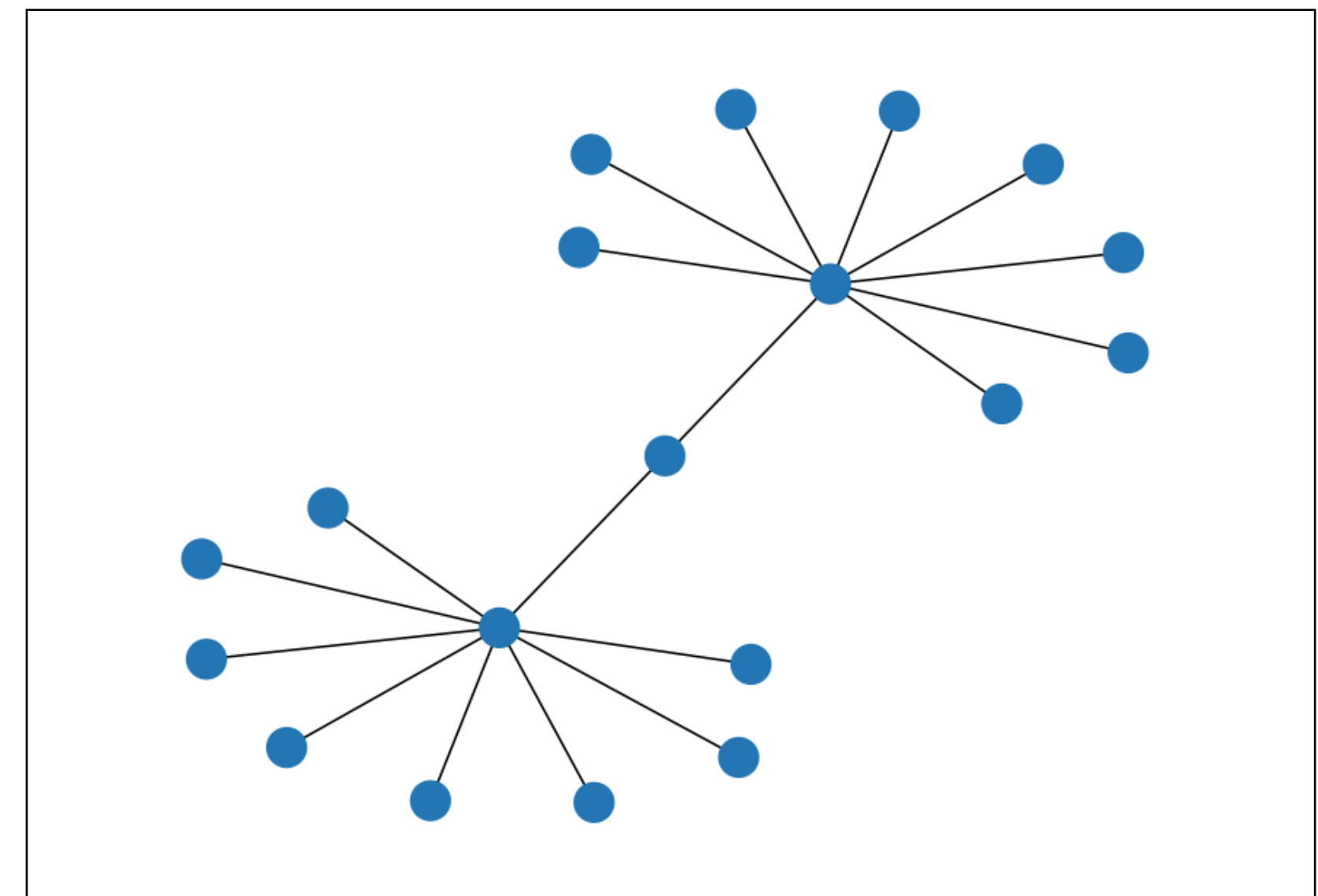
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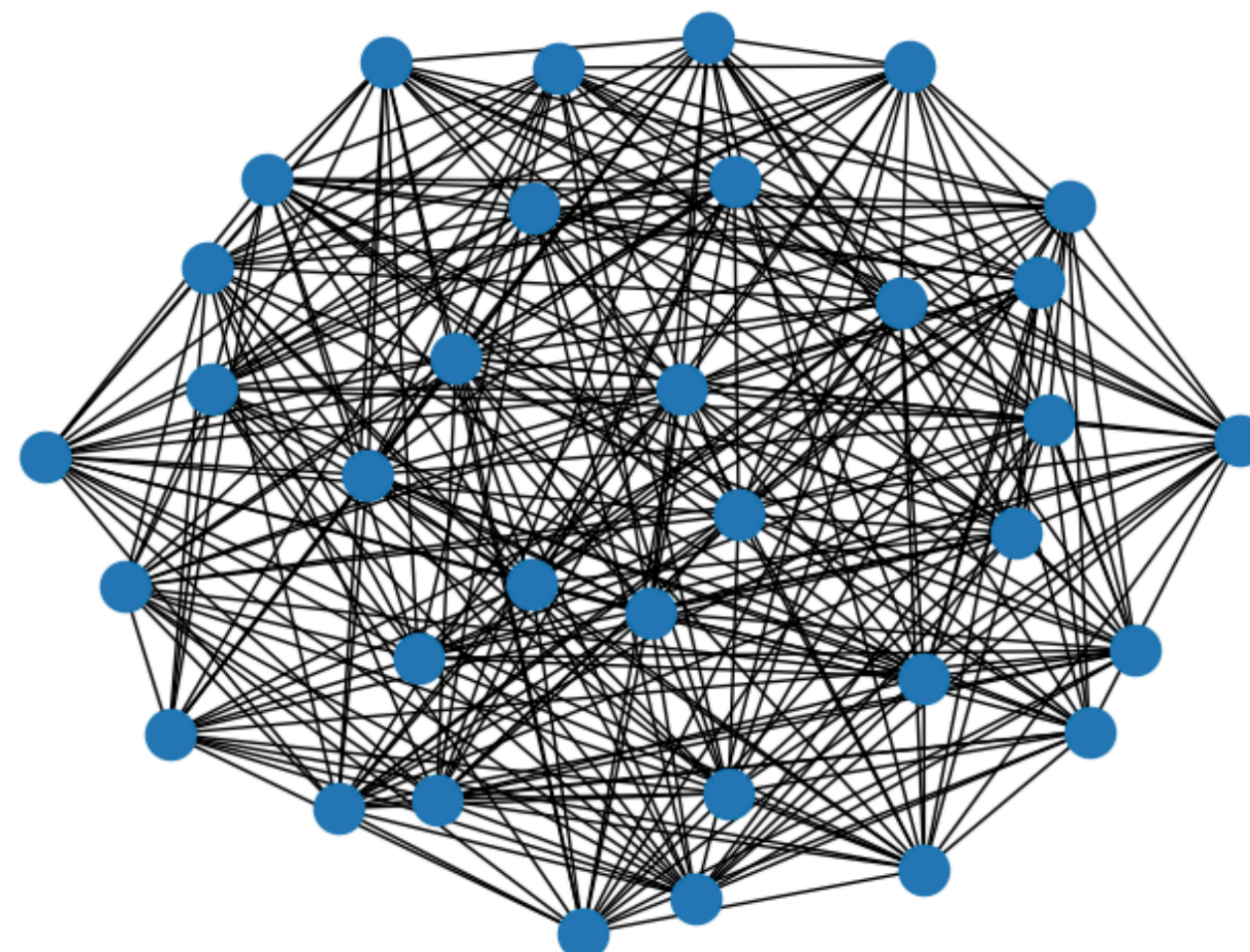
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$$\pi + \partial_{\lfloor \frac{2D}{3} \rfloor} > 0$$

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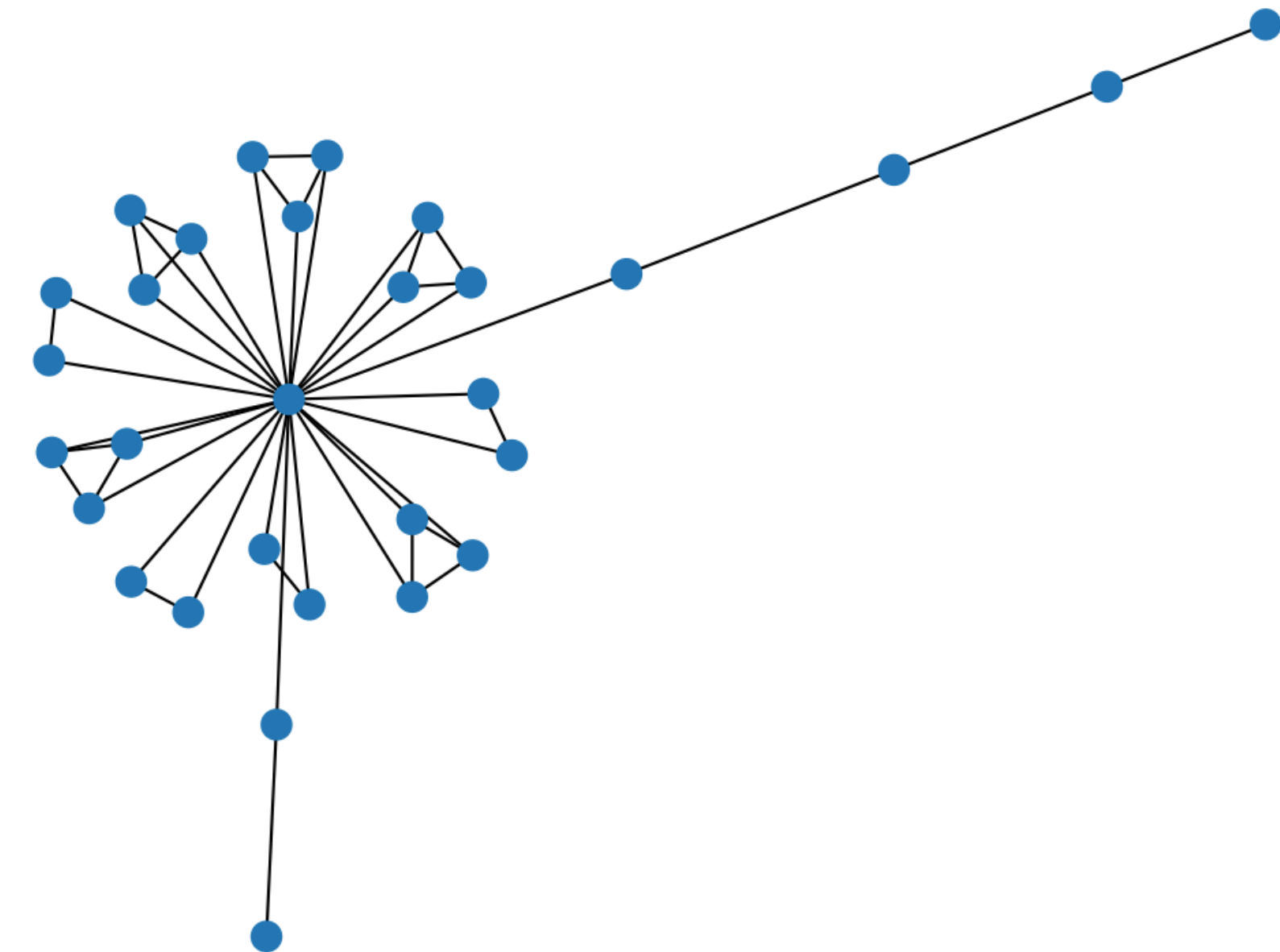
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Not a counterexample.....

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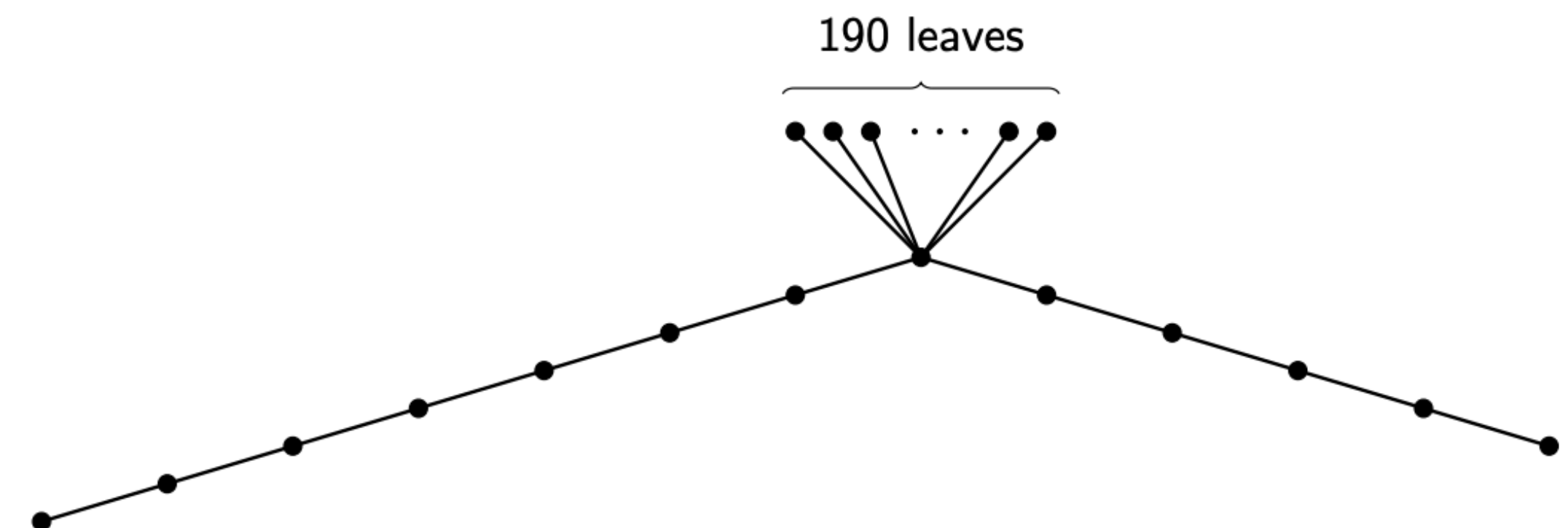
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Adapted from [Wagner, 2021]:

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Immediate Counterexample

Not a Counterexample and / or not insightful

Almost a Counterexample
But was able to extend to counterexample

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- Can we teach a neural network to generate these large sets with no prior knowledge? (Of course, to do it well, we need heuristics)
- Can we (as humans) learn heuristic rules from these constructions and use them to find better examples, gain insights into the properties of these sets, and prove theorems?

RL

Reinforcement Learning: Learning Decisions to Maximize Reward

- An agent plays a game many times



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- An agent plays a game many times
- It knows the current state, the current actions it can make, and the resulting state.



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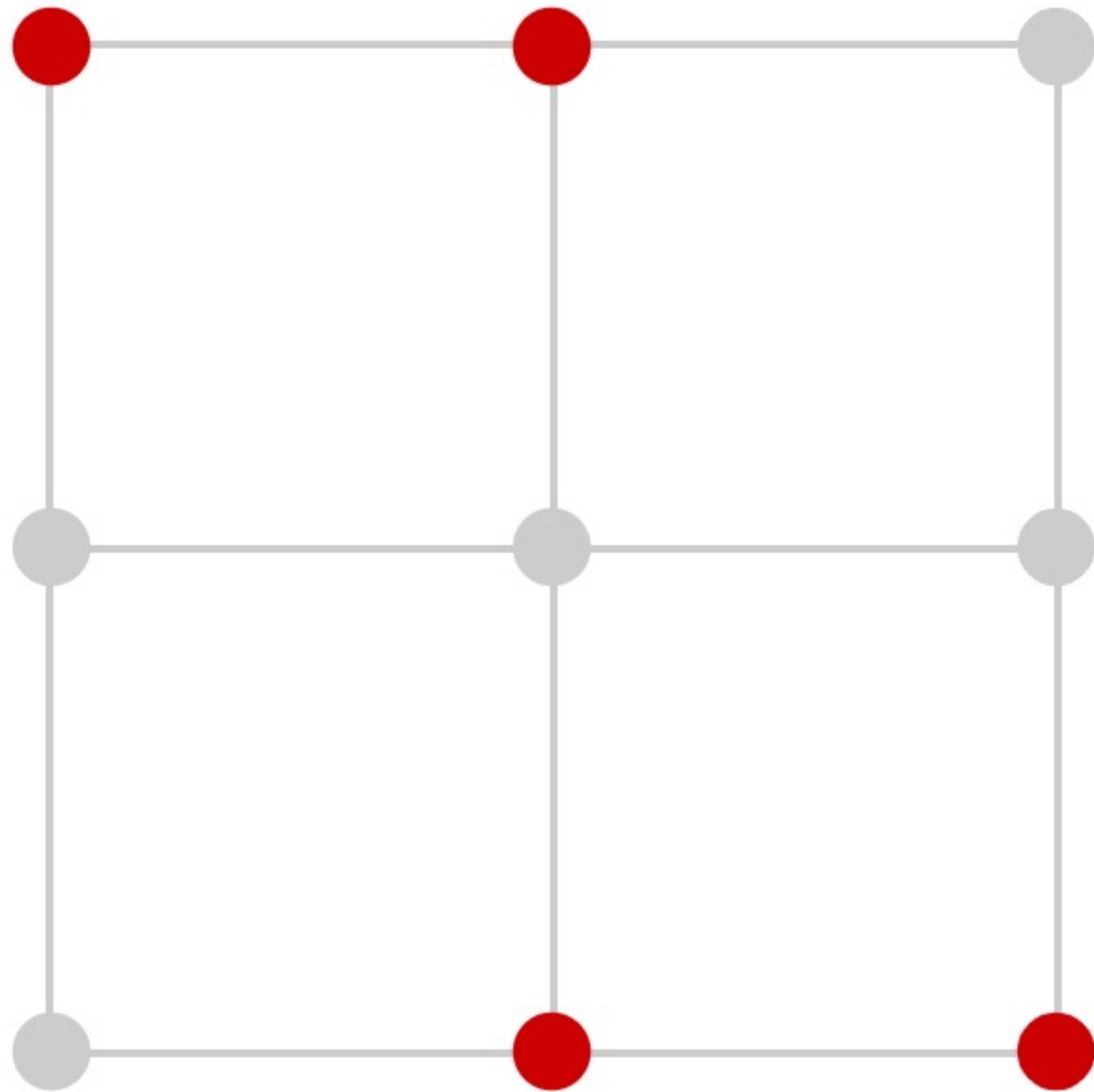
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- It knows the current state, the current actions it can make, and the resulting state.
- It does not know how good or bad each state is. It does know the reward it gains at the end of the game
- Through many games, tries to maximize rewards



Problem 1



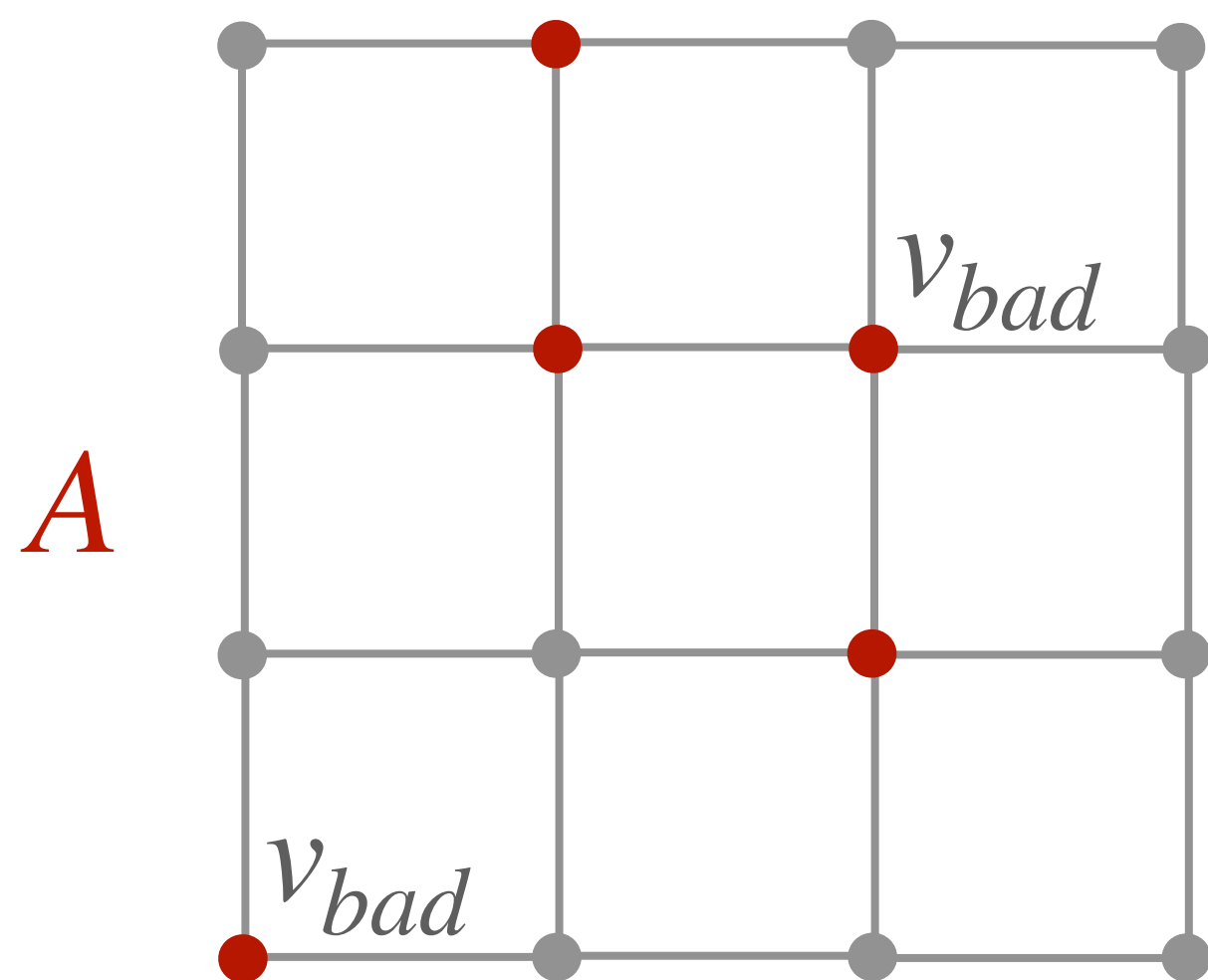
Given an $n \times n$ finite integer lattice, what's the size of the largest subset such that no three points form an isosceles triangle?

Problem 1

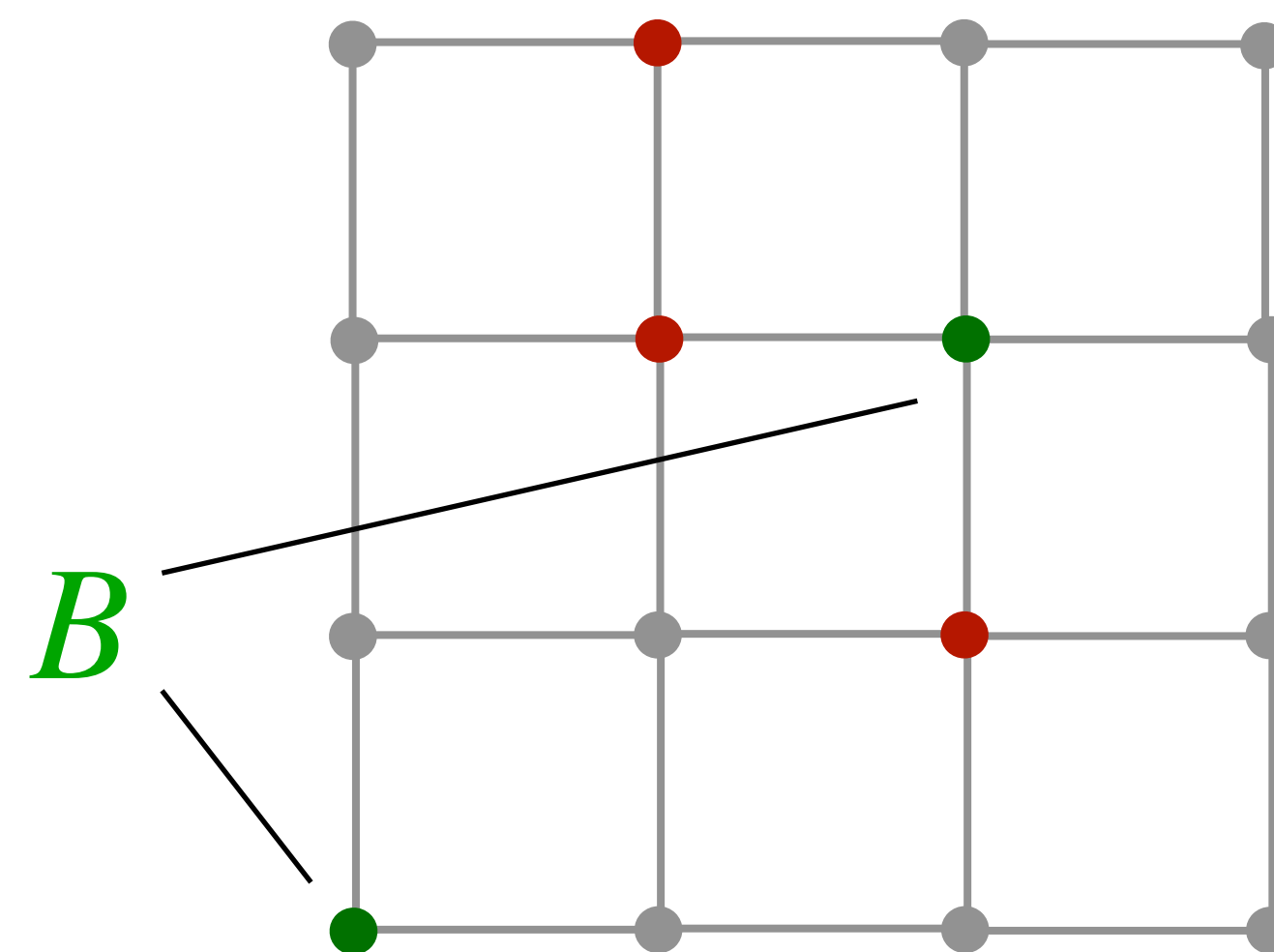
What do we know?

Lower Bound

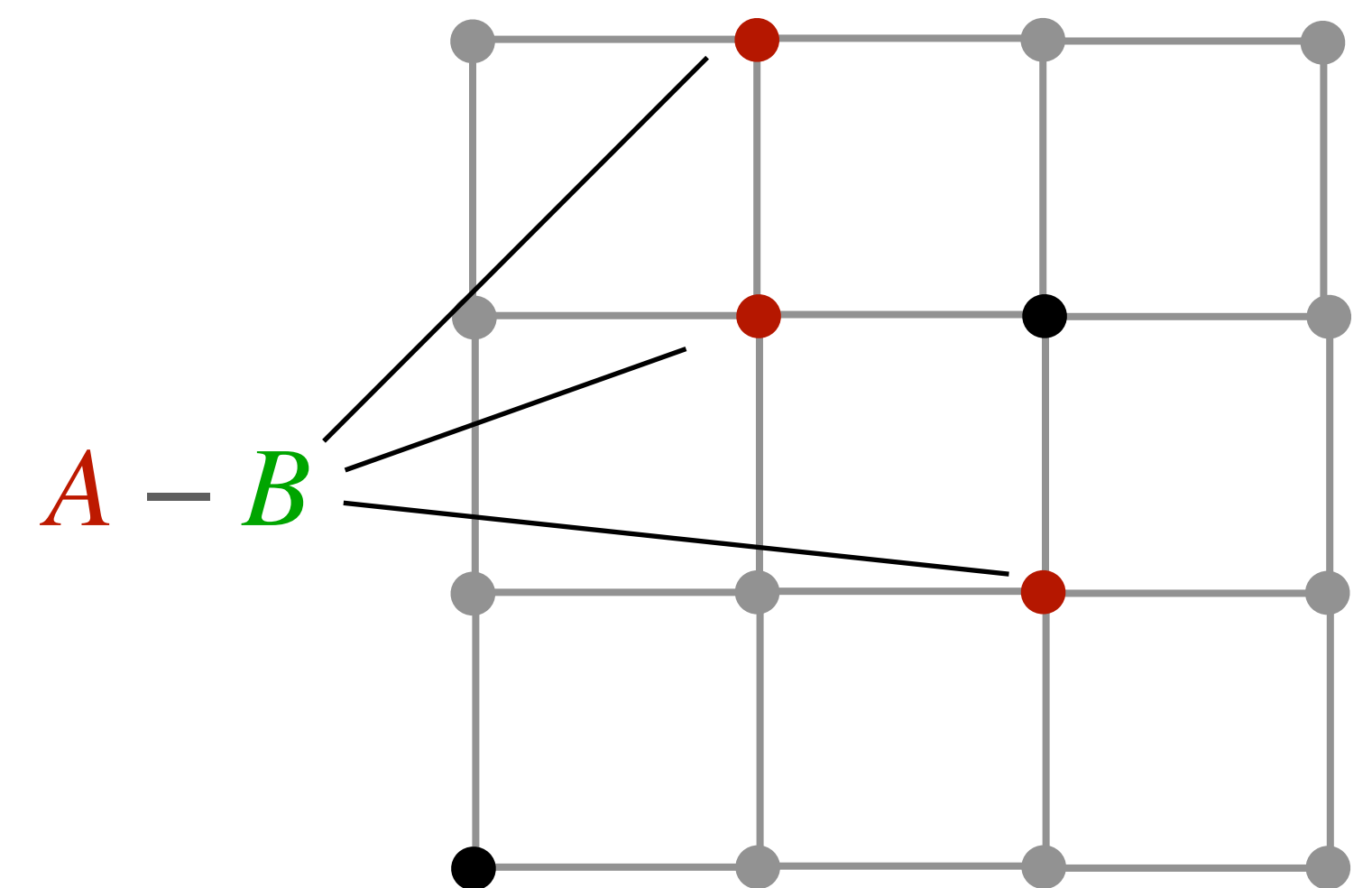
$$\epsilon' \frac{N}{\sqrt{\log N}} \leq |\text{Largest Set}|$$



$$P(v \text{ is bad}) \leq Cp^3 N^2 \log N$$



$$\mathbb{E}(|B|) \leq Cp^3 N^4 \log N$$



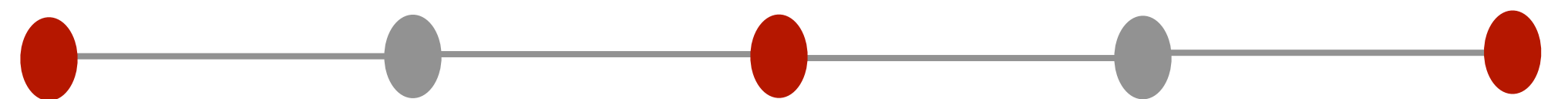
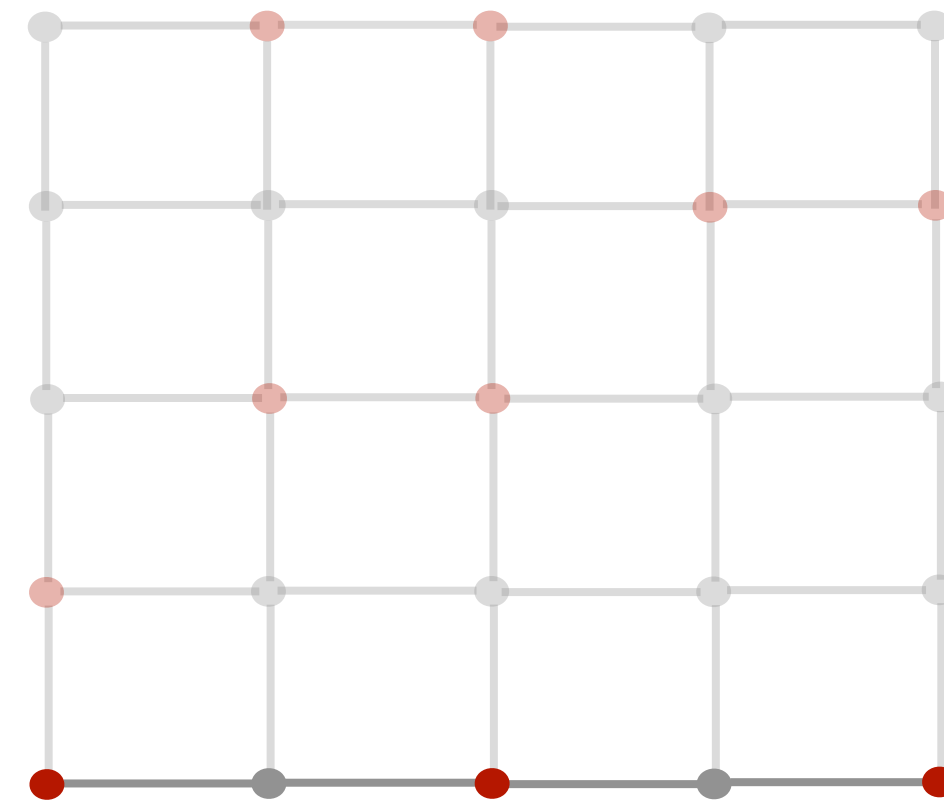
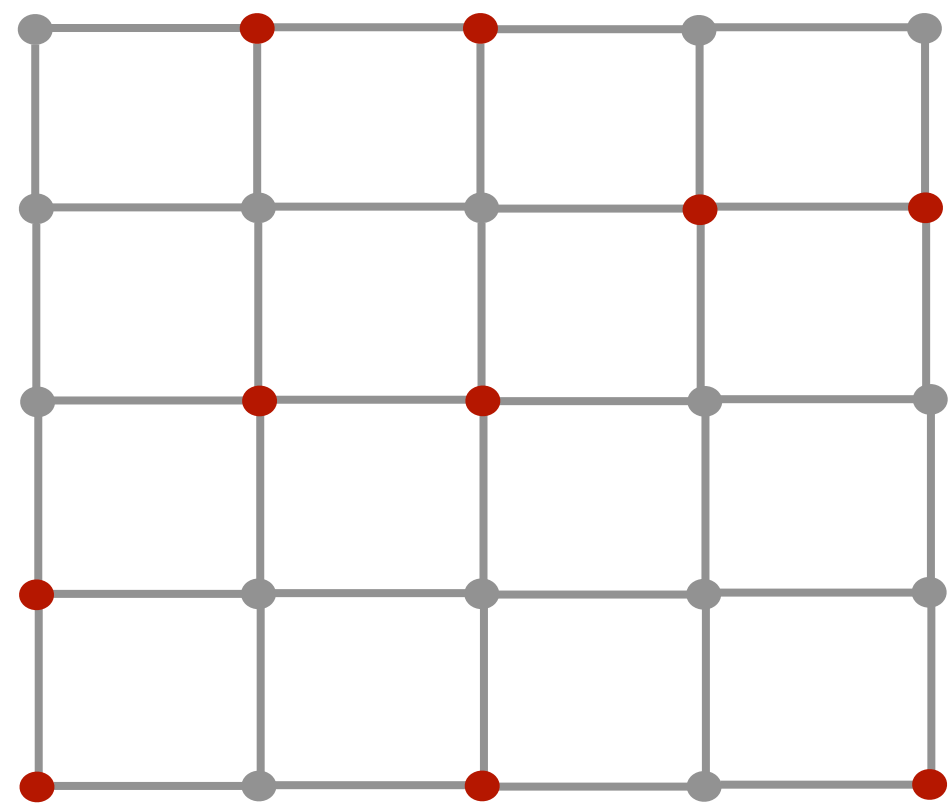
$$\mathbb{E}(|A - B|) \geq \epsilon' \frac{N}{\sqrt{\log N}}$$

Problem 1

What do we know?

Upper Bound

$$|\text{Largest Set}| \leq \exp(-c(\log N)^{\frac{1}{9}})N^2$$



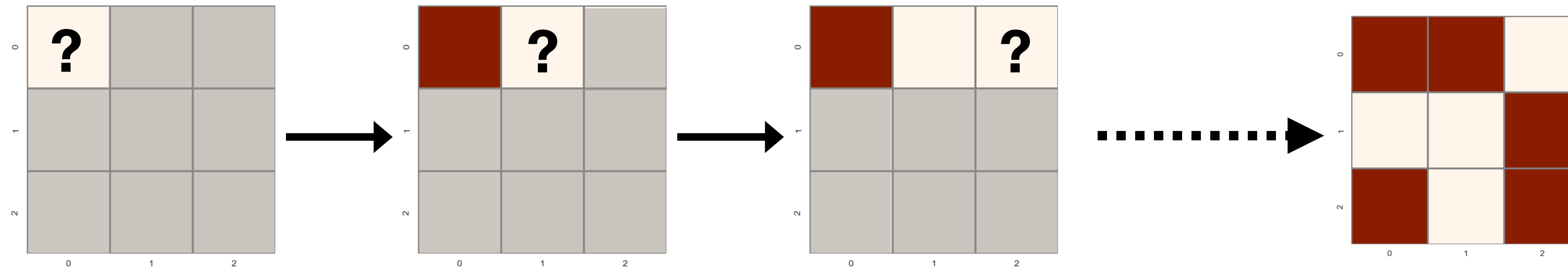
Problem 1

Final Bounds

$$e' \frac{N}{\sqrt{\log N}} \leq |\text{Largest Set}| \leq \exp(-c(\log N)^{\frac{1}{9}}) N^2$$

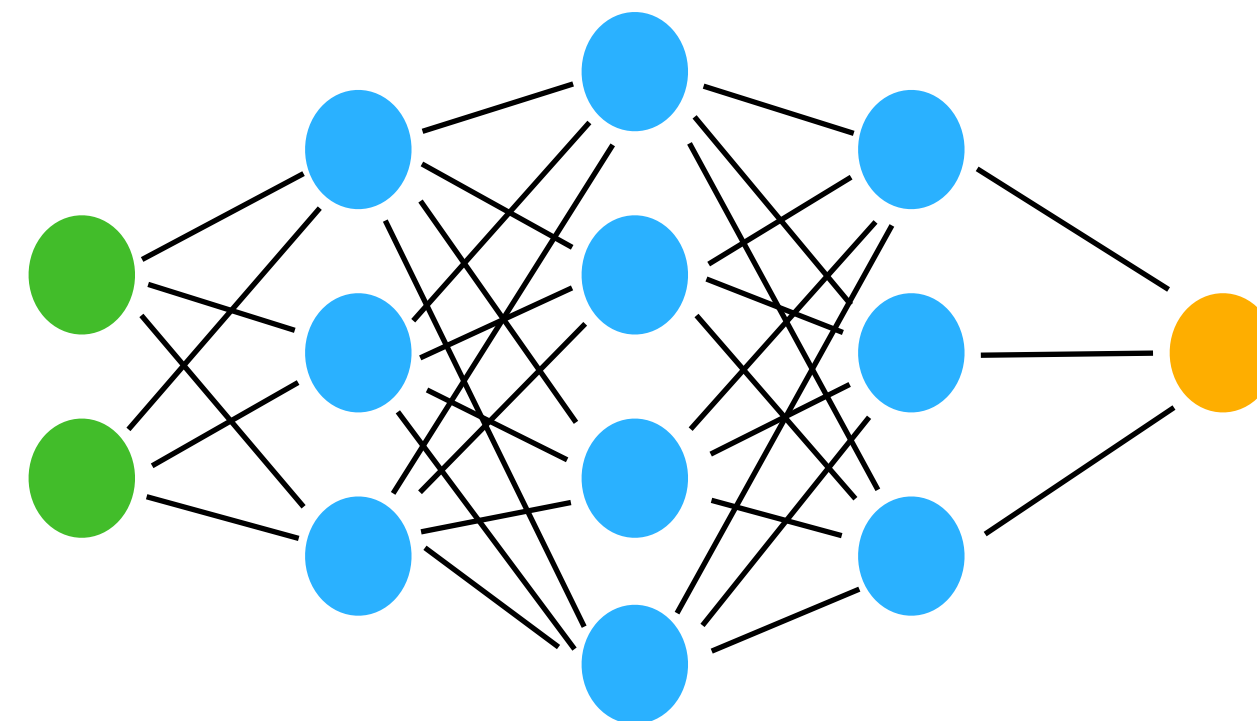
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Game Setup: Binary action



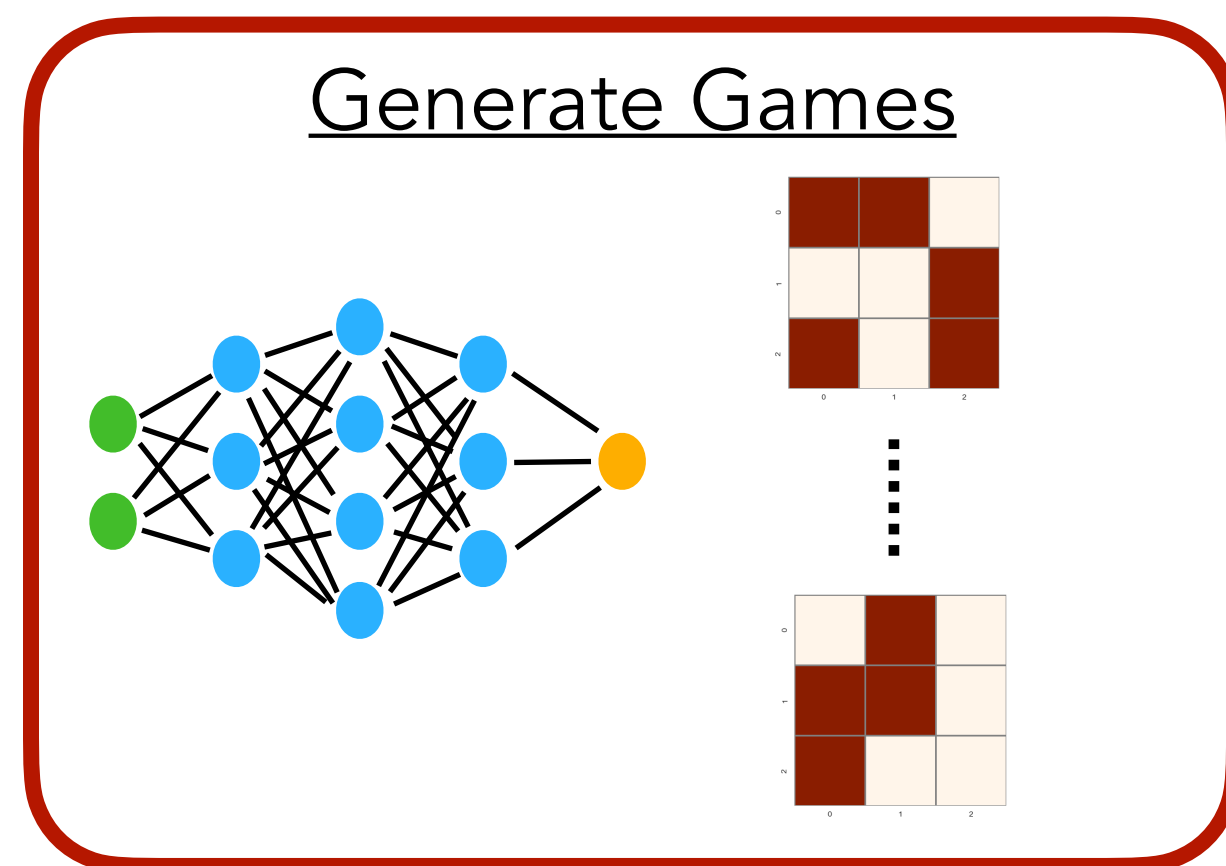
Model: Neural Network

Feed Forward Step
3 Hidden Layers
(128, 64, 4)
Relu Hidden Activation
Sigmoid Output Activation



Problem 1

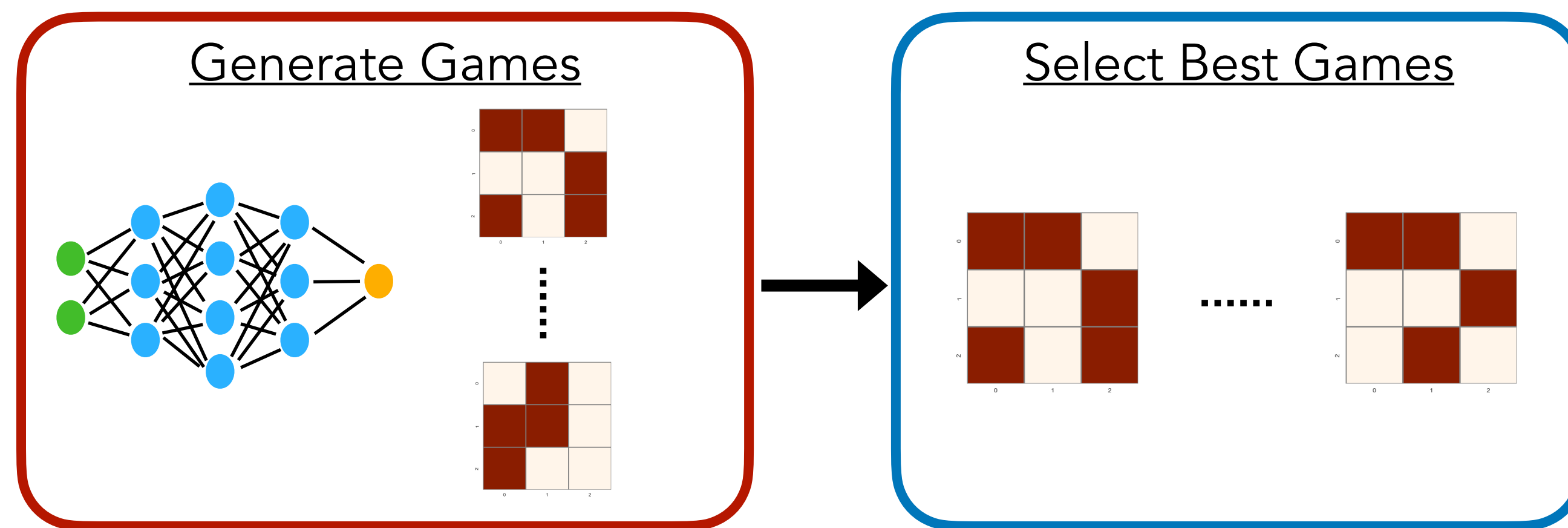
Training Paradigm



Reward the agent for each game: $s(\cdot) = -(\# \text{ of isosceles } \Delta\text{'s}) + \lambda \cdot (\# \text{ of points})$

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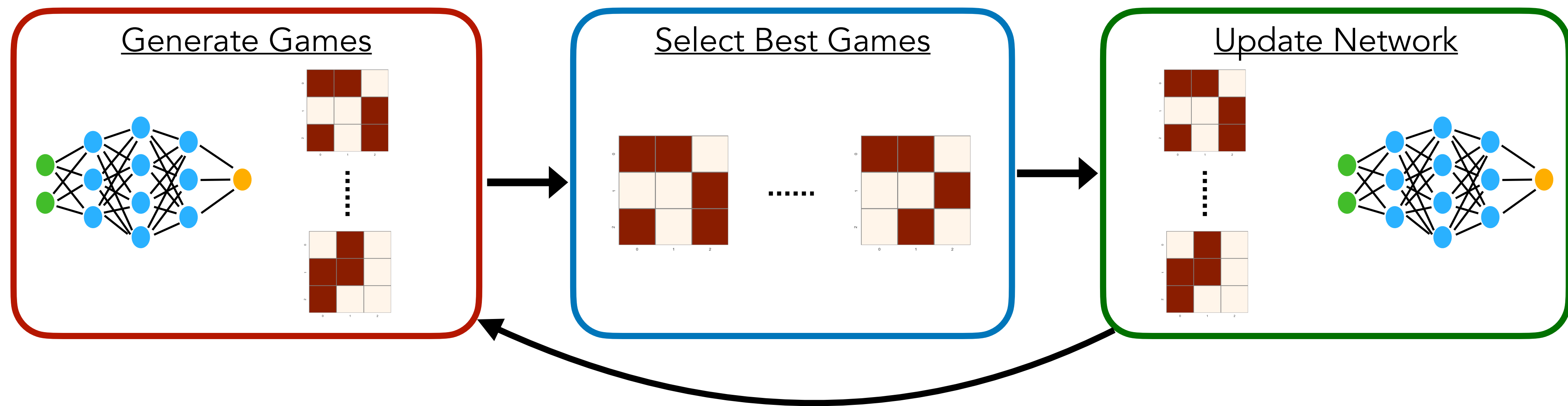
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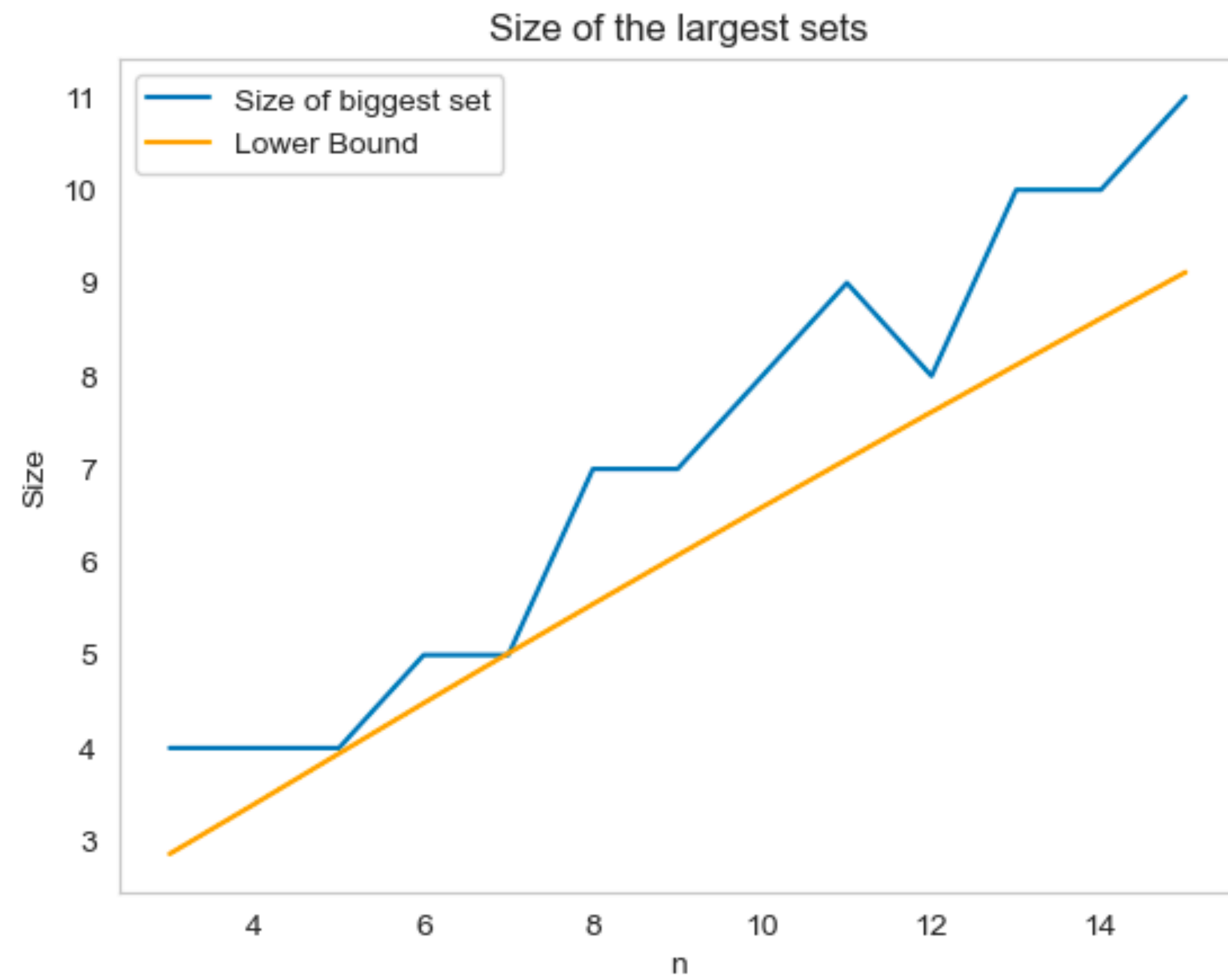
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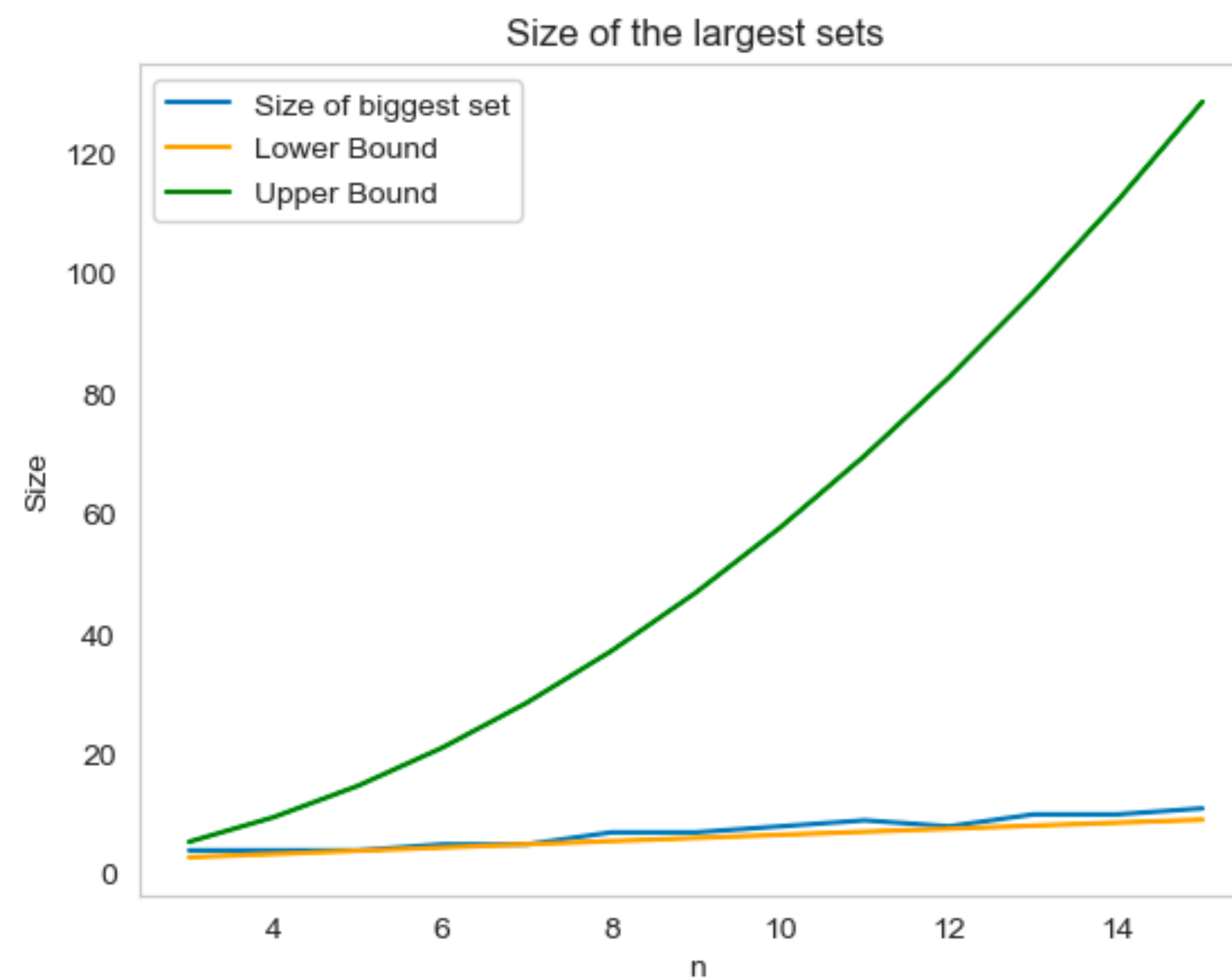
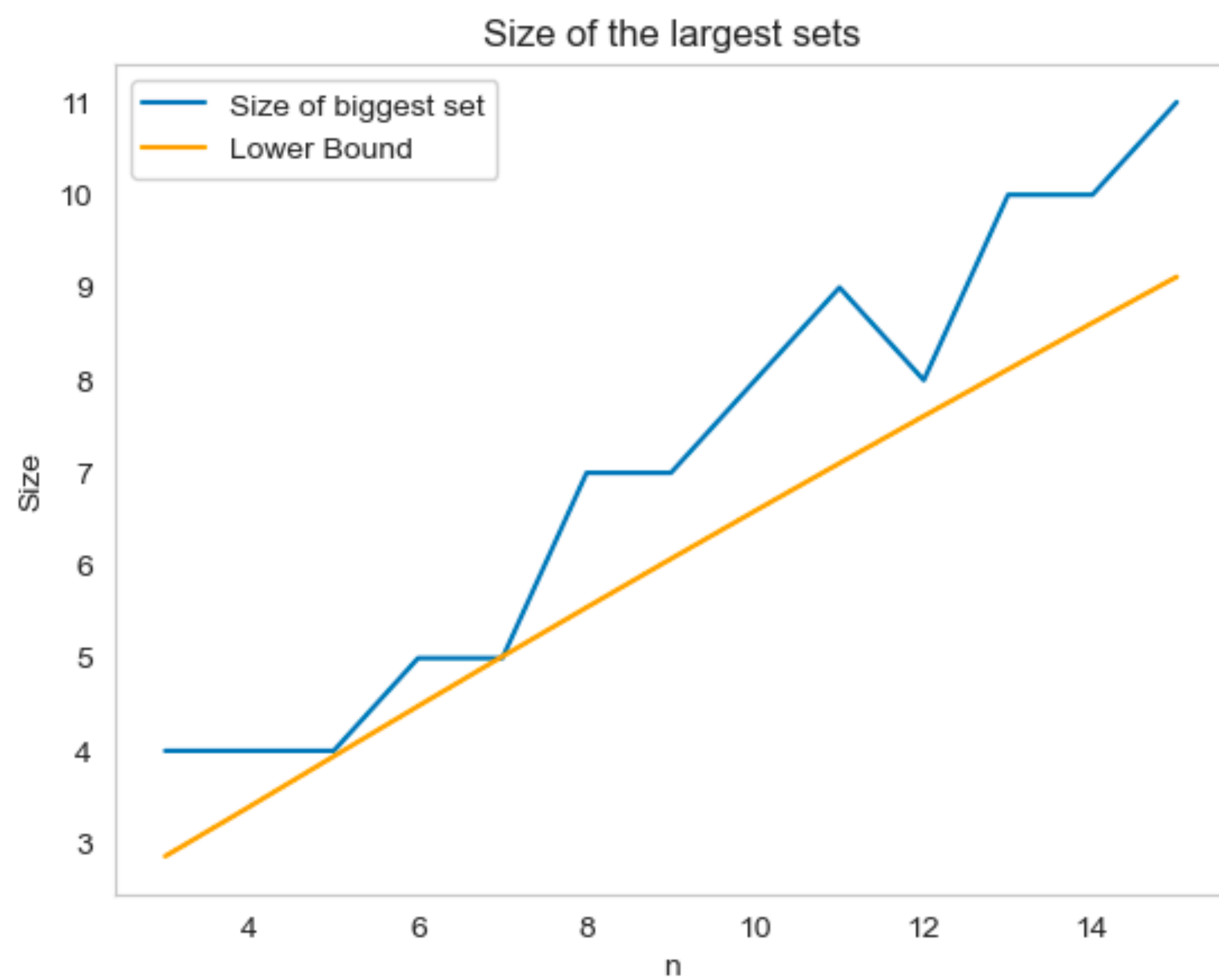
Results

....Not that great



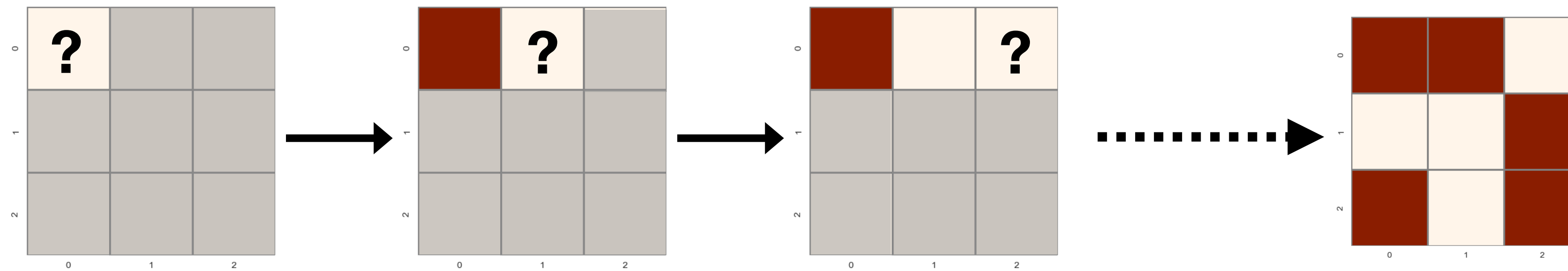
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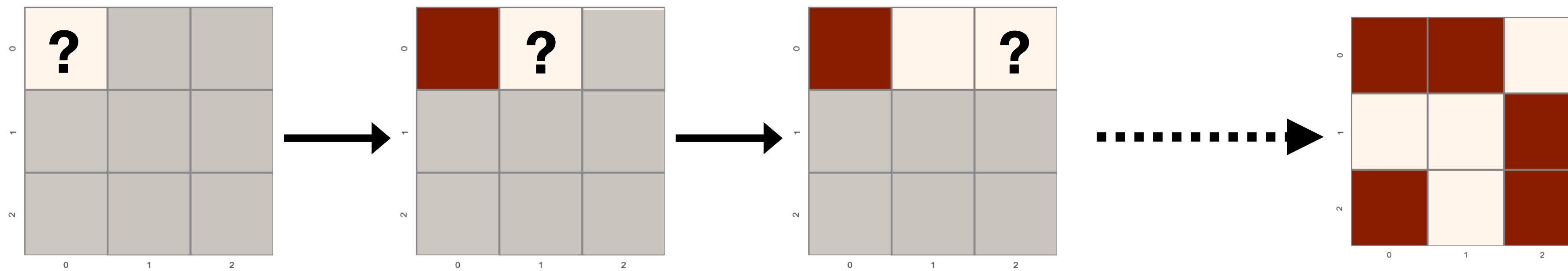
Game setup



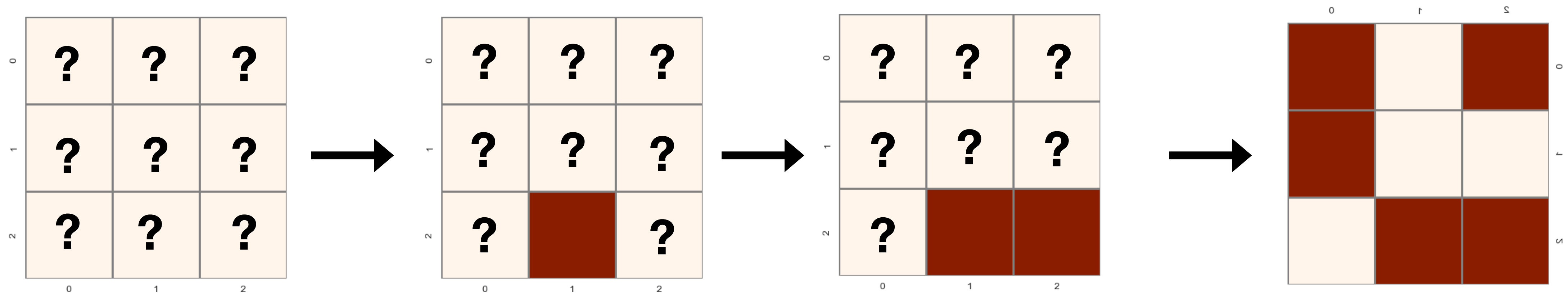
Model only 'sees' extremely local information. How can we set it up?

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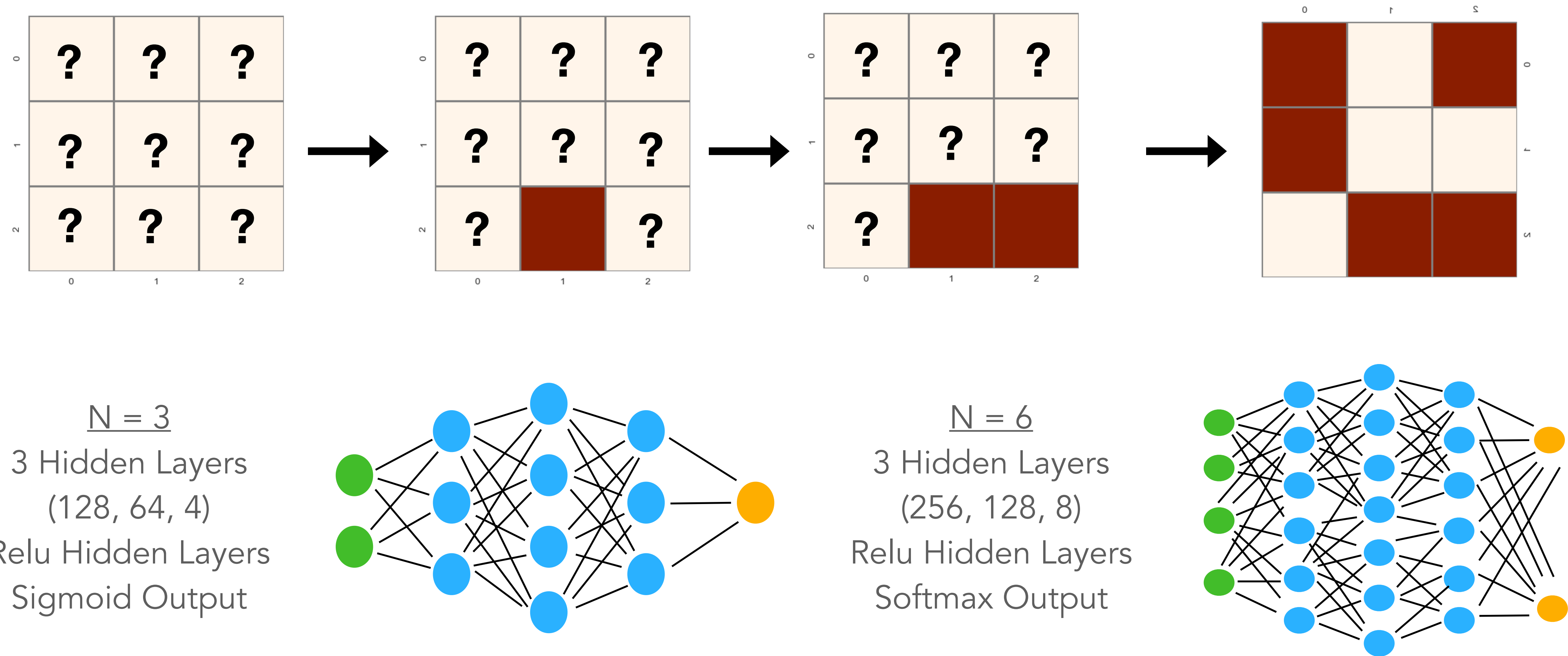
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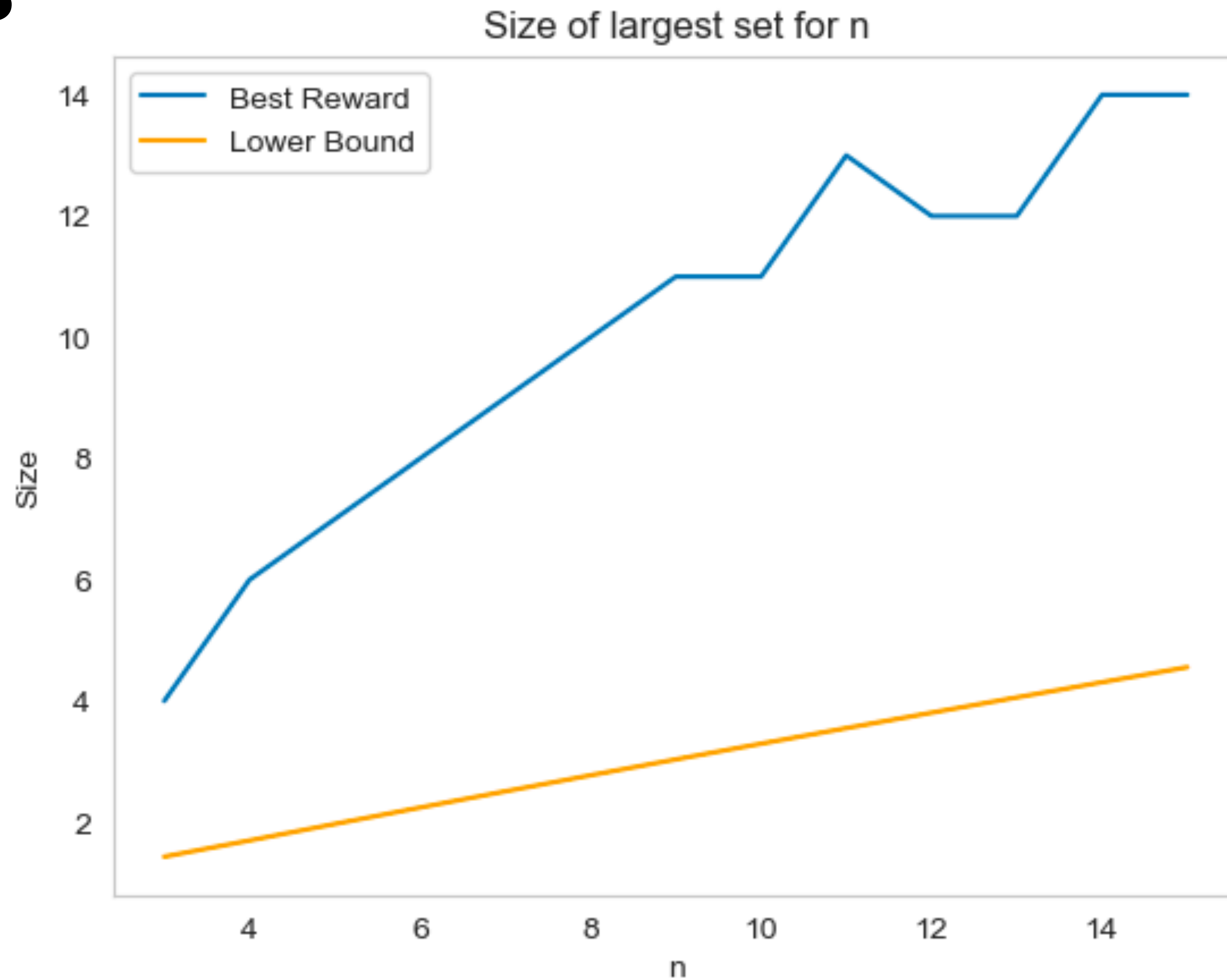
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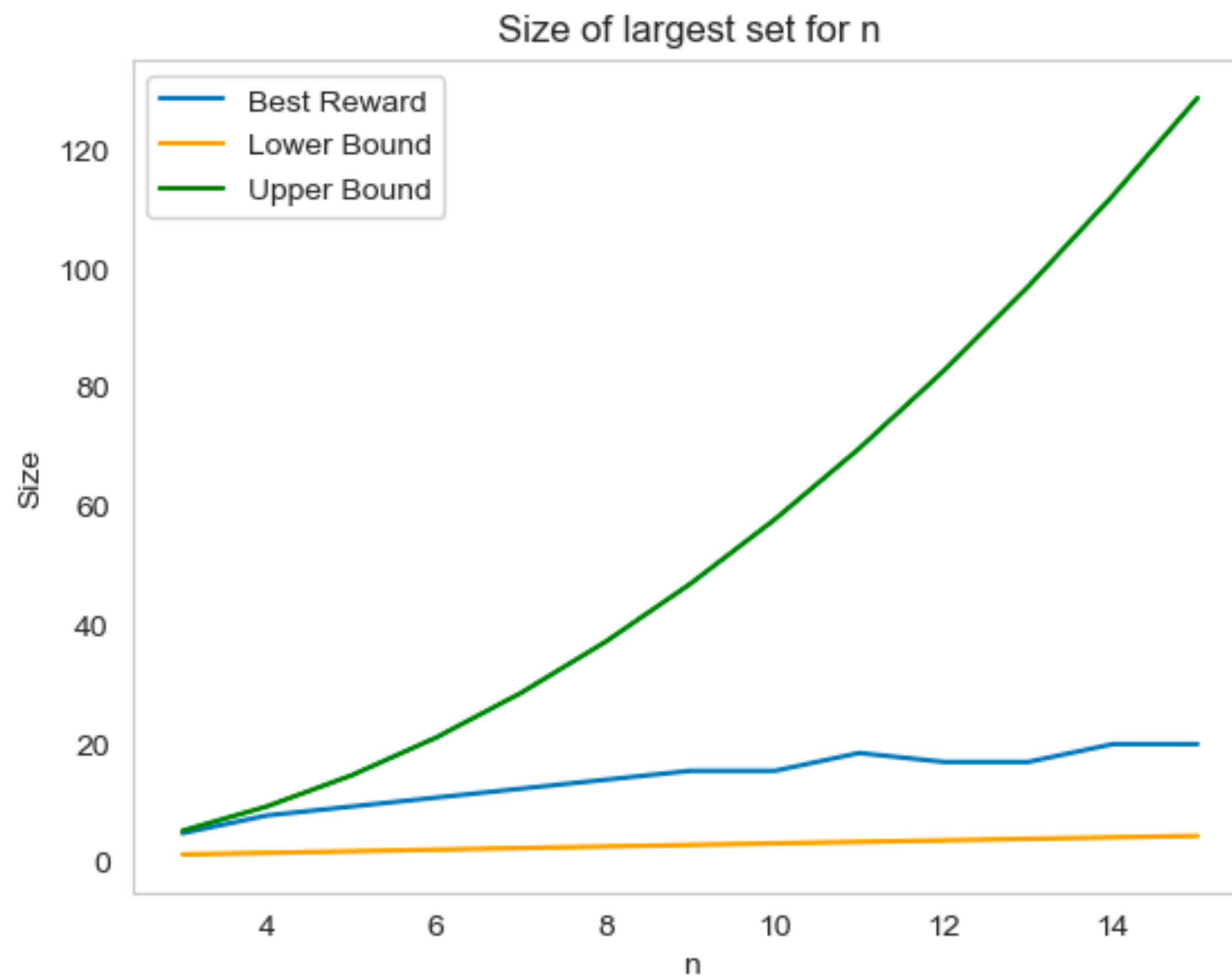
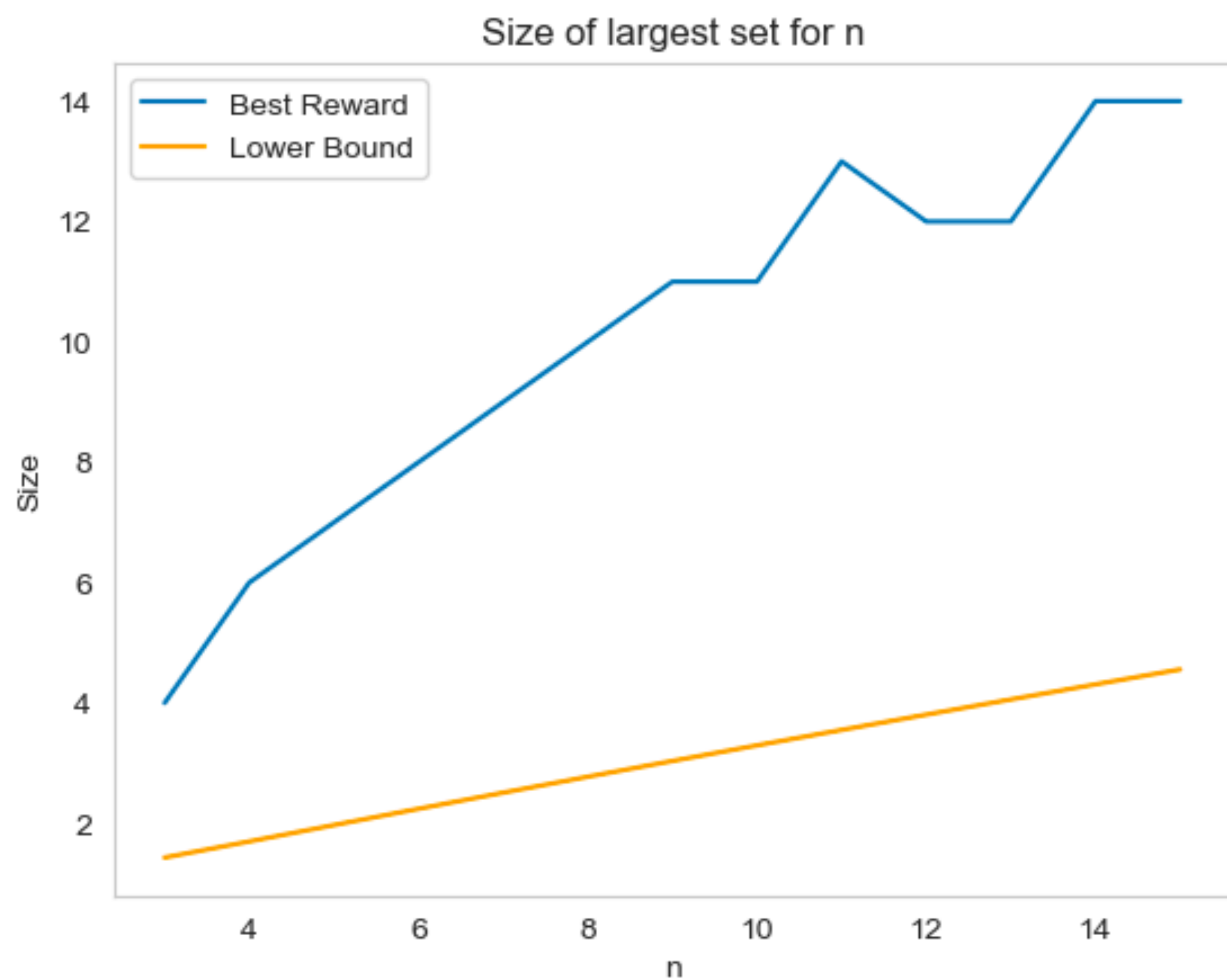
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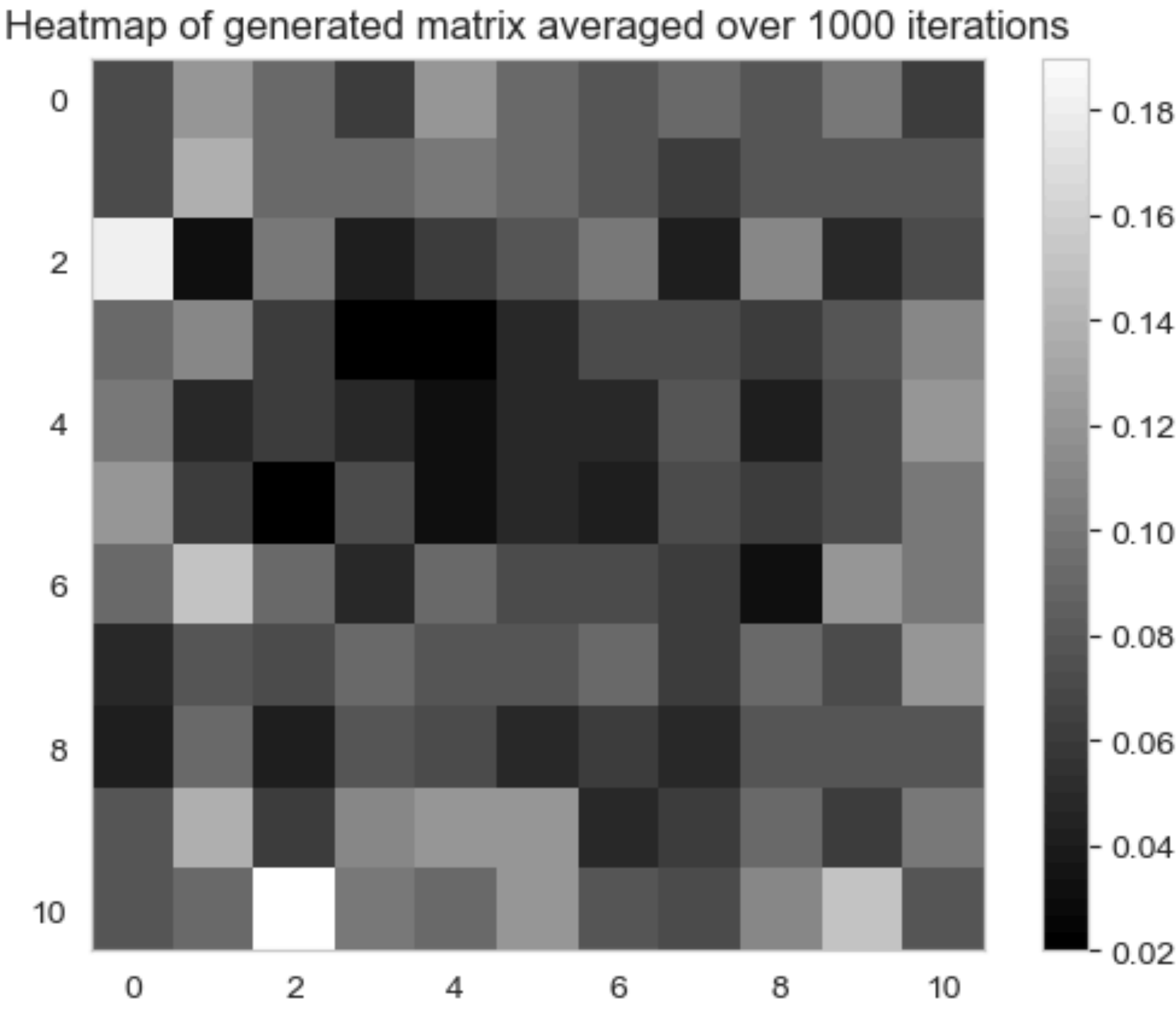
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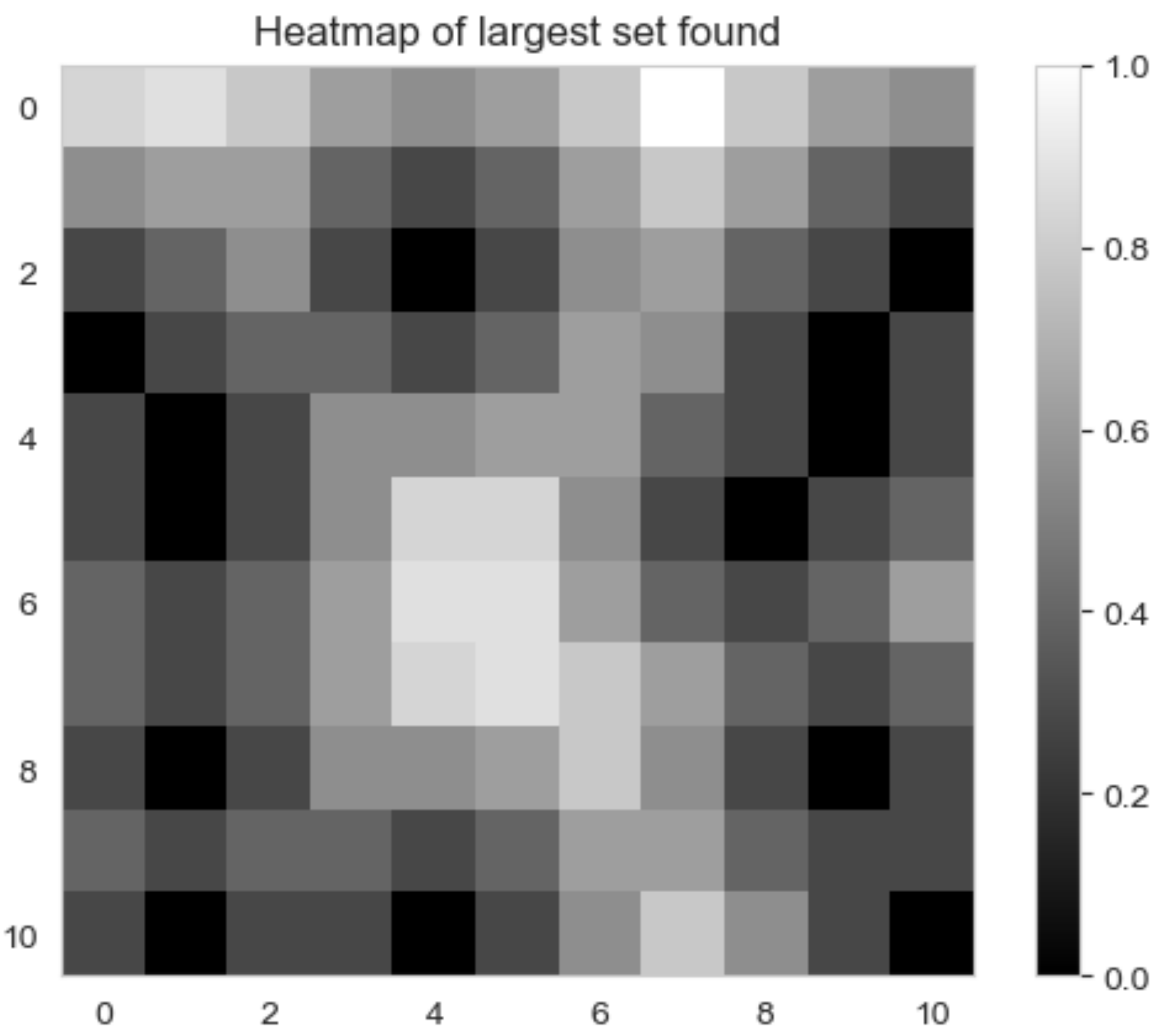
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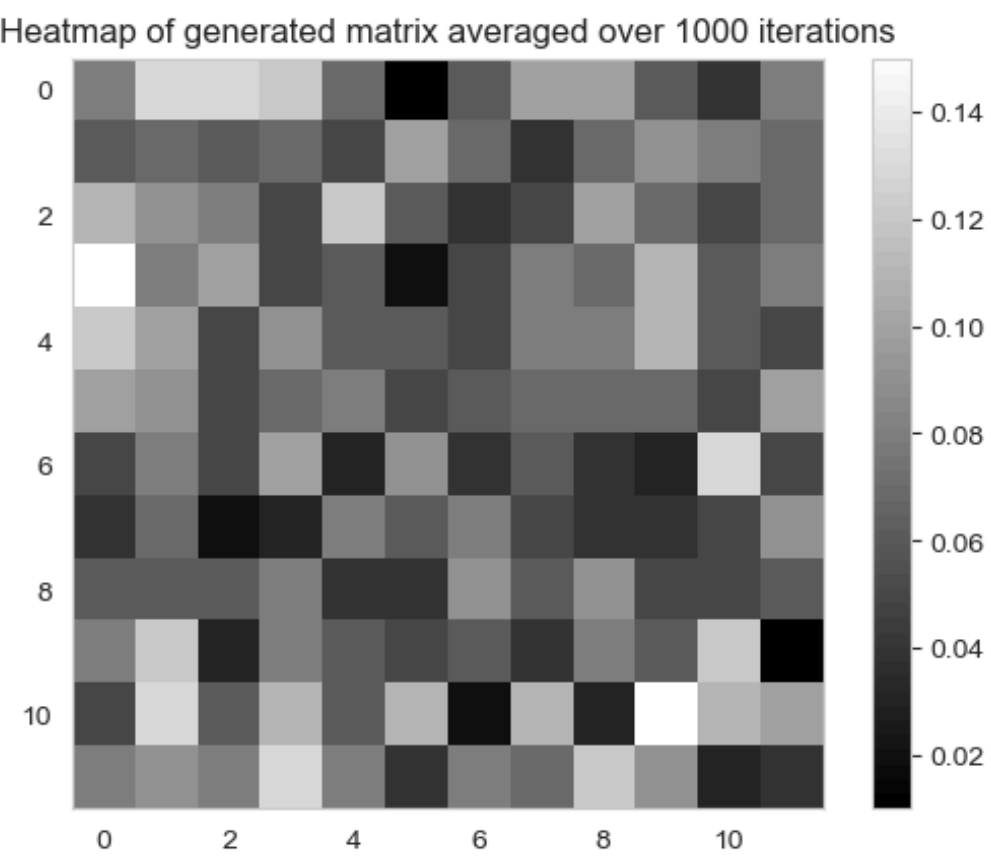


Lower bound method
 $N = 11$

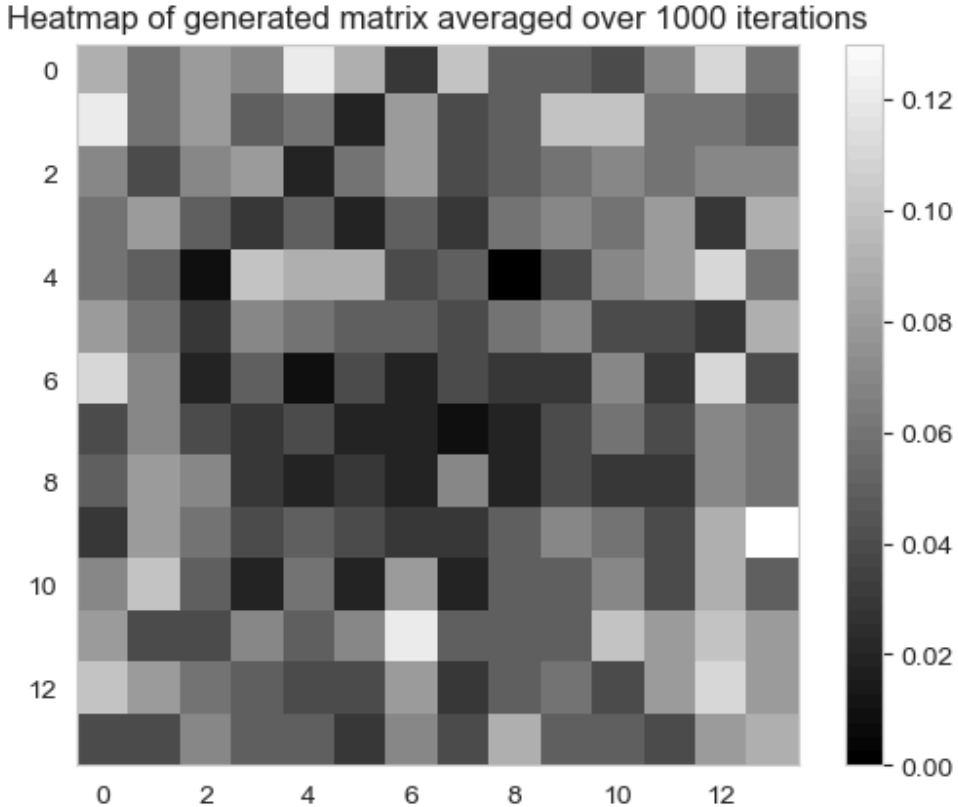


RL generated map
 $N = 11$

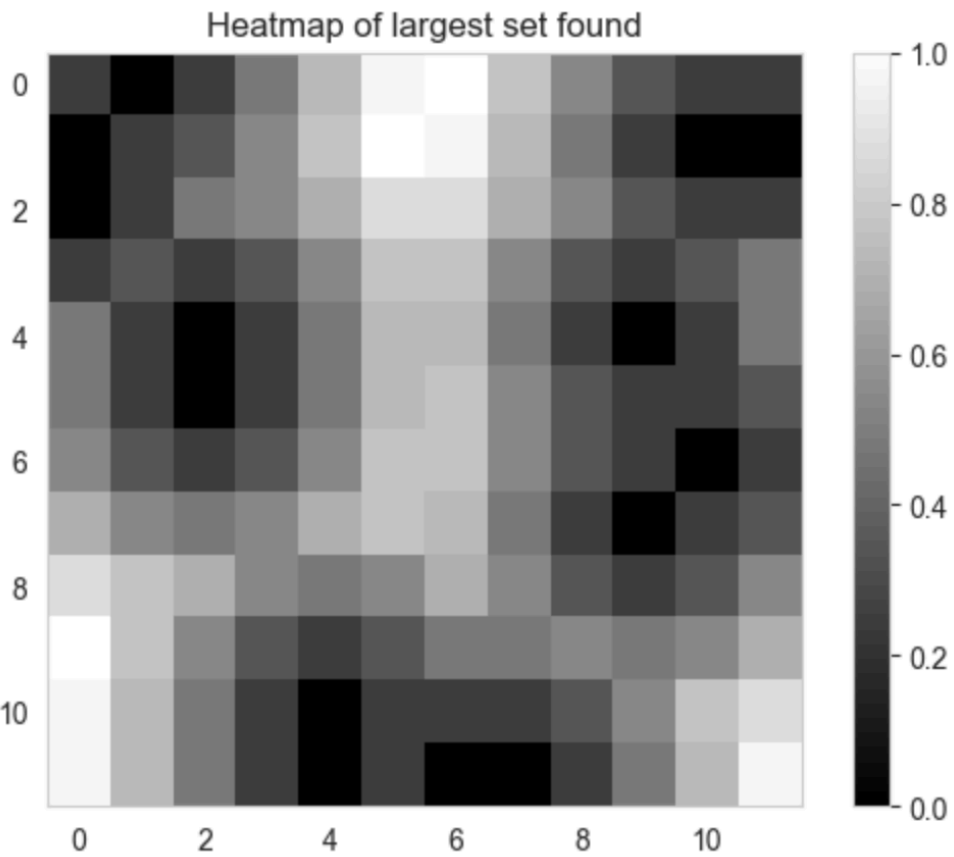
Results



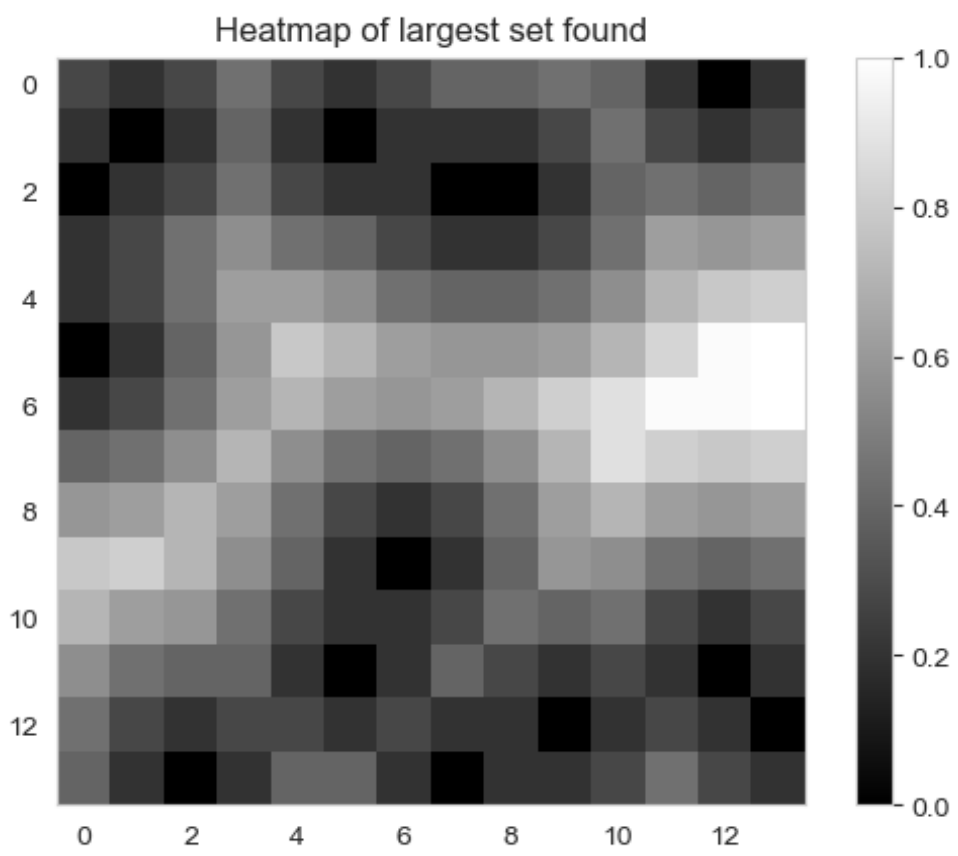
$N = 12$



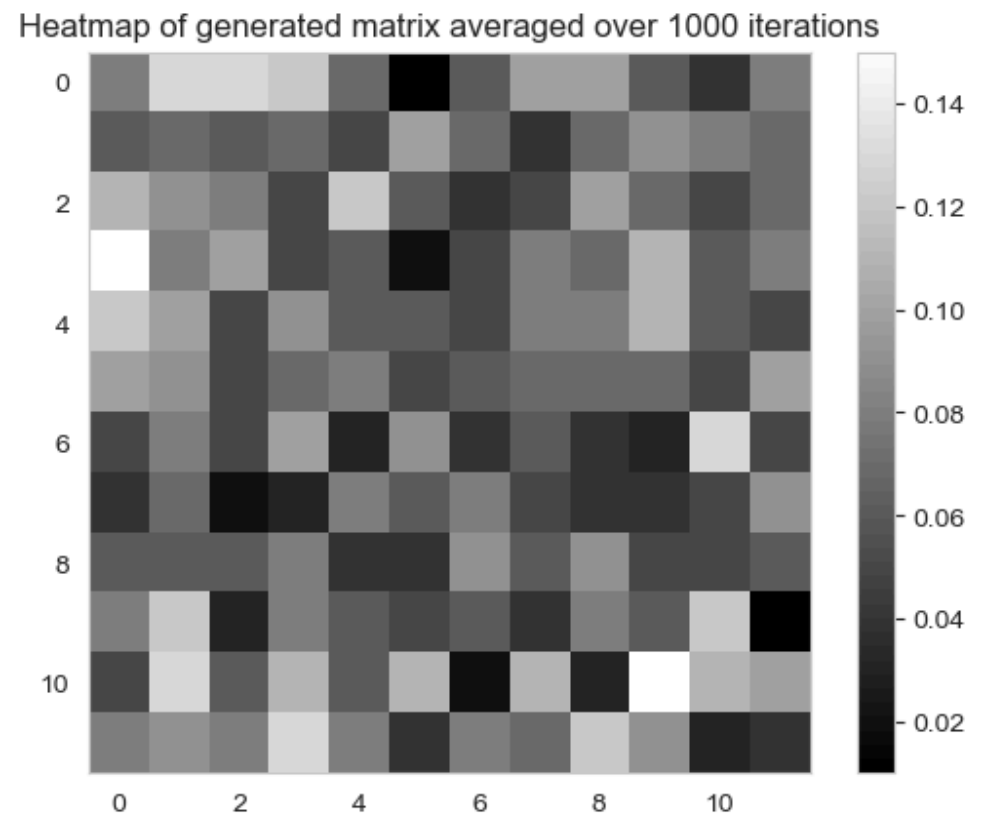
$N = 14$



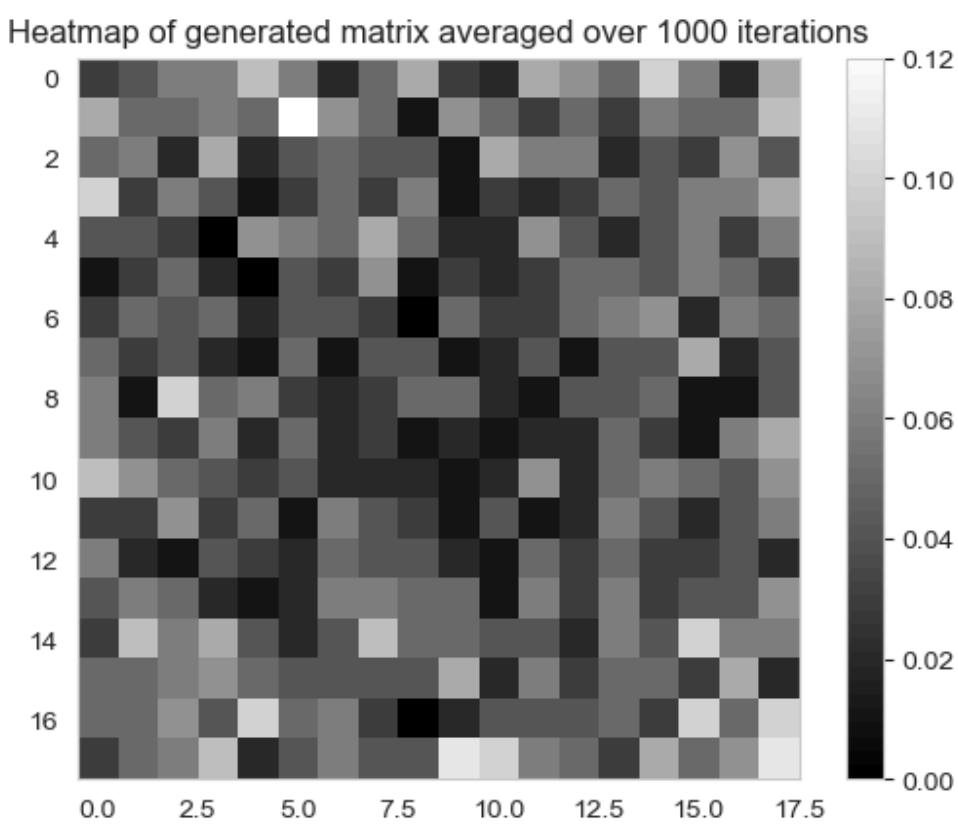
$N = 12$



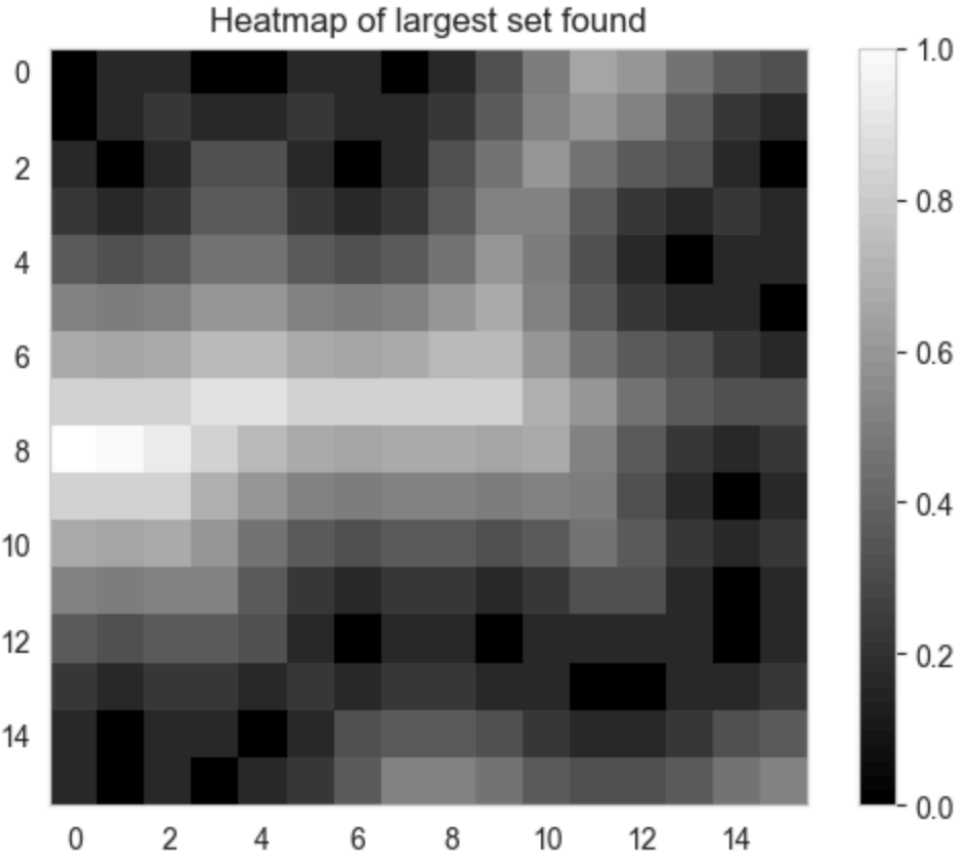
$N = 14$



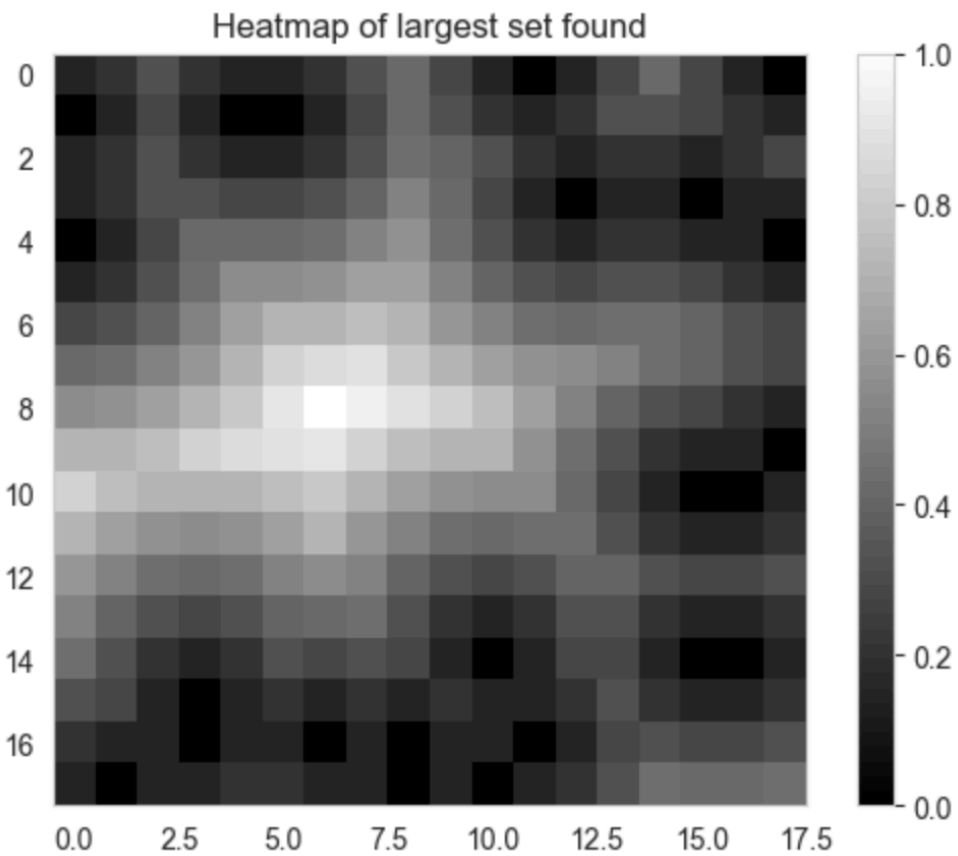
$N = 16$



$N = 18$



$N = 16$



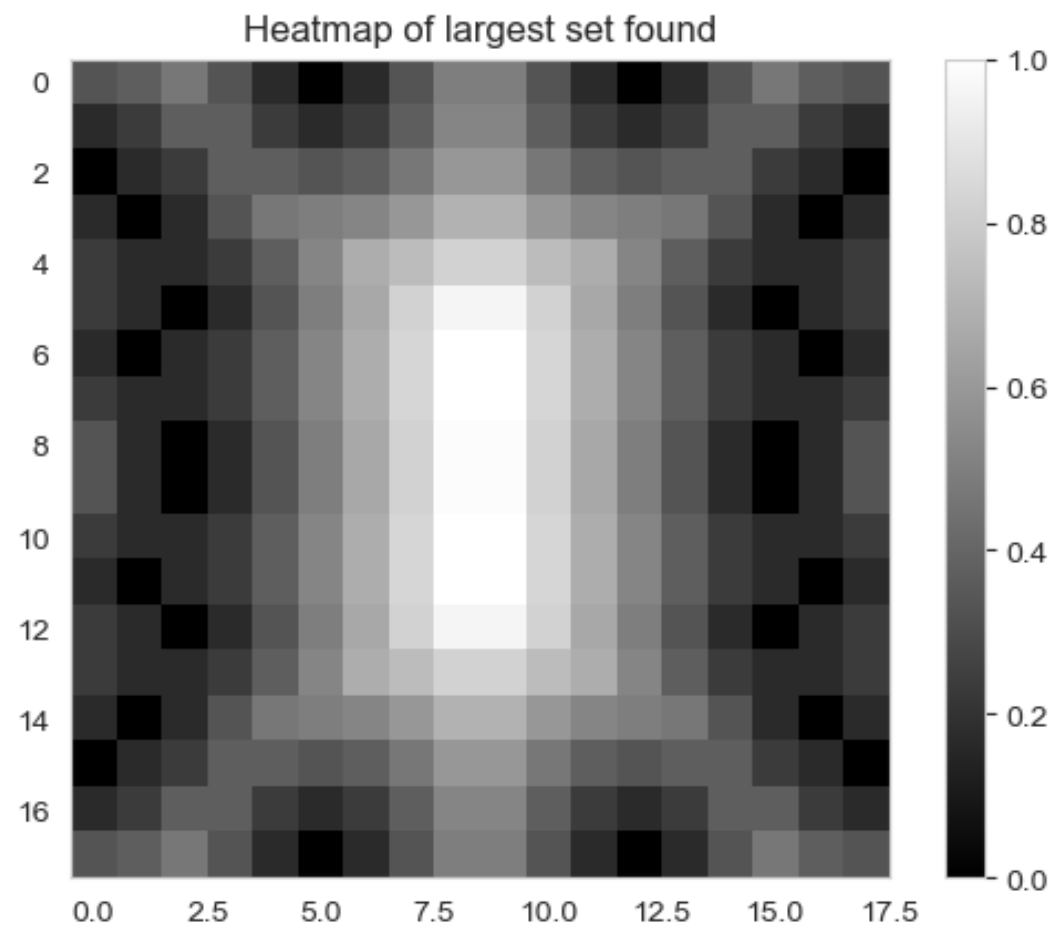
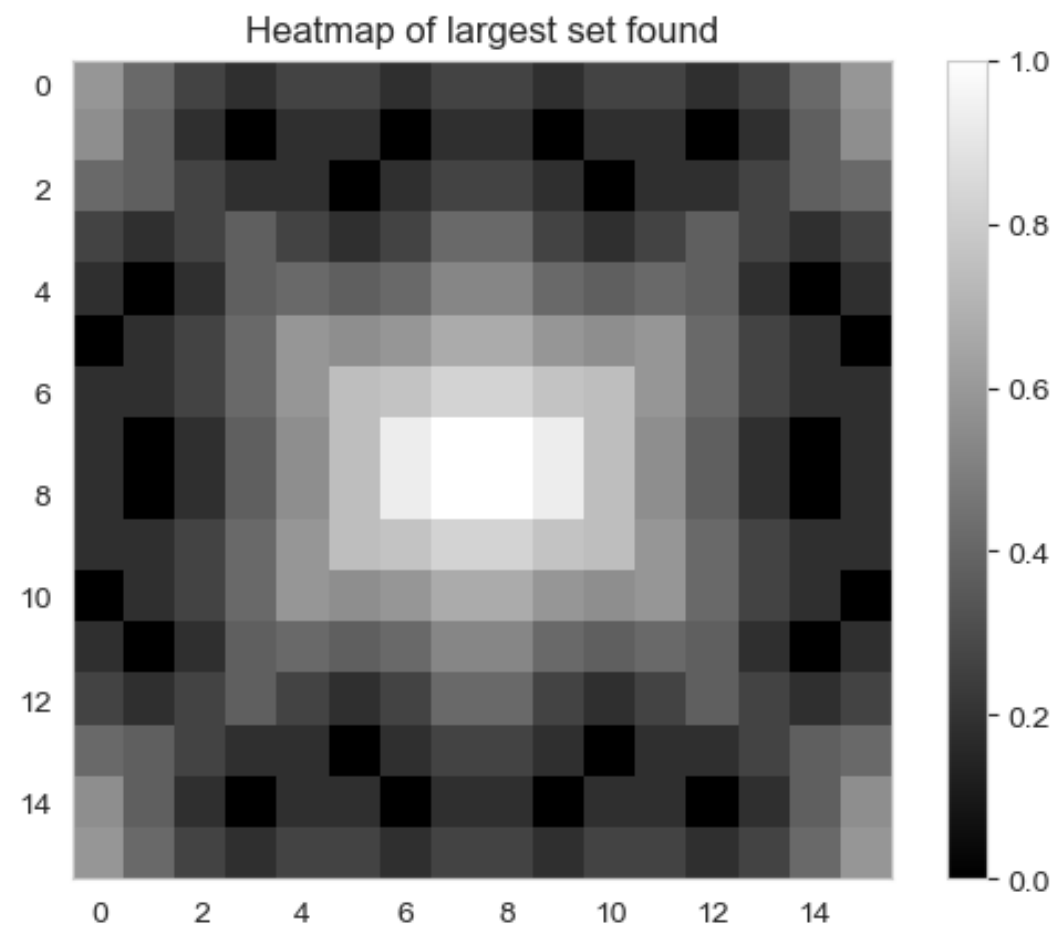
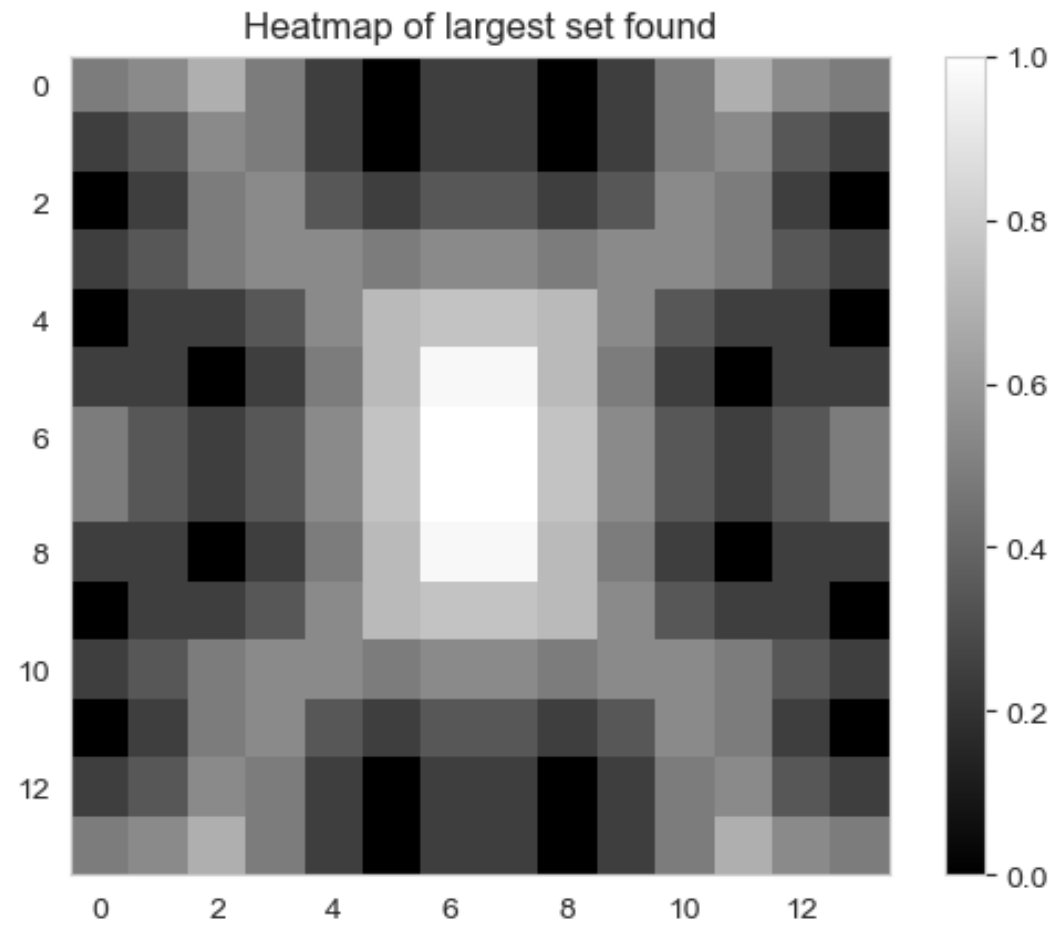
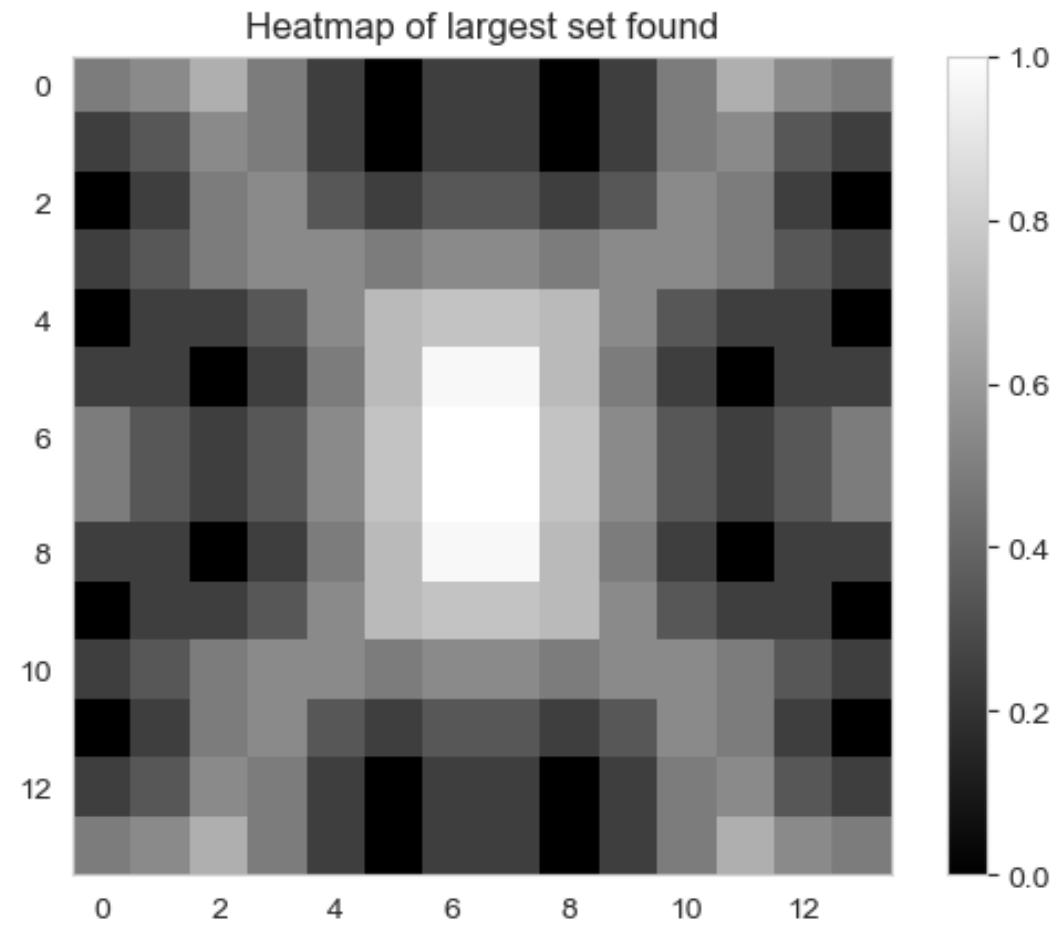
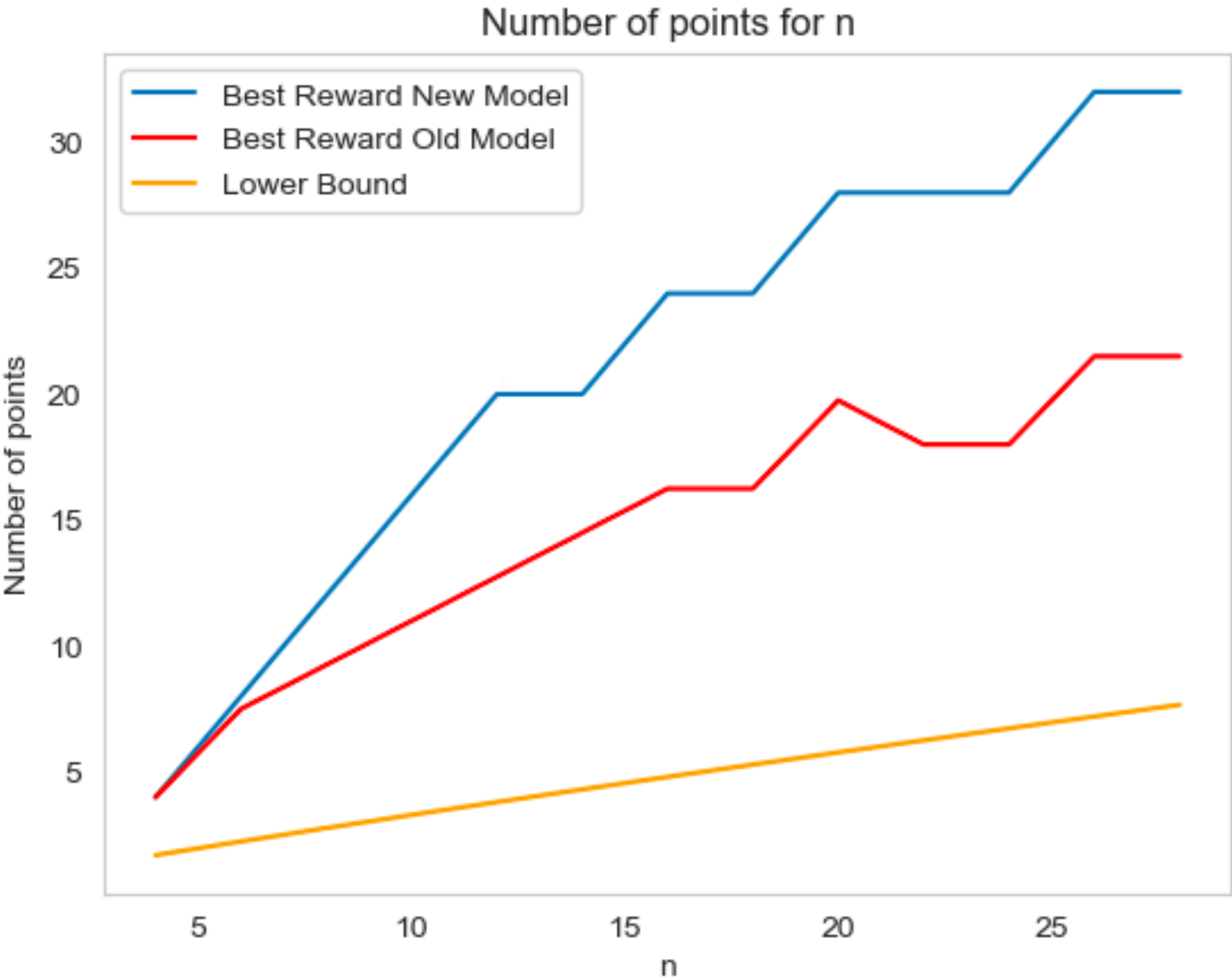
$N = 18$

Lower bound method

RL generated map

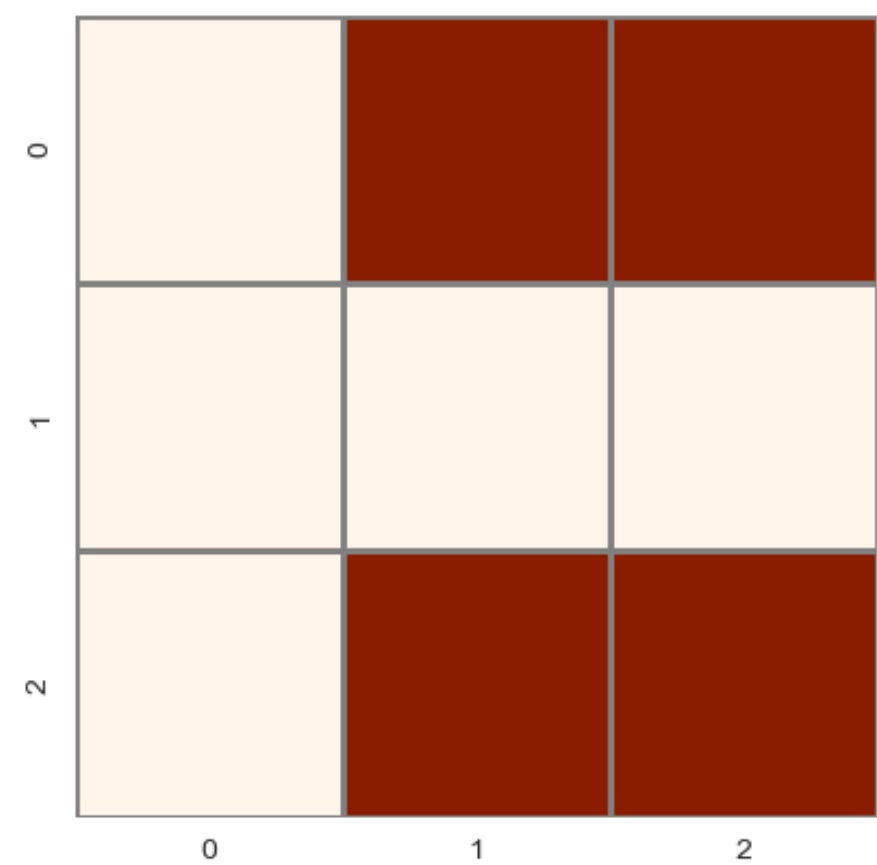
Results

When we reward the model for symmetric generation and higher edge densities.

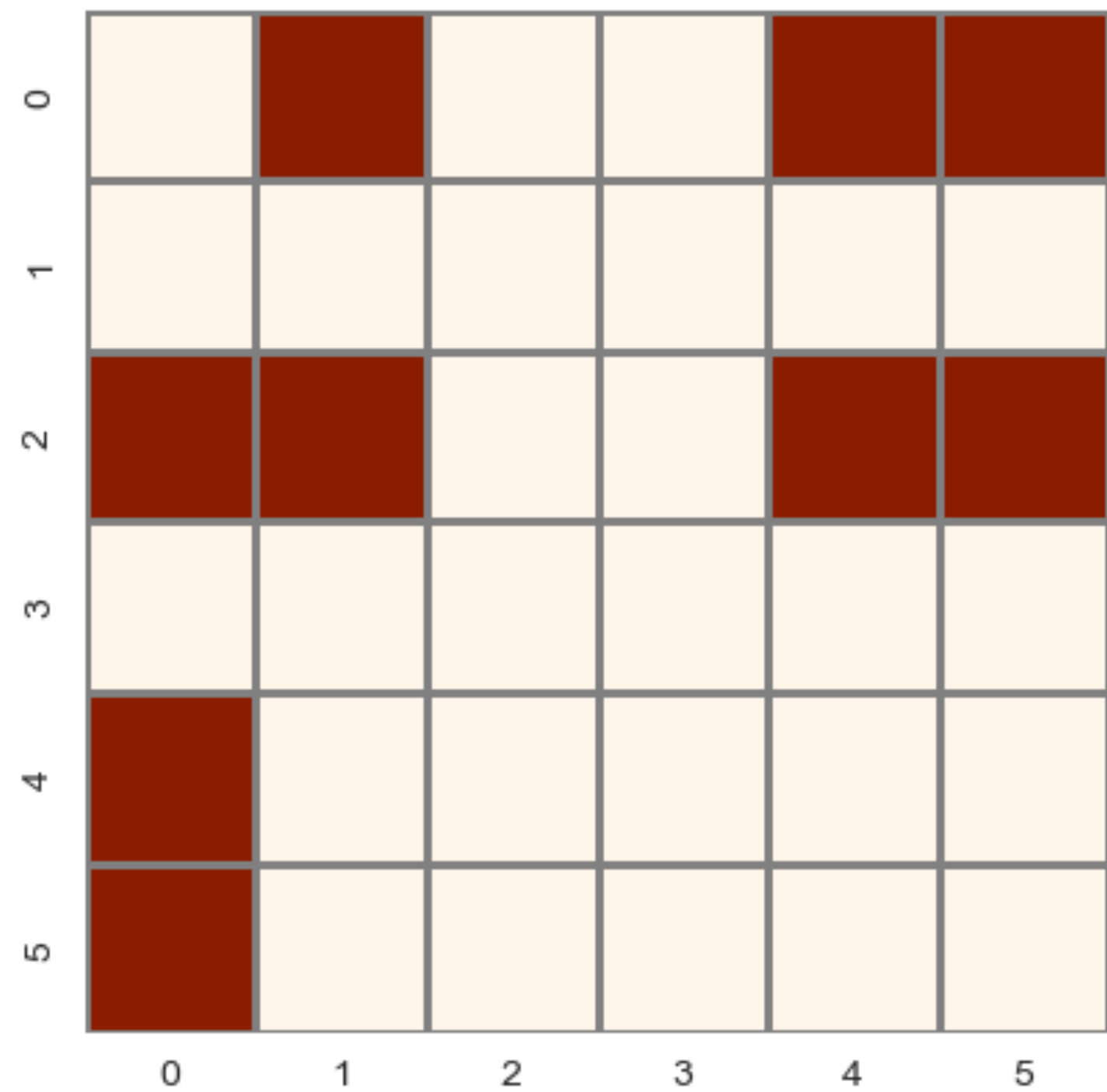


Observations

Other observations:



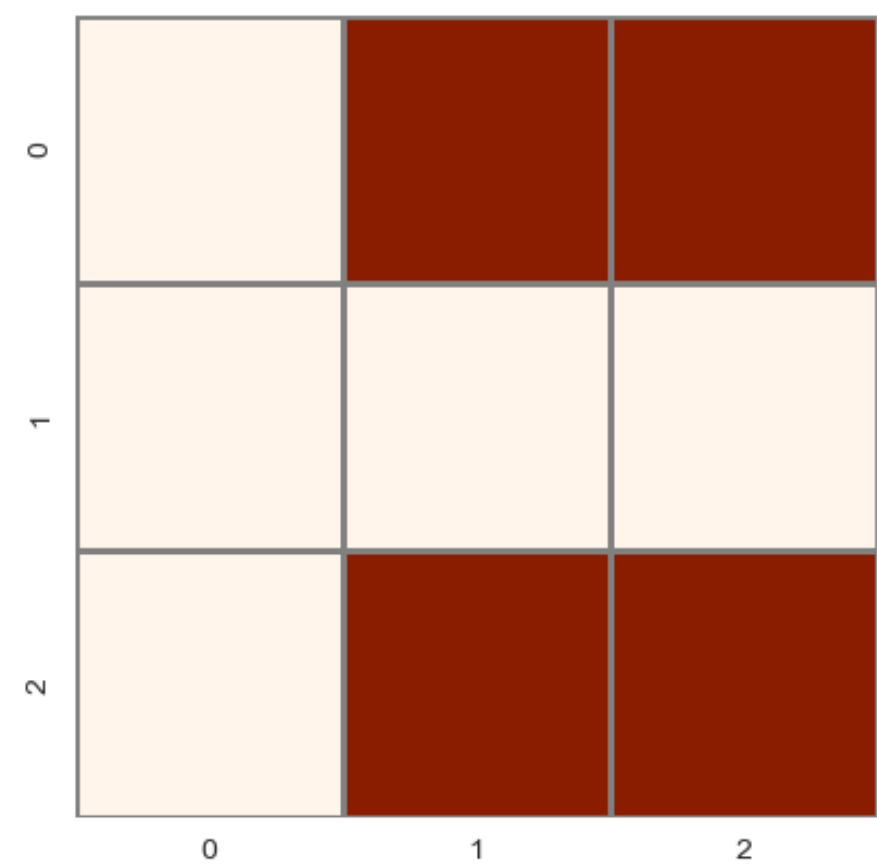
$n = 3$



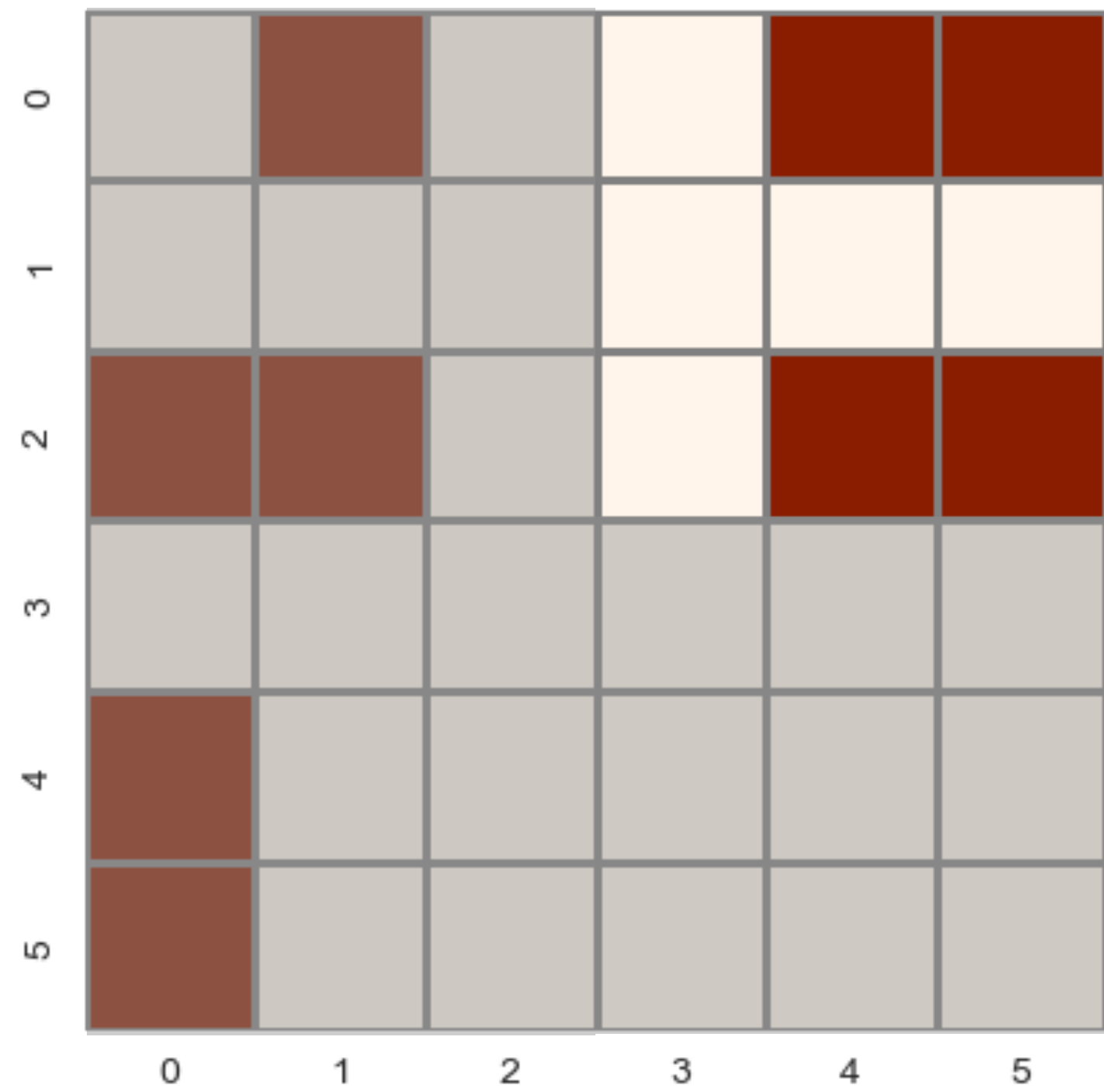
$n = 6$

Observations

Other observations:



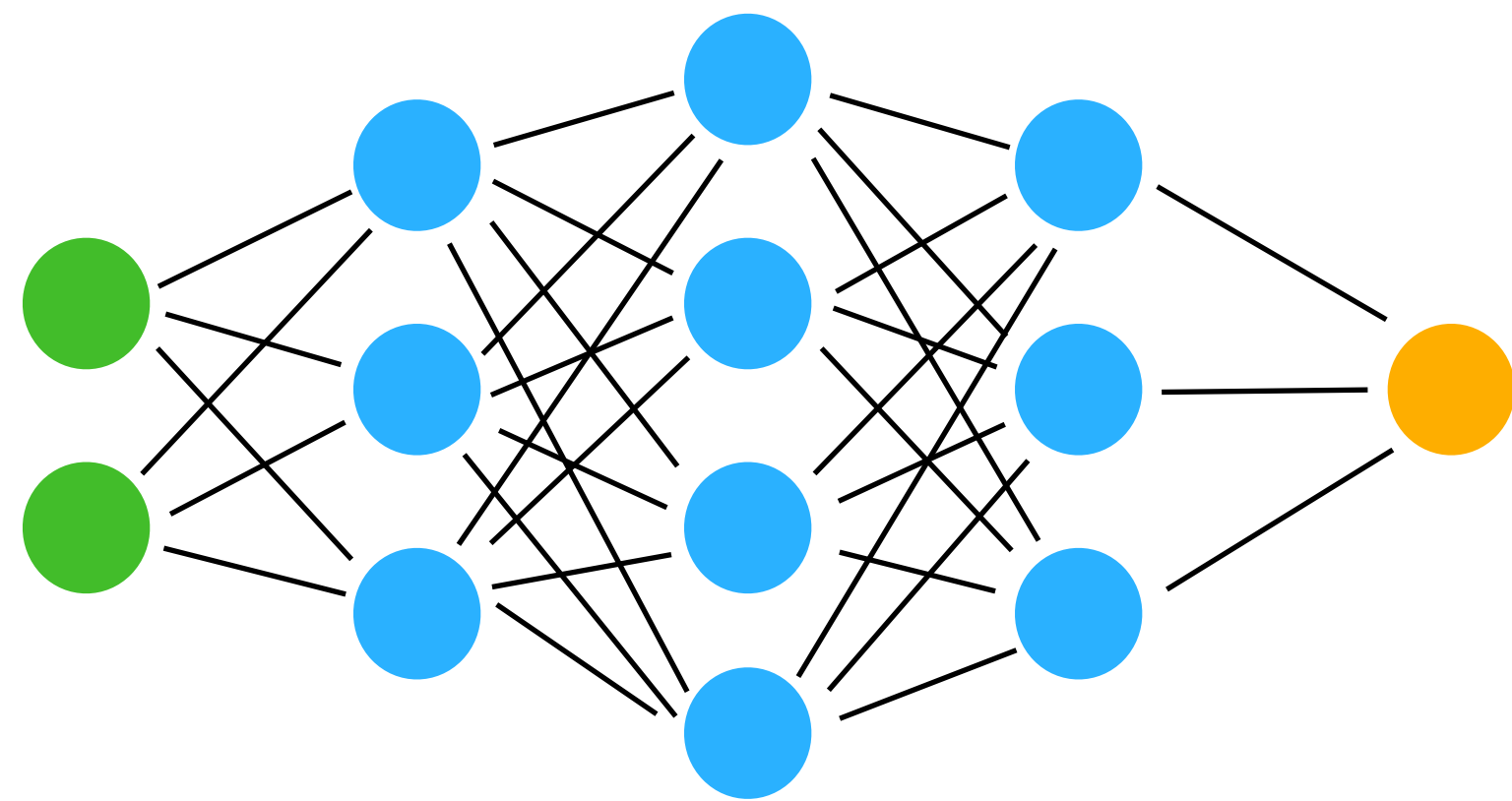
$n = 3$



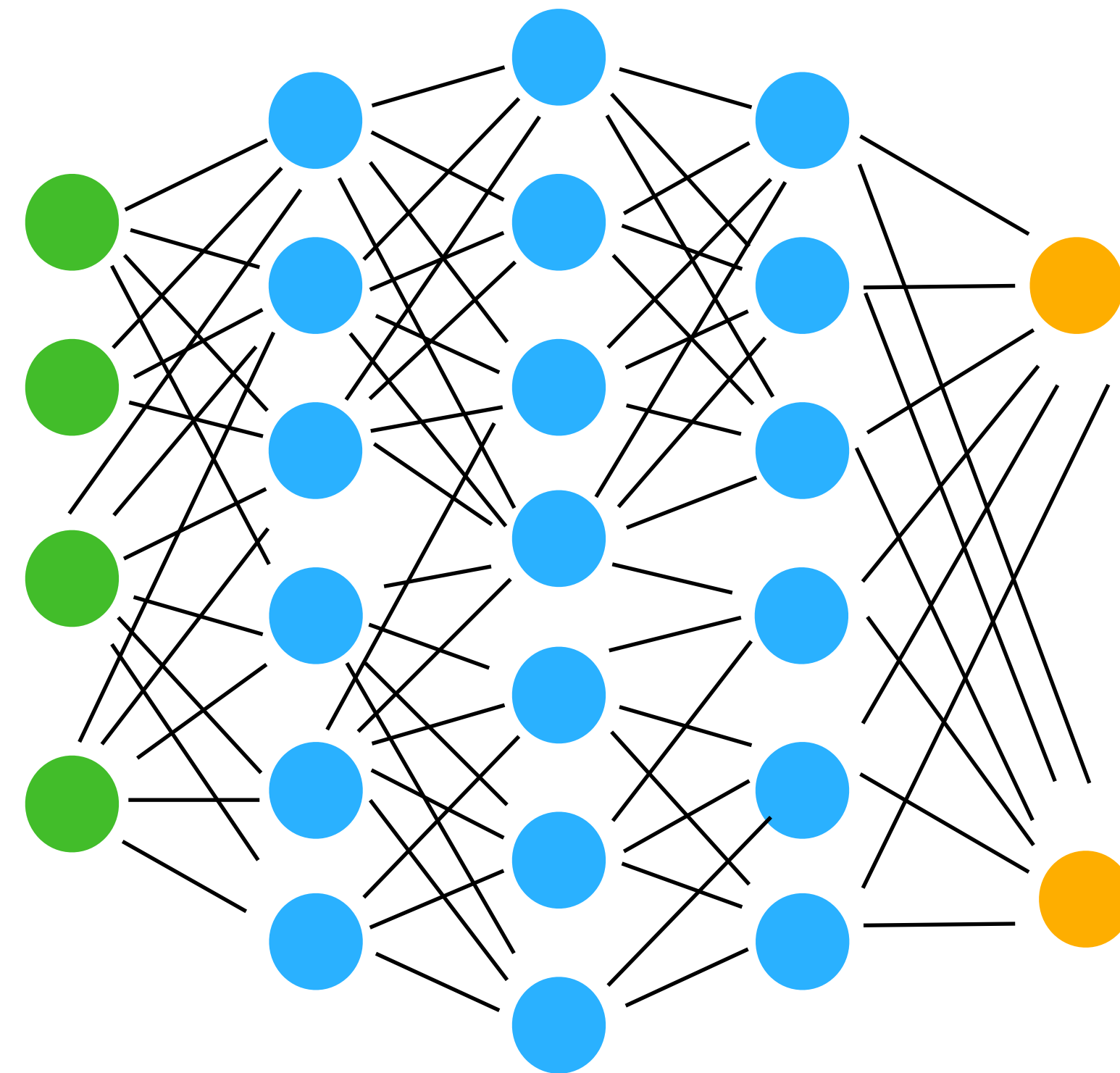
$n = 6$

Model

Inductive learning Framework



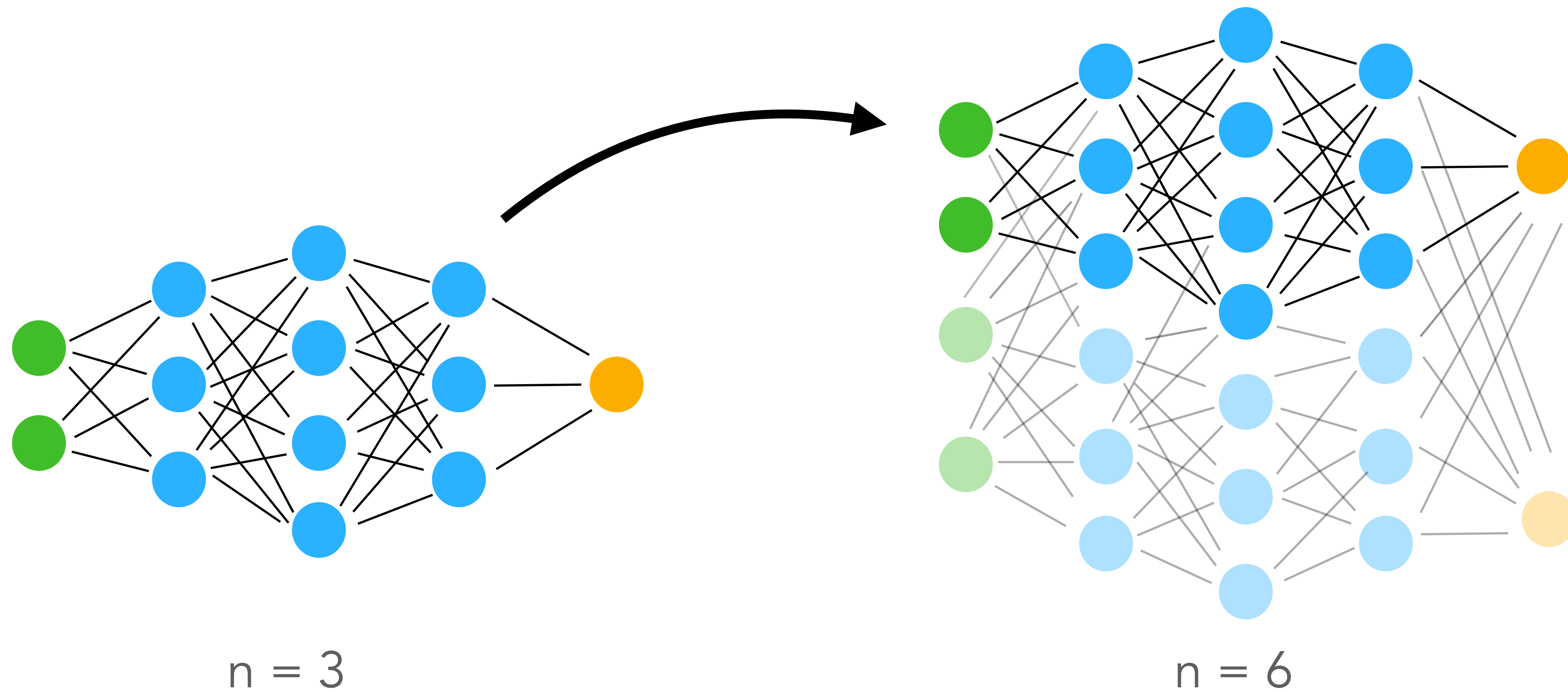
$n = 3$



$n = 6$

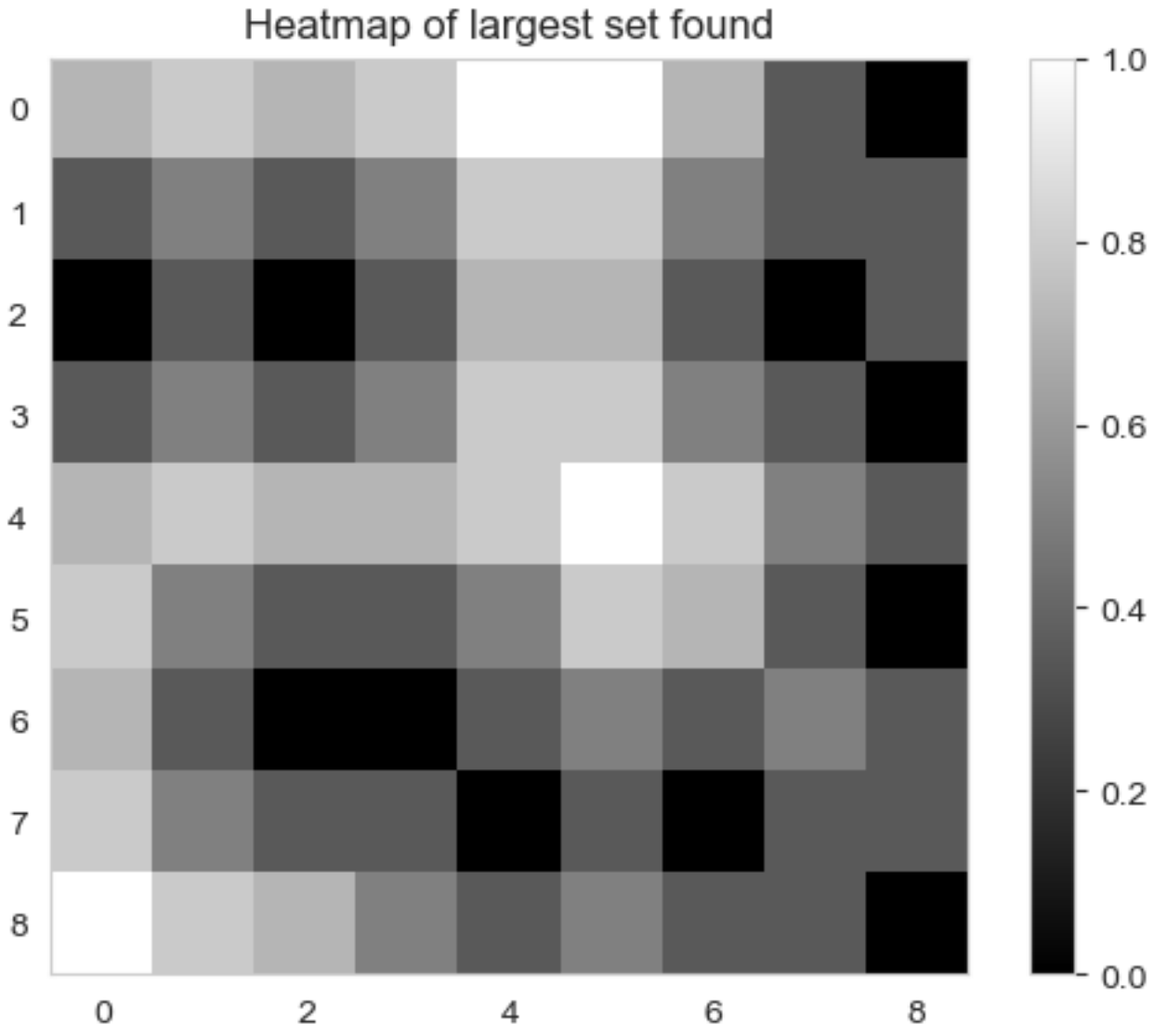
Model

Inductive learning Framework

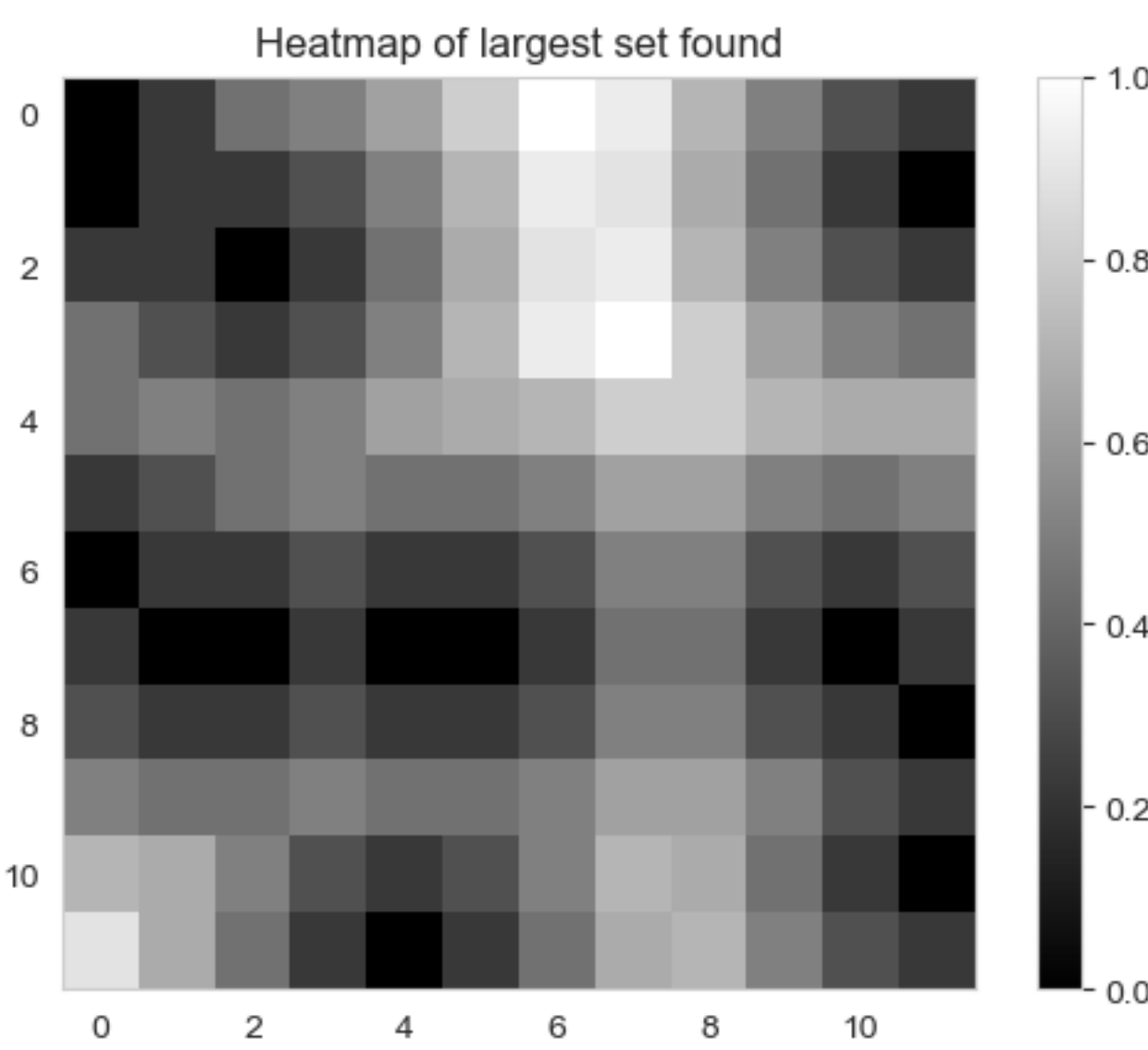


Results (so far)

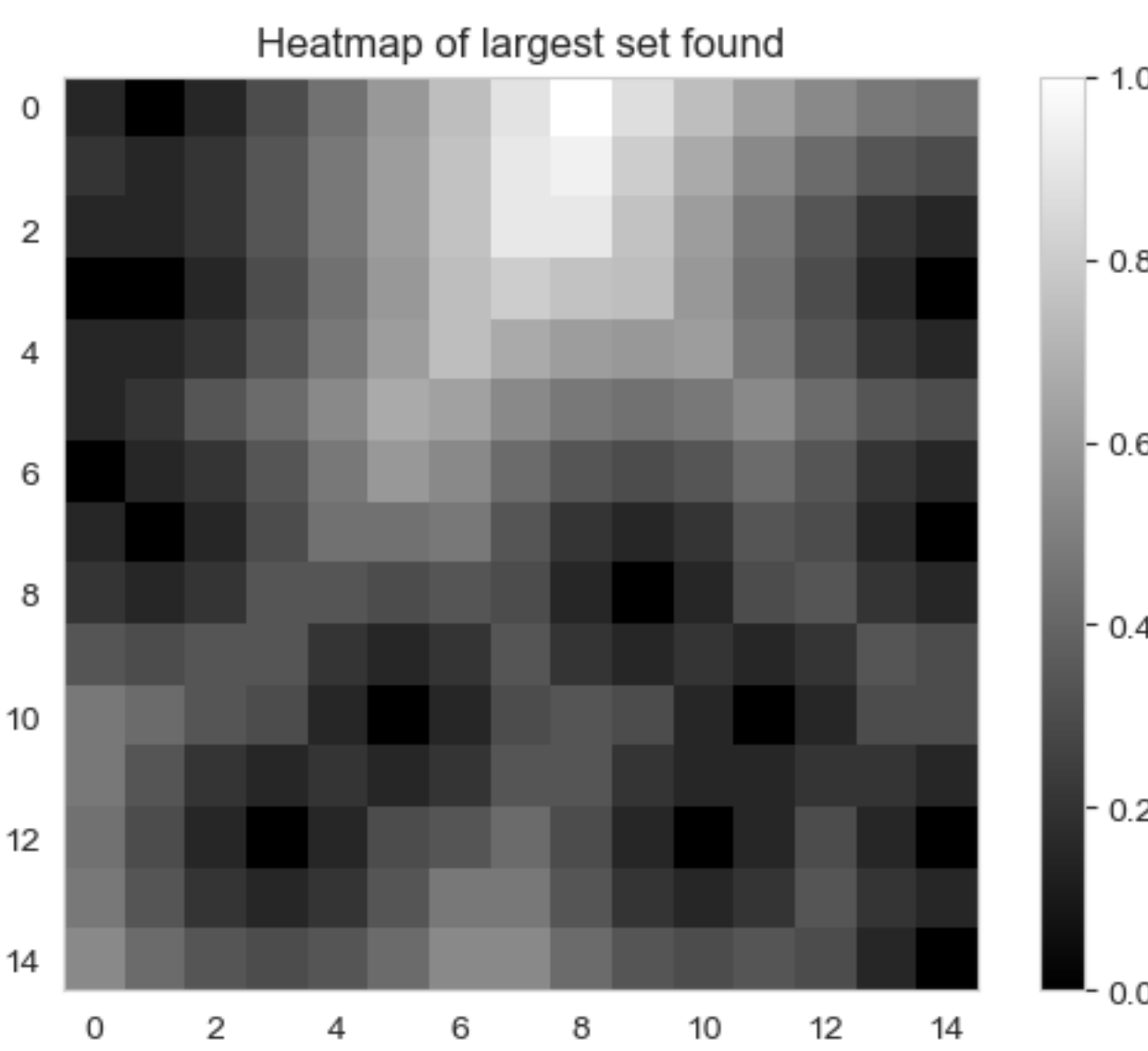
Similar heatmaps



$n = 9$



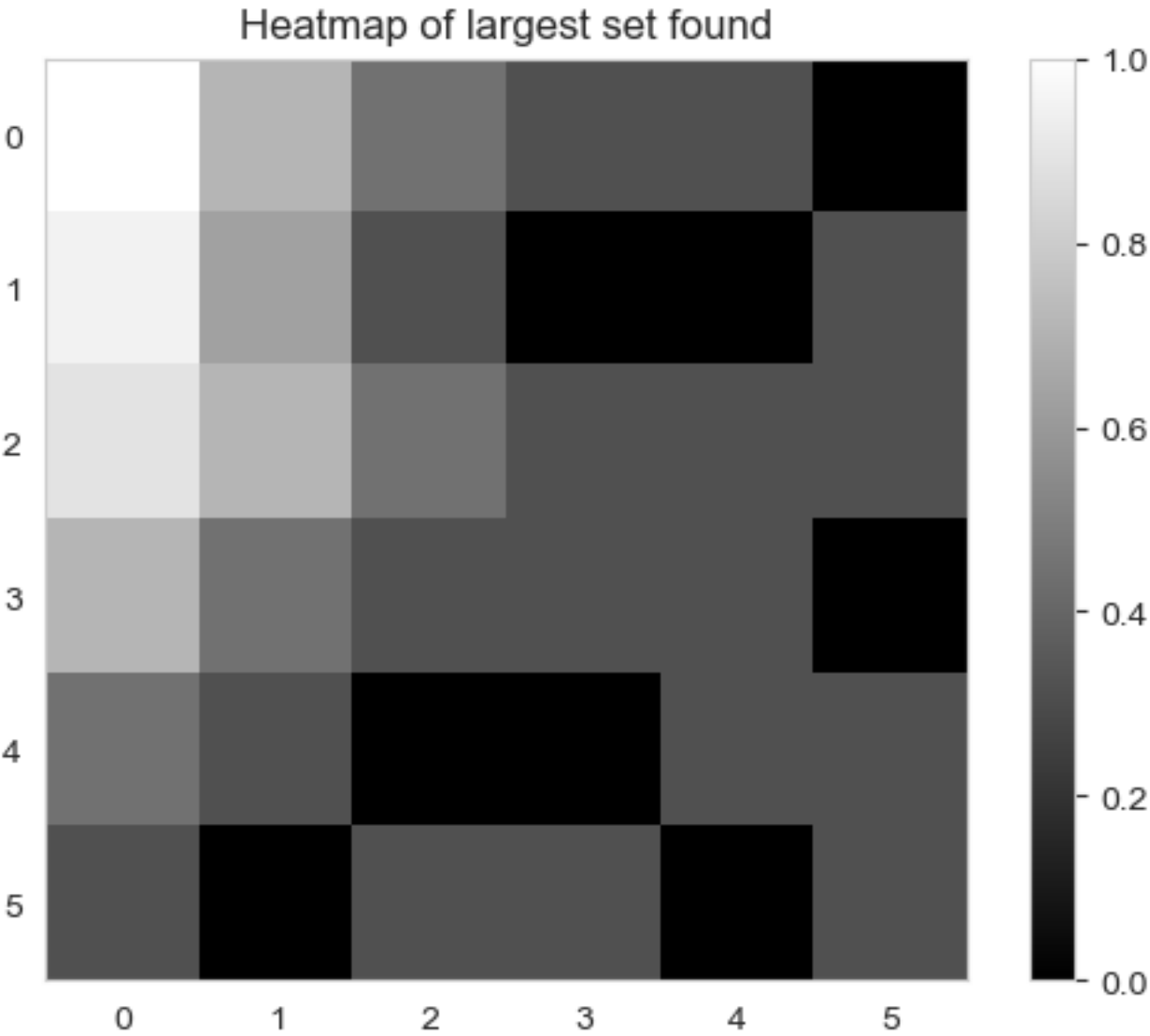
$n = 12$



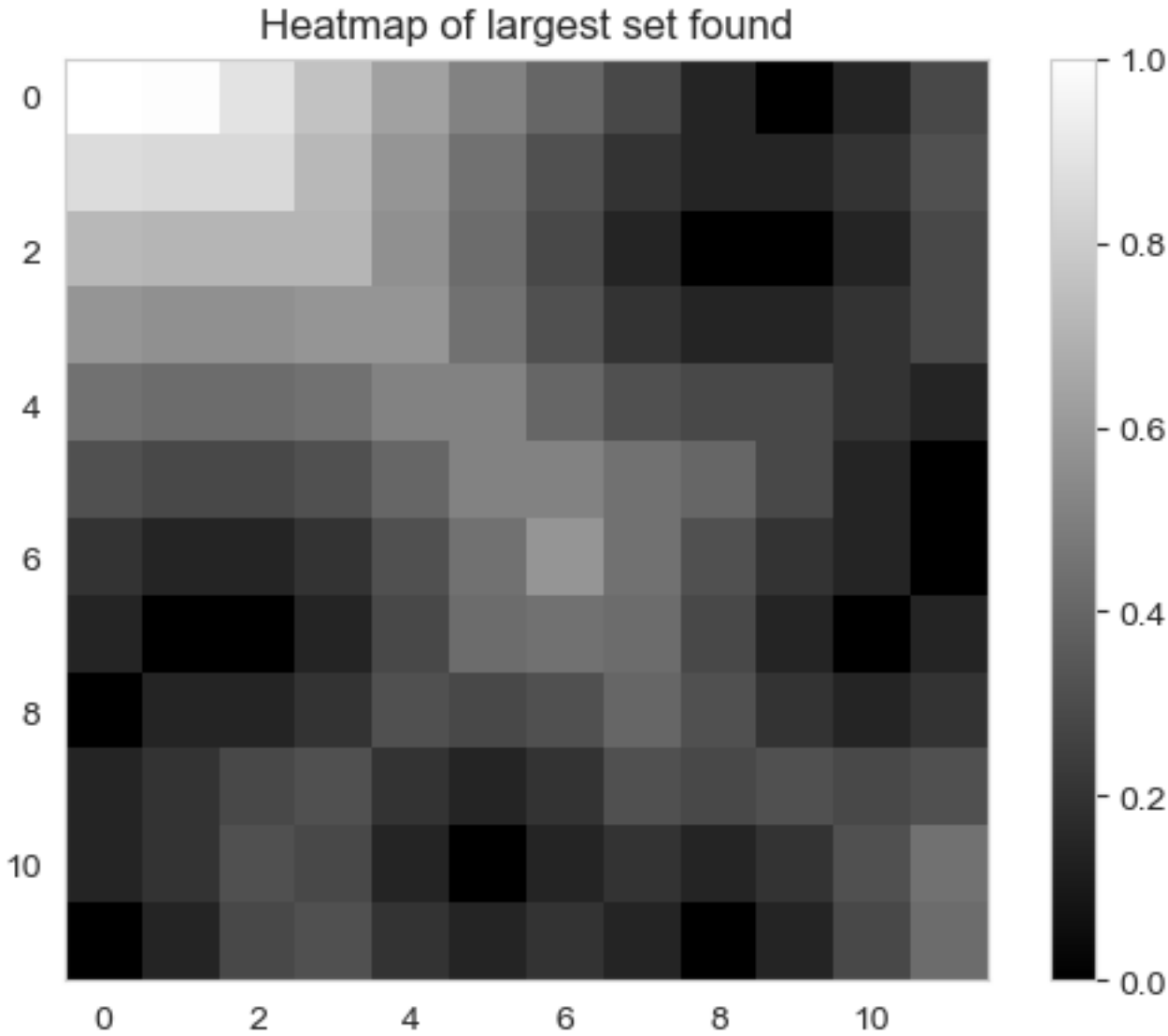
$n = 15$

Results (so far)

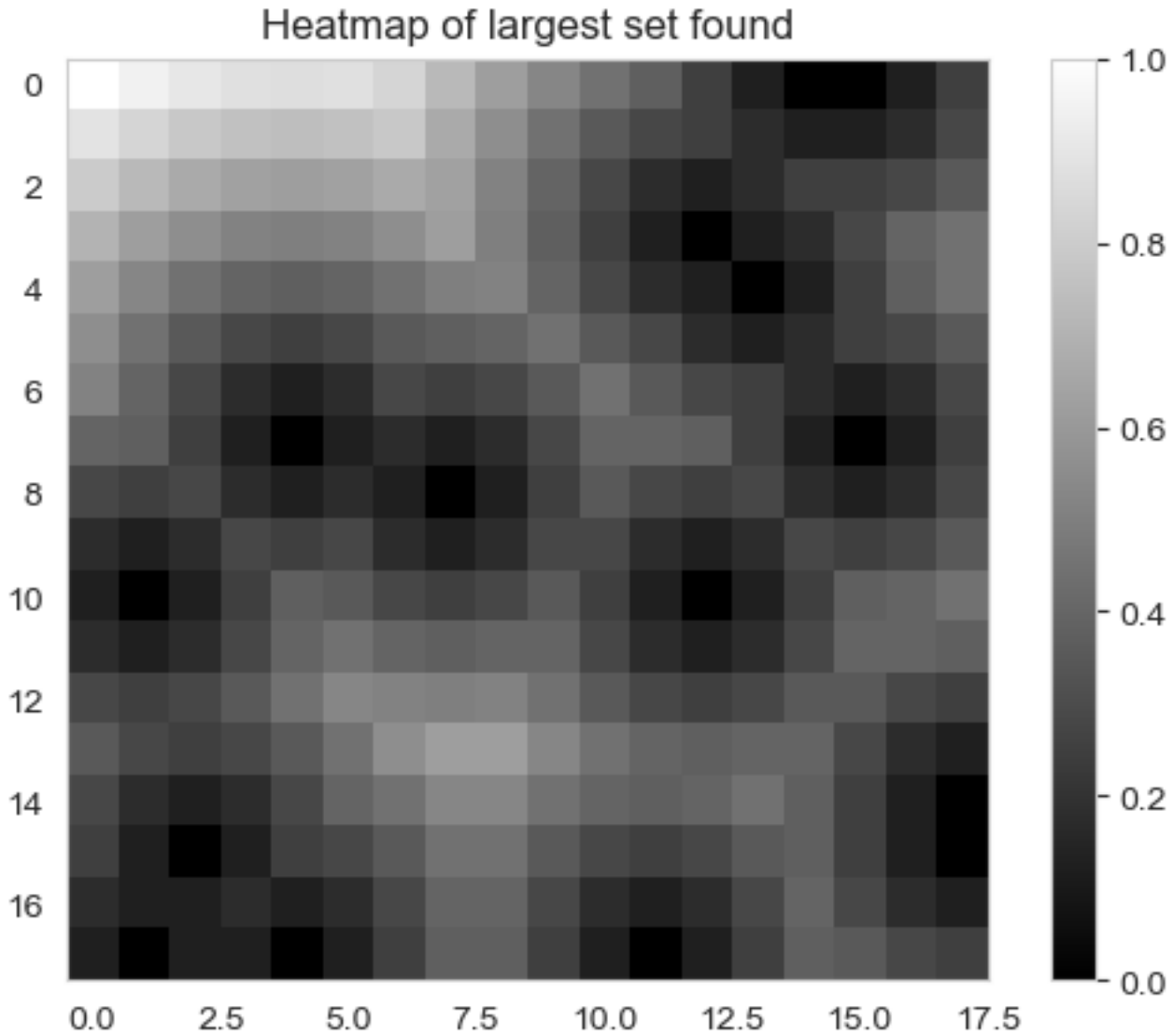
Similar heatmaps



$n = 6$



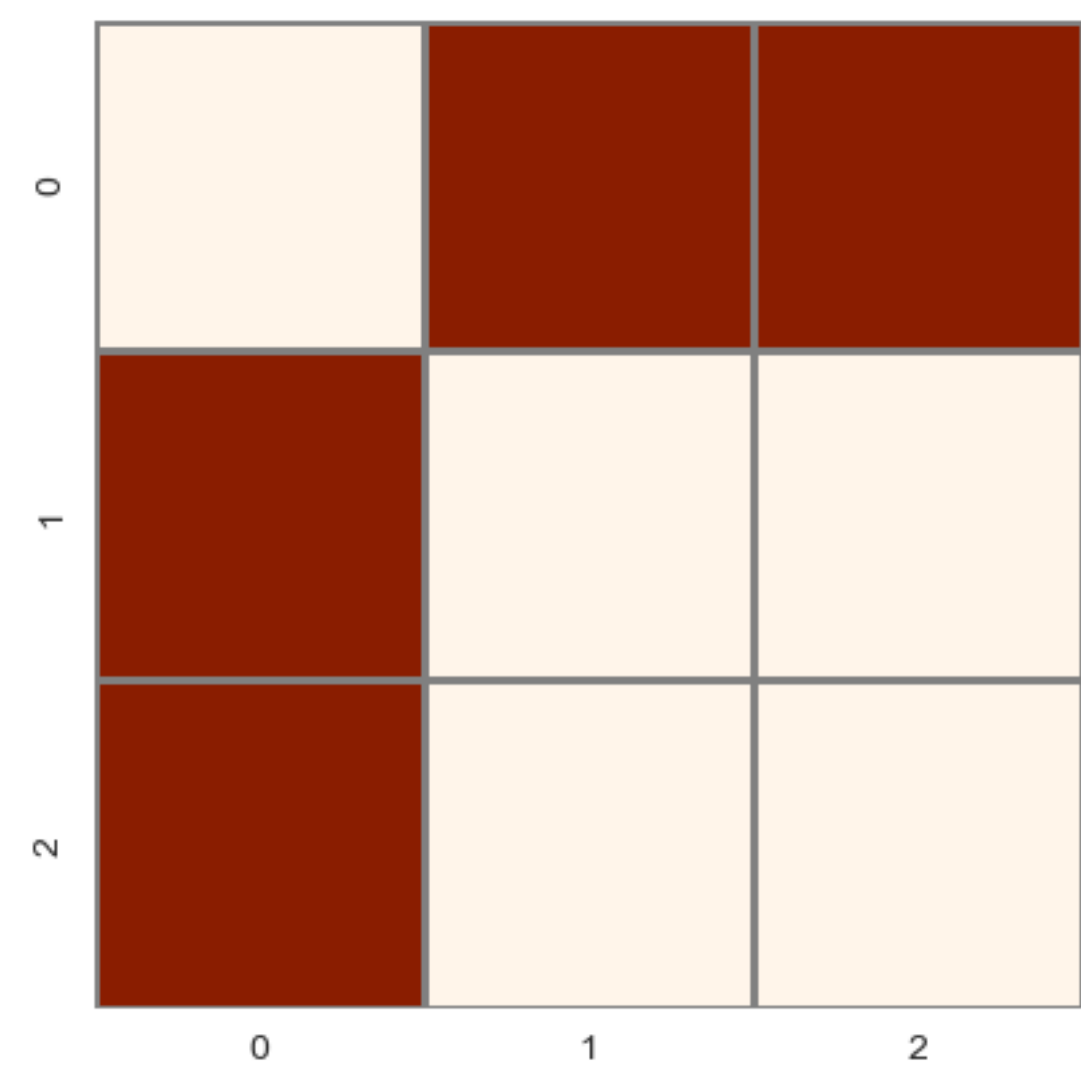
$n = 12$



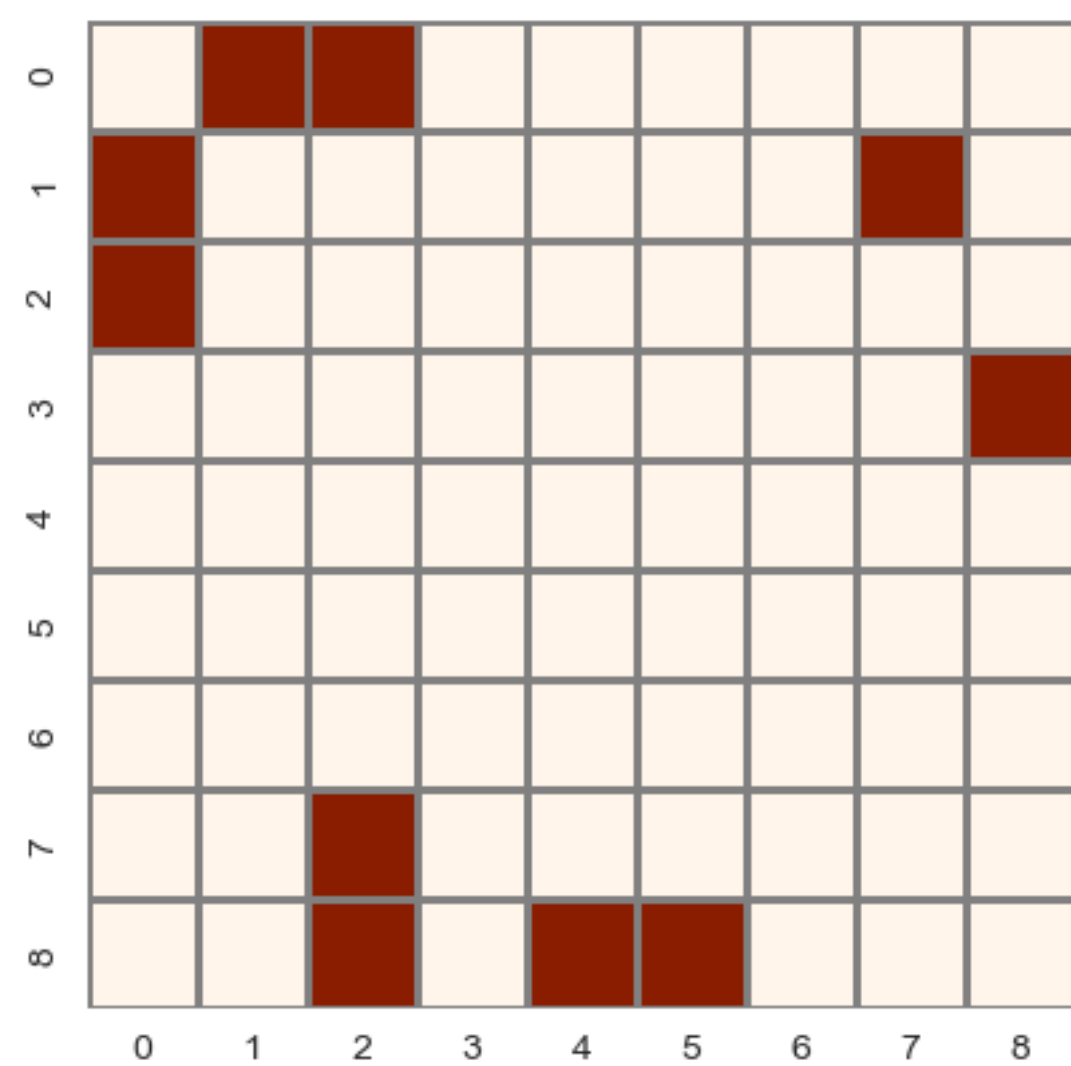
$n = 18$

Results (so far)

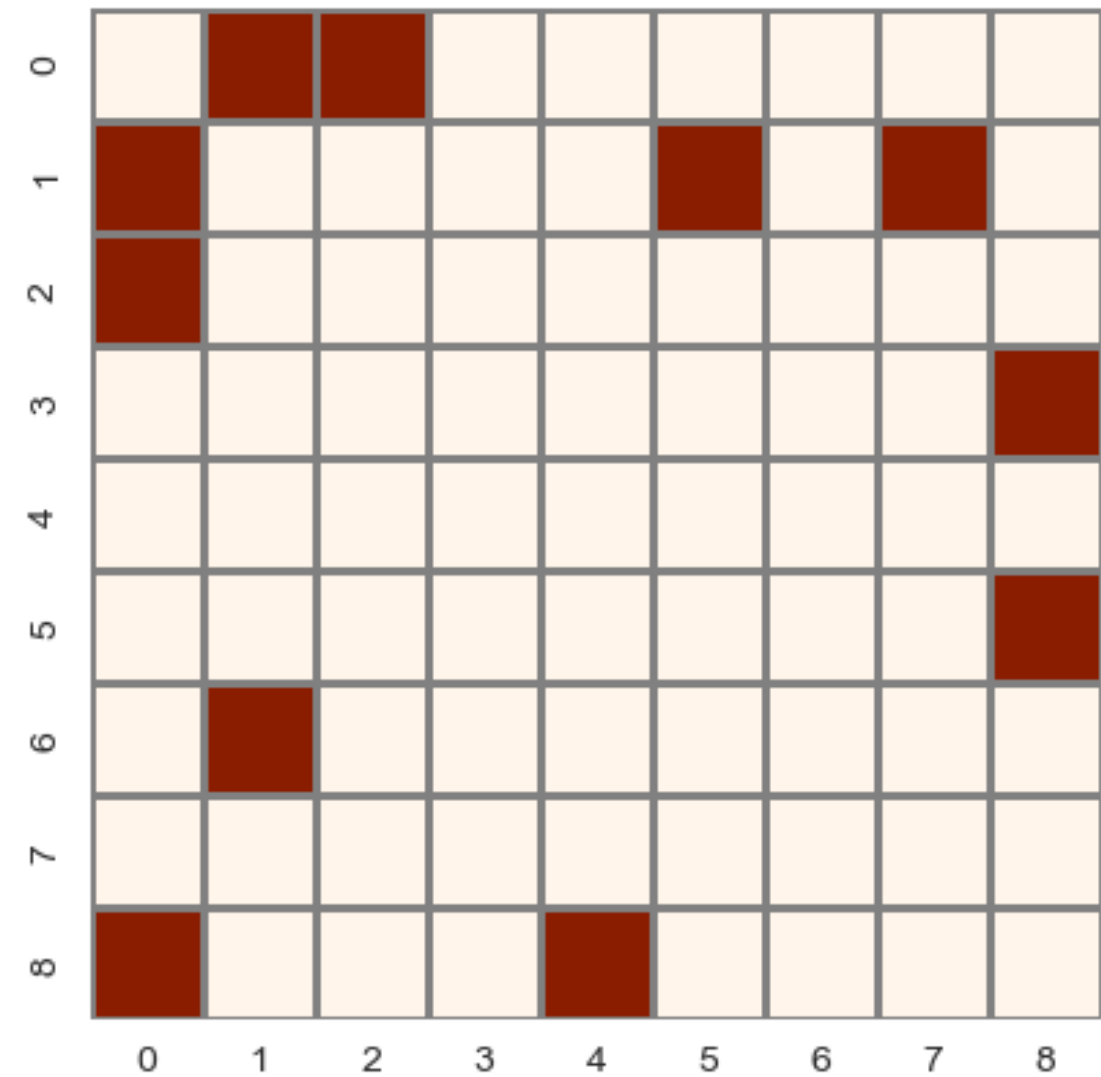
Saw an increased number of repeated patterns for very small n



n = 3



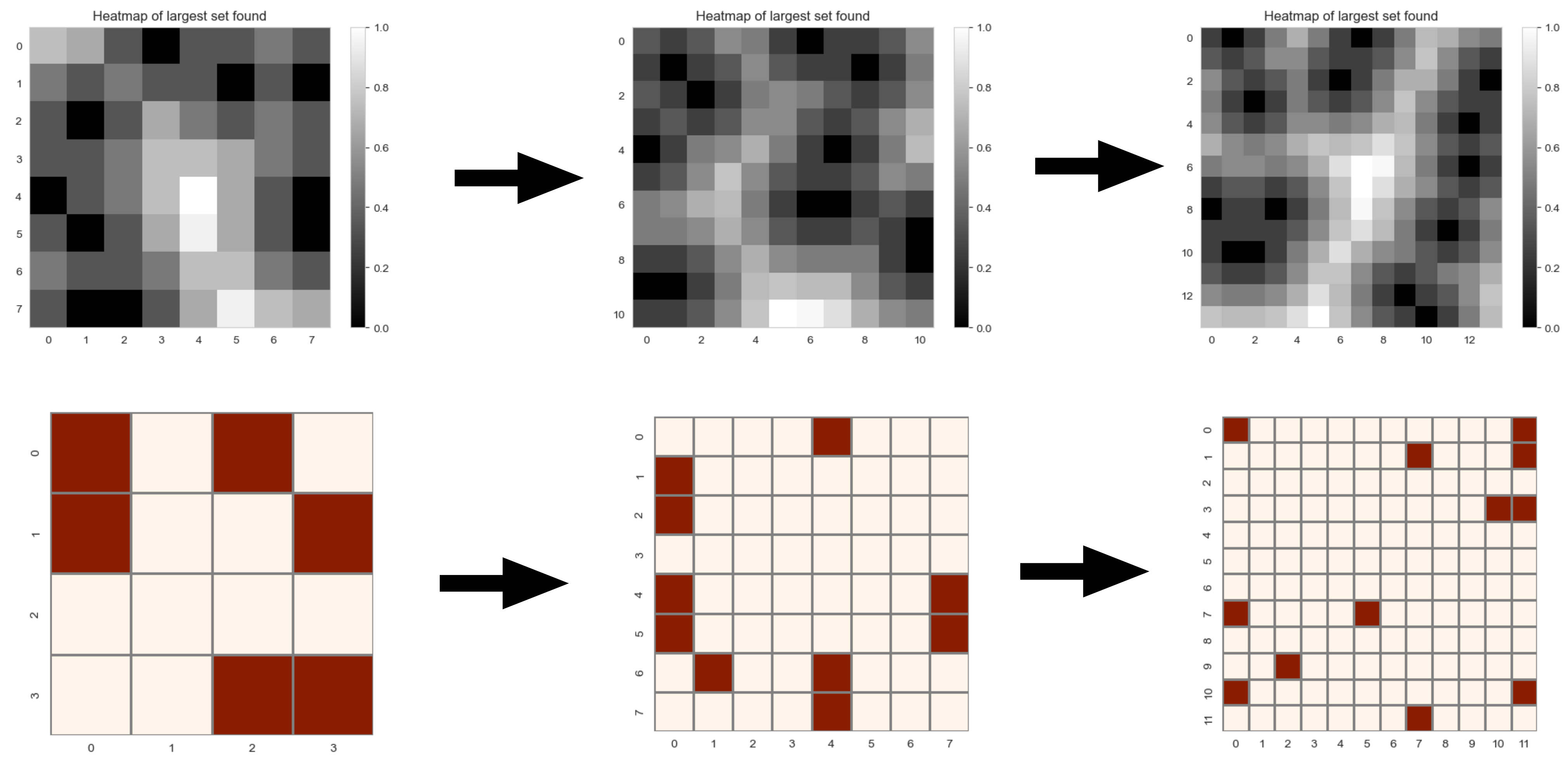
n = 9



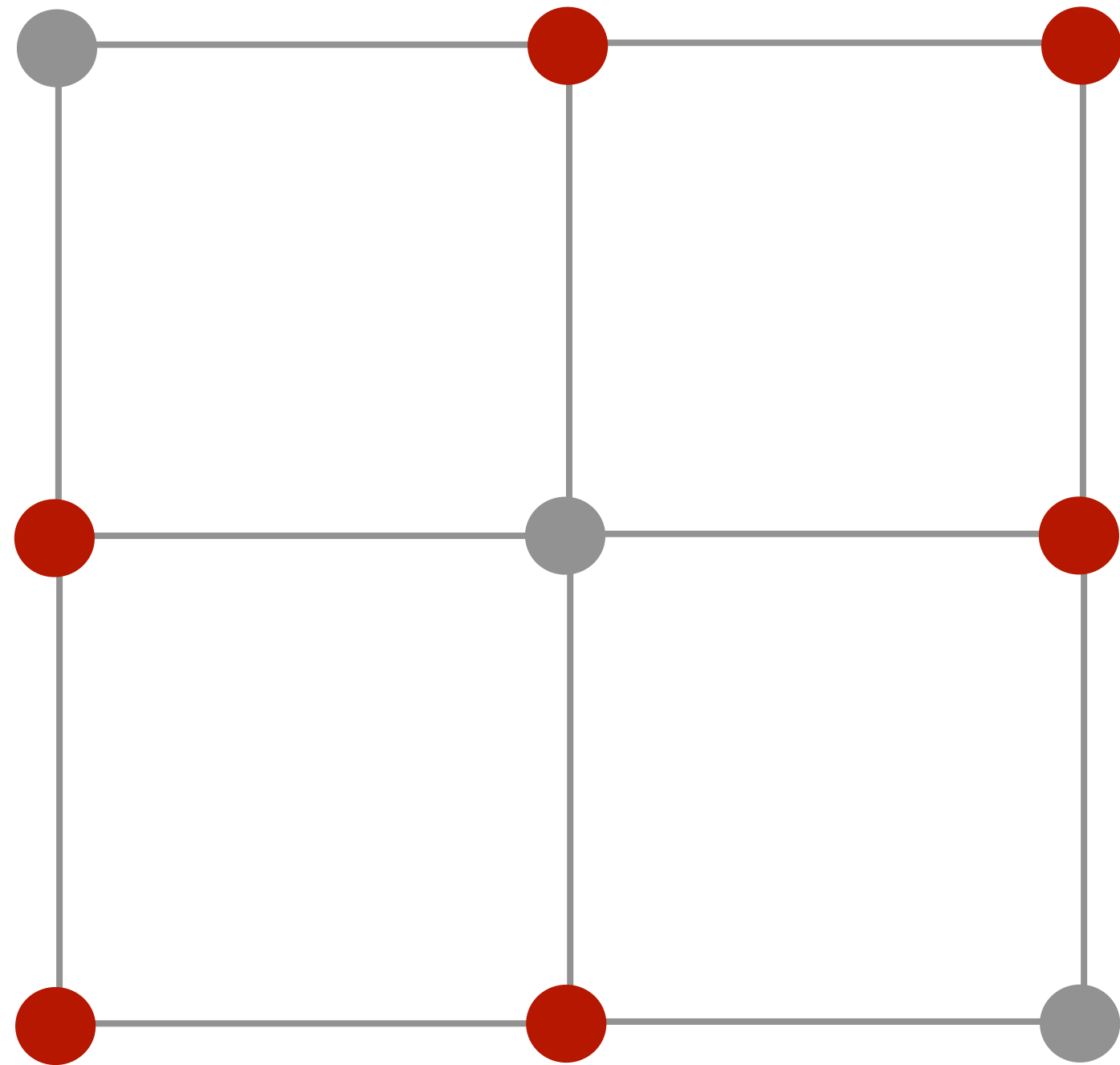
n = 9

Results (so far)

But most of the time, there was no discernible pattern



Problem 2



Given an $n \times n$ finite integer lattice, find the largest subset with no 3 colinear points.

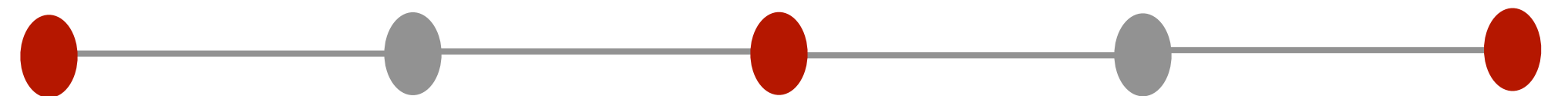
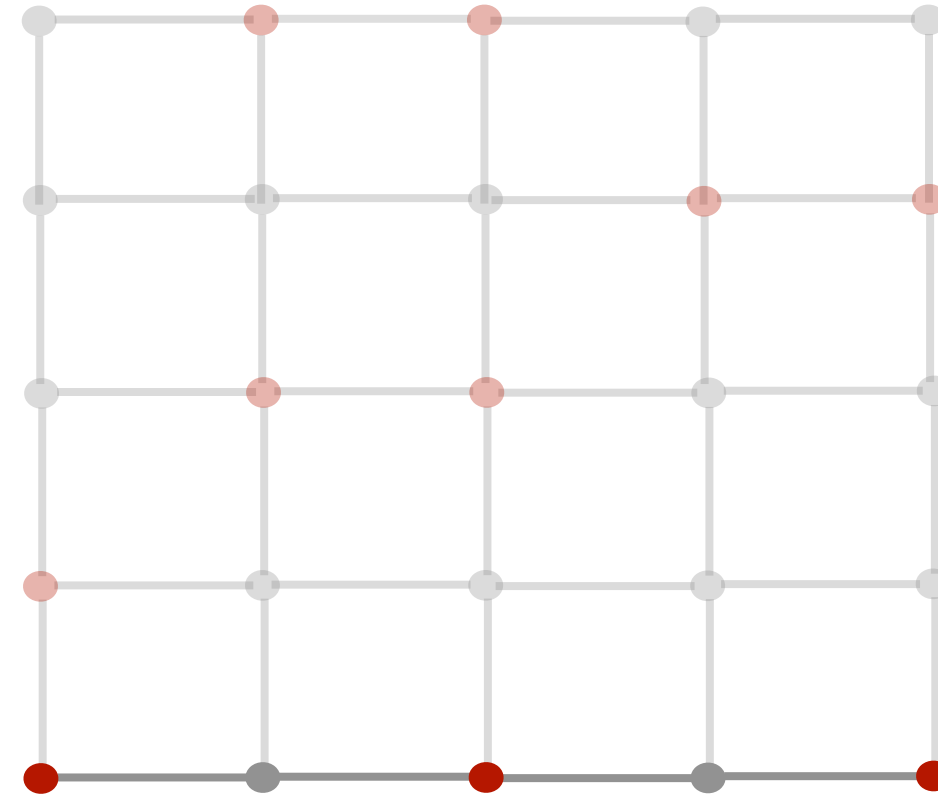
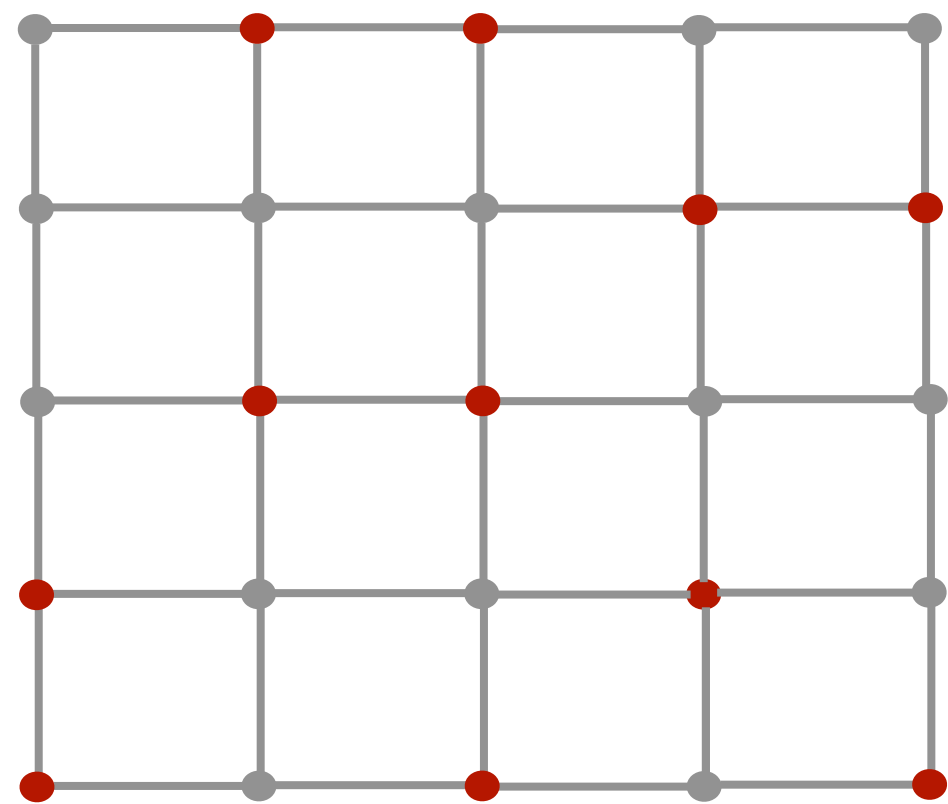
Problem 2

What do we know?

Upper Bound

$$|\text{Largest Set}| \leq 2n$$

See this with pigeonhole.



Problem 2

What do we know?

Lower Bound

$$o\left(\frac{3}{2}n\right) < |\text{Largest Set}|$$

Current Bound

$$o\left(\frac{3}{2}n\right) < |\text{Largest Set}| < 2n$$

Problem 2

What do we know?

Upper Bound

$$|\text{Largest Set}| \leq 2n$$

Turns out this bound is tight for $n \leq 46$

Problem 2

Open questions:

Main Conjecture:

For $n > 46$, $|\text{largest set}| < 1.814n$

Other open questions in a minute!

Results so far

Results from base RL model

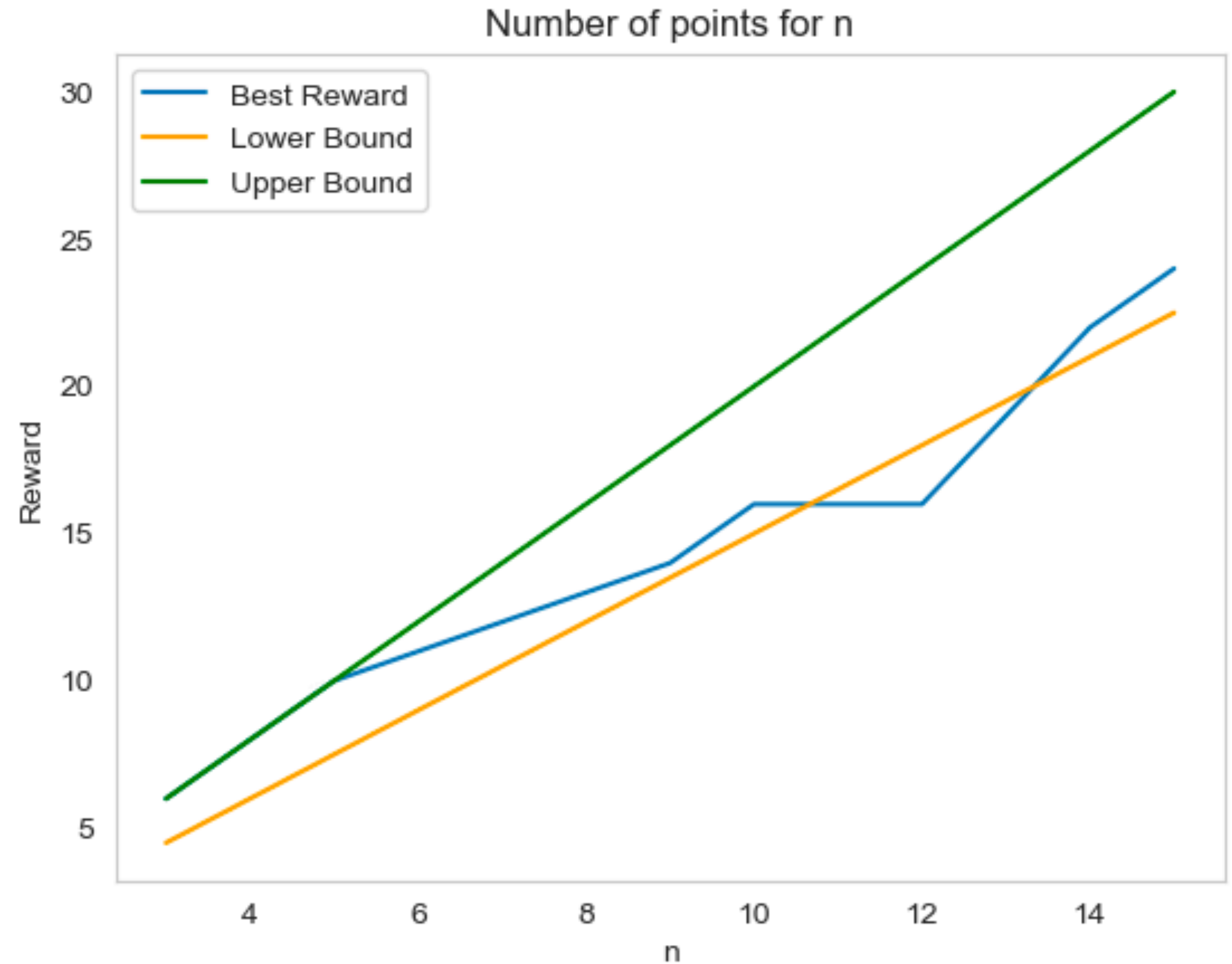
3 hidden layers

(128, 64, 4)

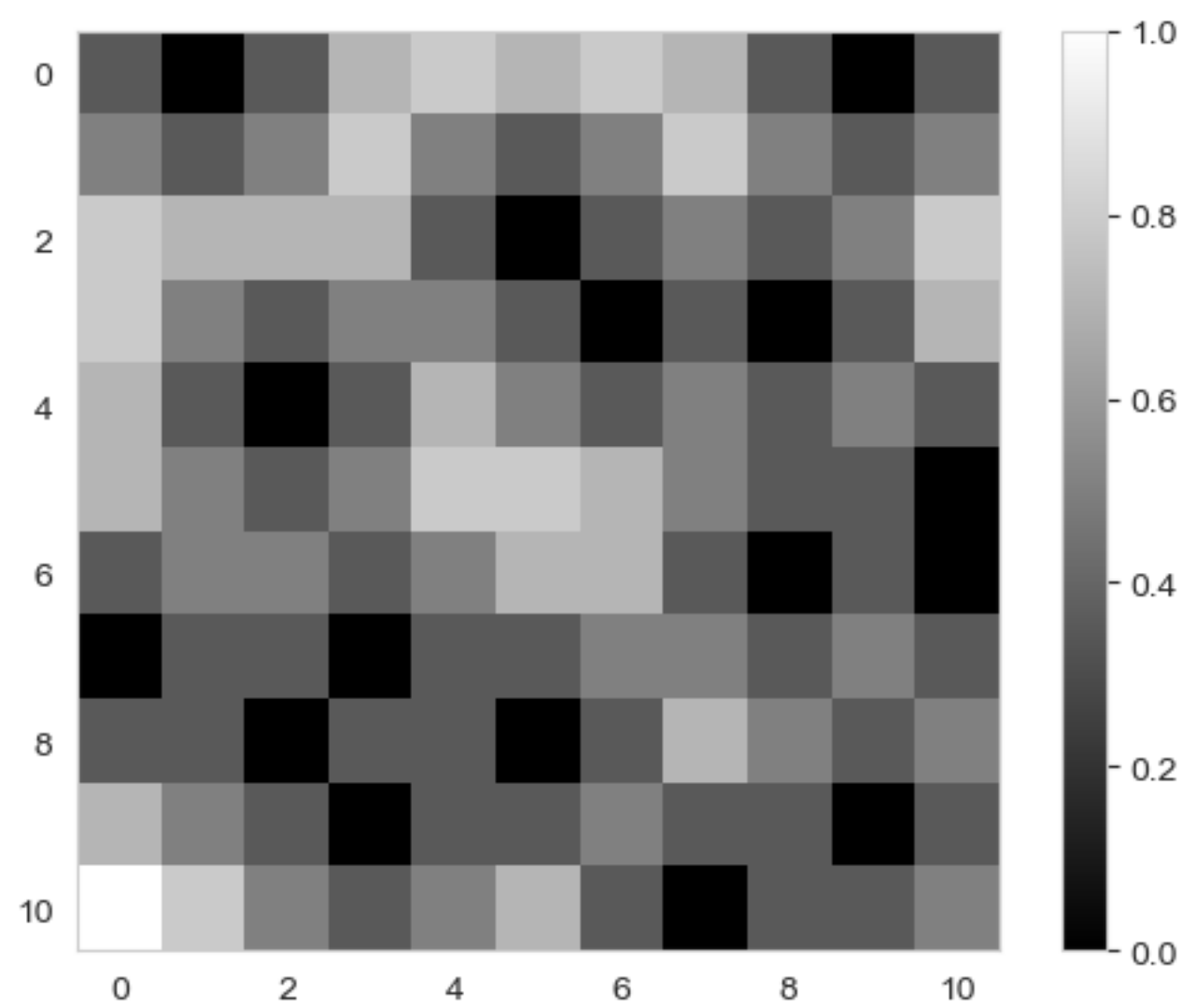
Relu activation

Softmax output

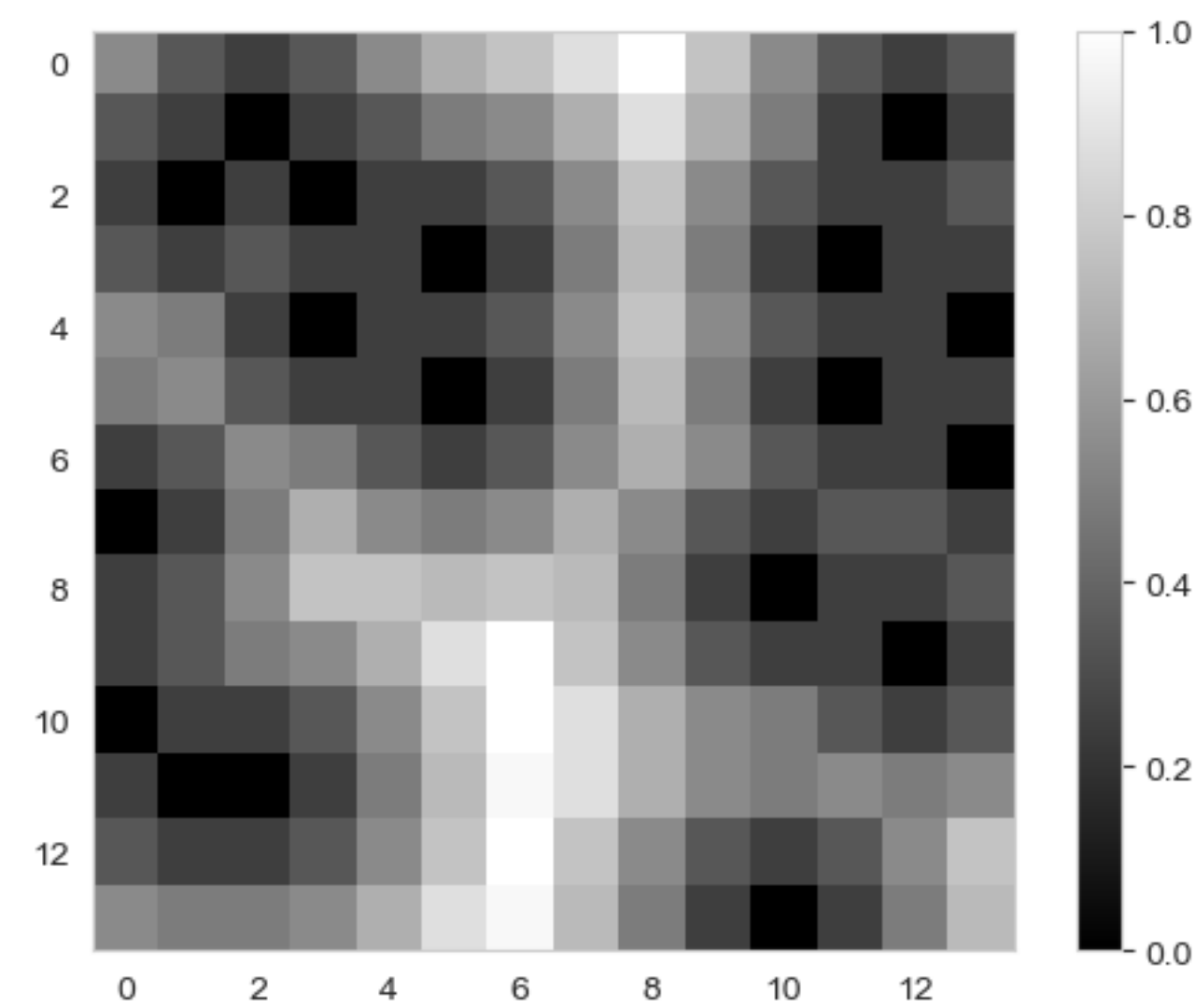
Rewarding more points with no 3 in a line



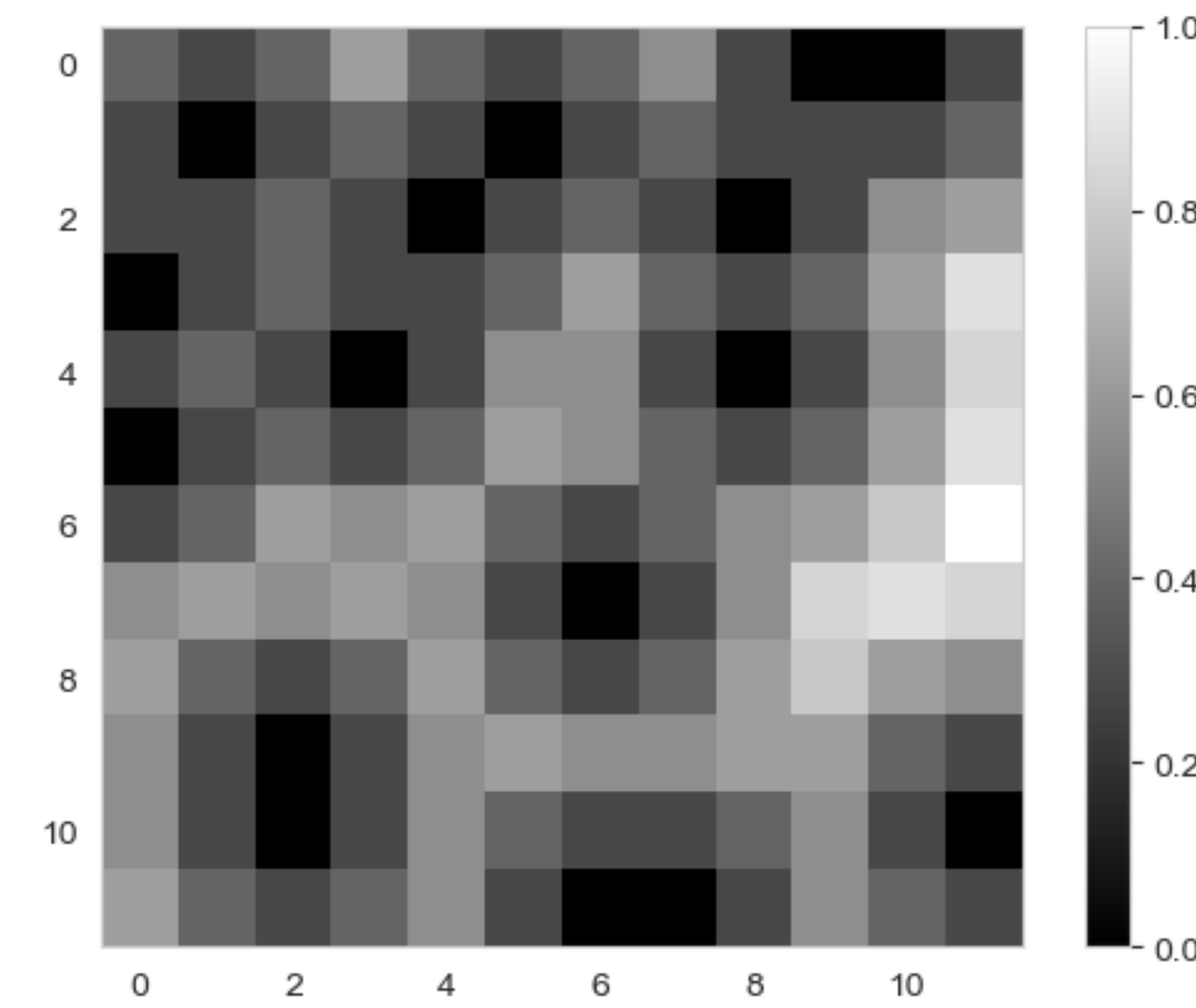
Results so far



Good generations are clustered away from the origin



Bad ones have points near it



Results so far

Results from base RL model

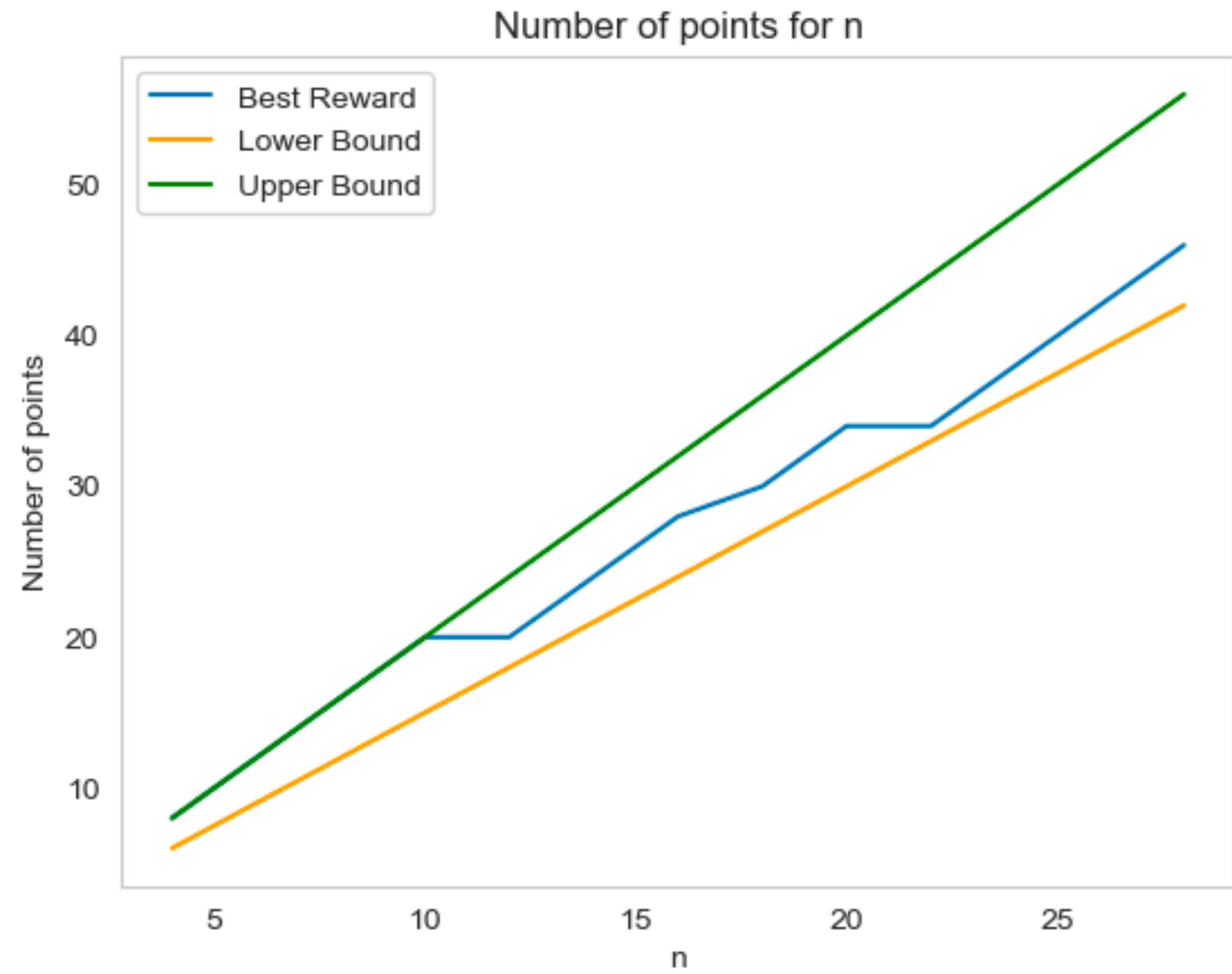
3 hidden layers

(128, 64, 4)

Relu activation

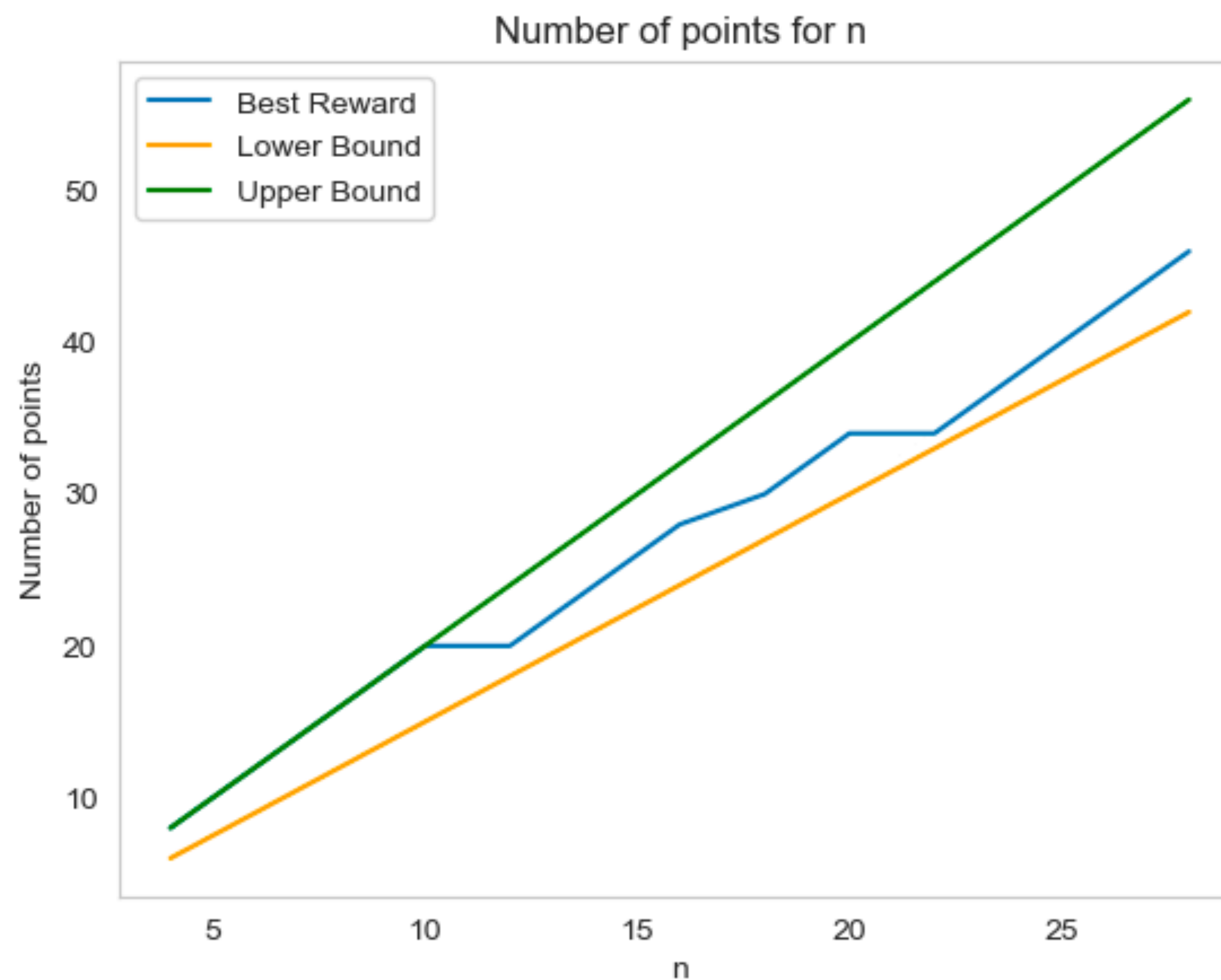
Softmax output

Rewarding more points with no 3 in a line with symmetry



Results so far

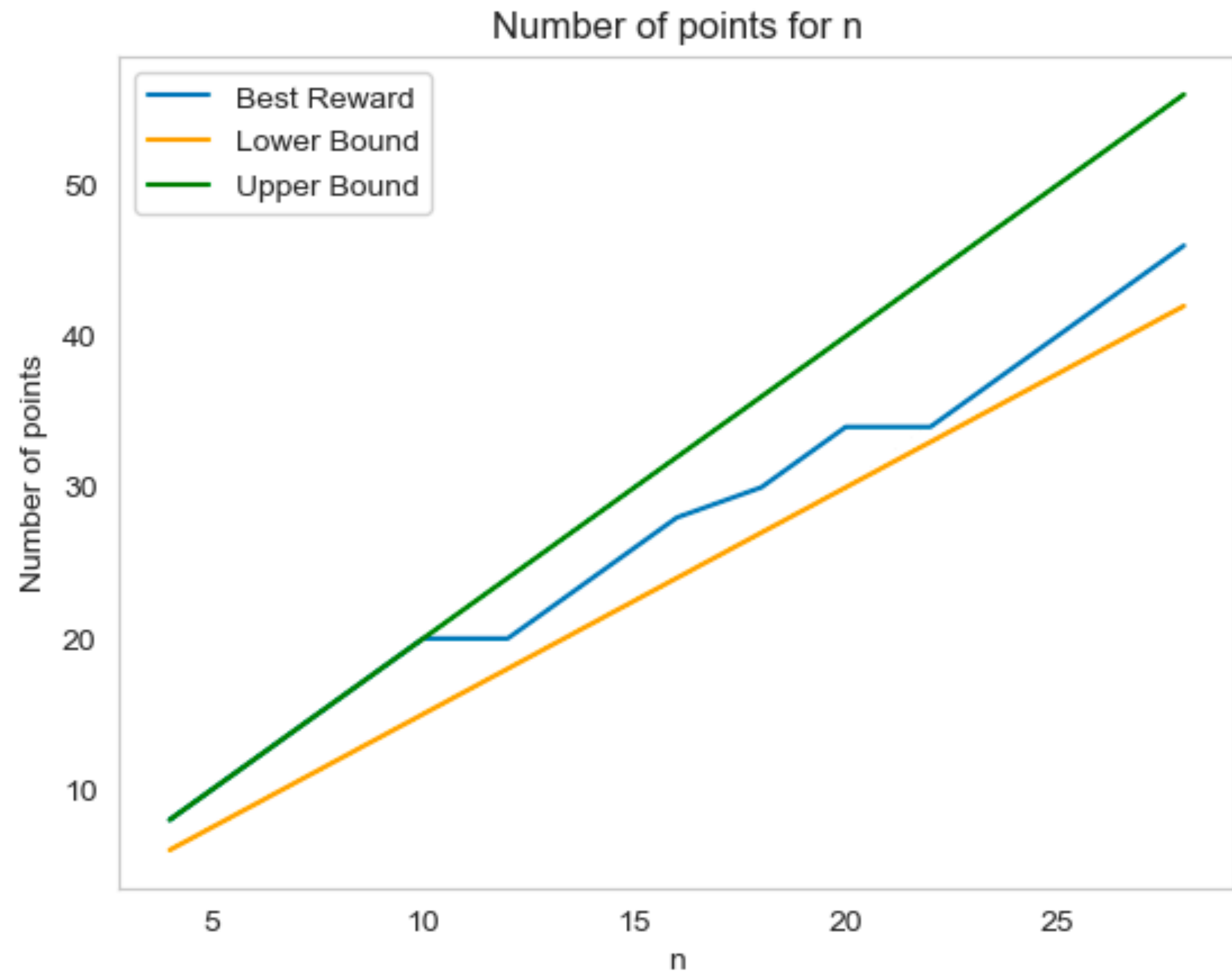
It turns out this is great!



Results so far

It turns out this is great!

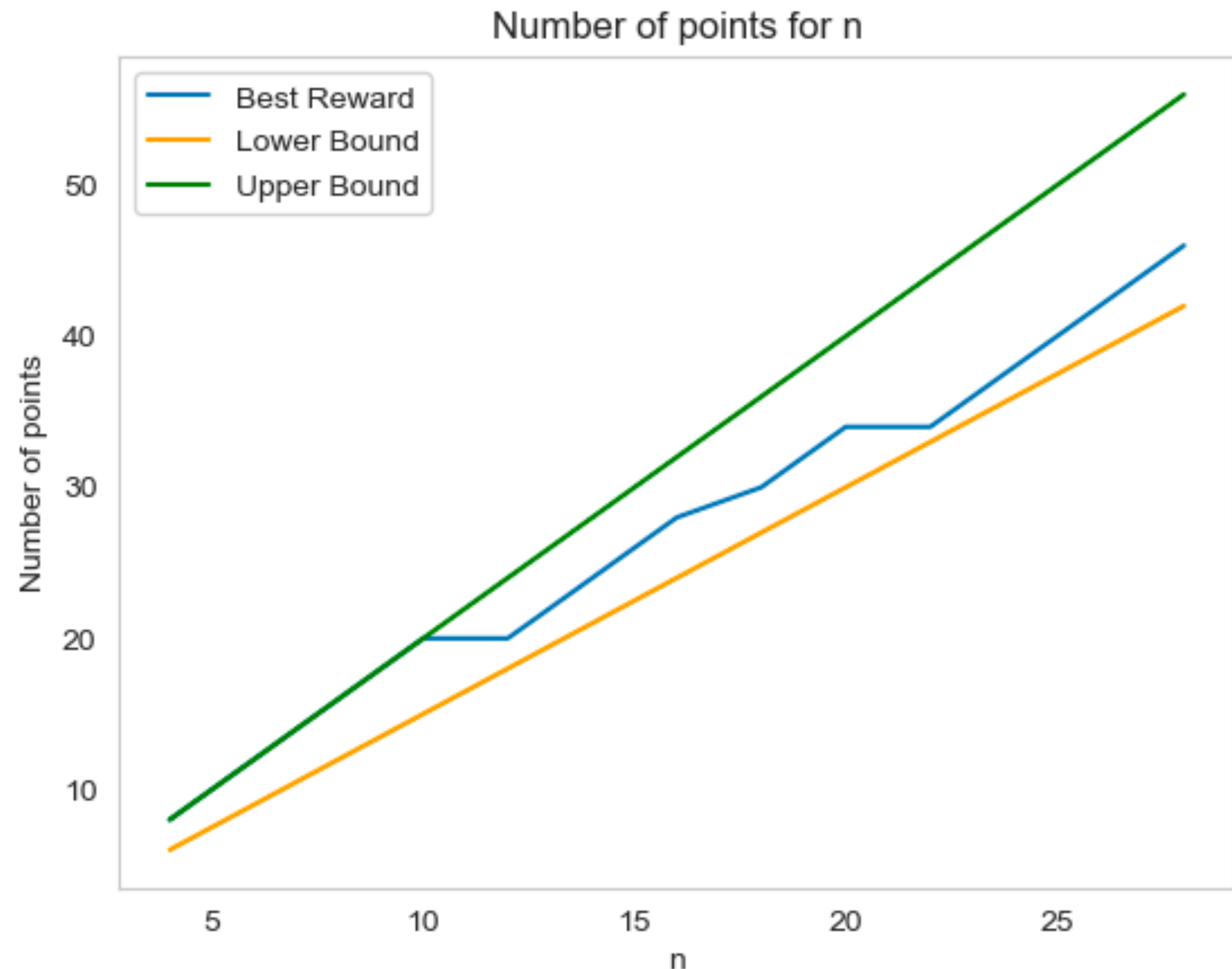
- Finding optimal solutions till $n = 10$.



Results so far

It turns out this is great!

- Finding optimal solutions till $n = 10$.
- There are no $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ symmetric solutions for $n > 10$. So the results from $n = 10$ to 24 are the optimal symmetric solutions ($2n - 4$ points)



Further connections

Connections to what we know:

Further connections

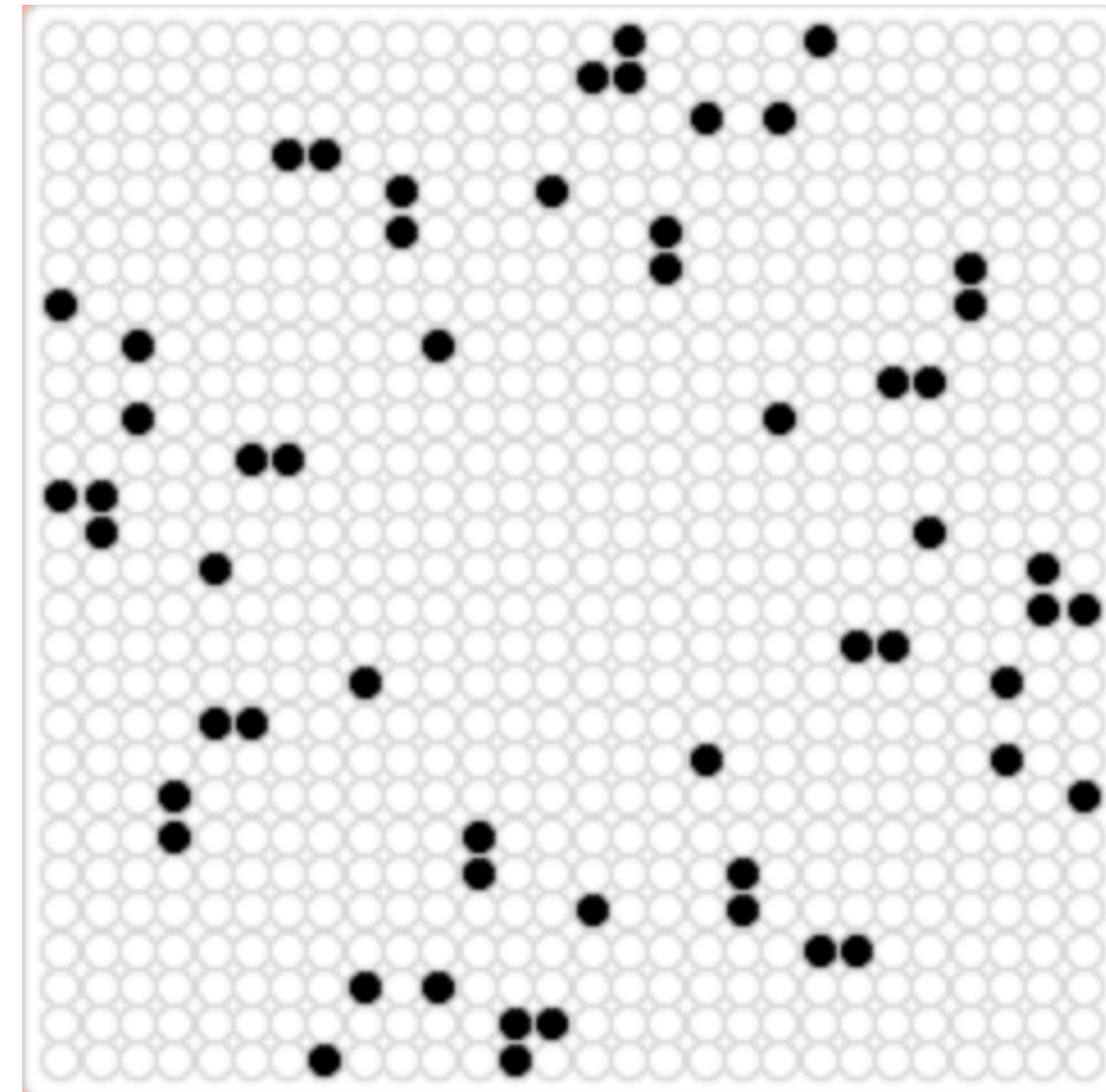
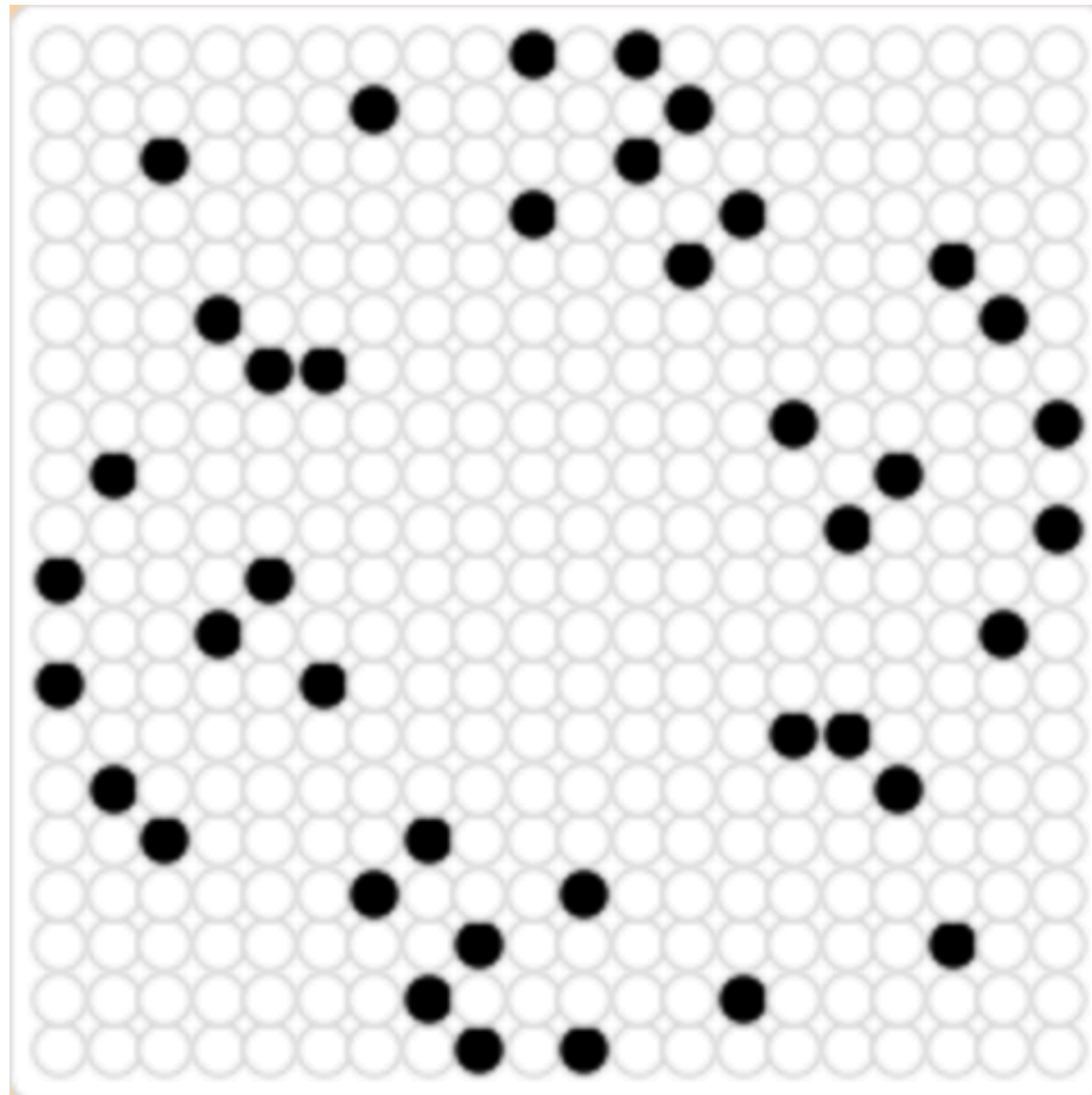
Connections to what we know:

- No symmetric solutions known for more than 10×10 (known until 50×50 grids)

Further connections

Connections to what we know:

- No symmetric solutions known for more than 10x10 (known until 50x50 grids)
- Optimal constructions are very close to being symmetric or just have different symmetries.



Further connections

- Since our model learns symmetric solutions really well, it can probably learn these (currently testing)

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Some open conjectures:

1. Are there any solutions bigger than 10×10 with full symmetry?
2. Is every solution that has vertical and horizontal lines of symmetry fully symmetric including rotationally symmetric?

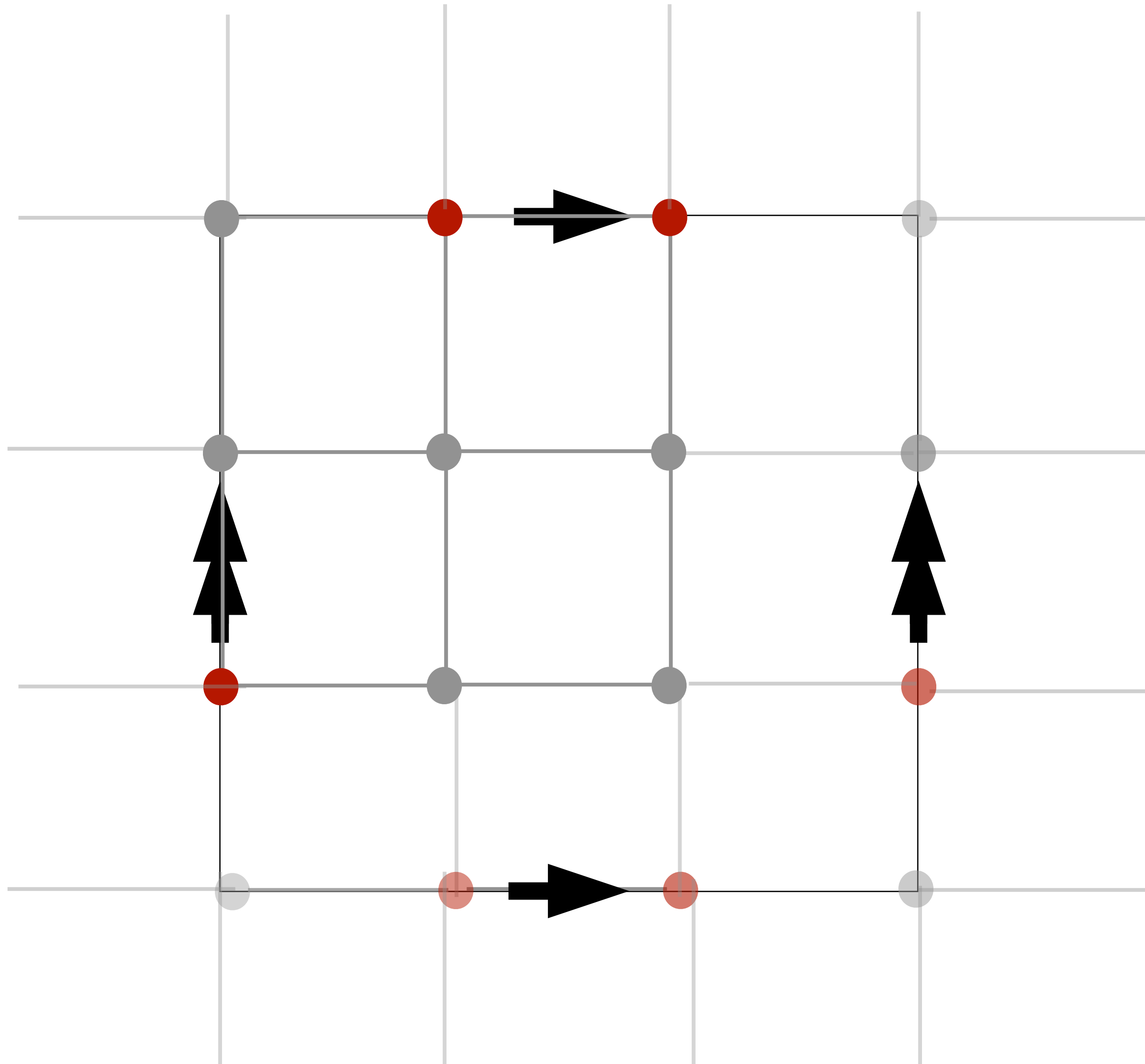
Further connections

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Some open conjectures:

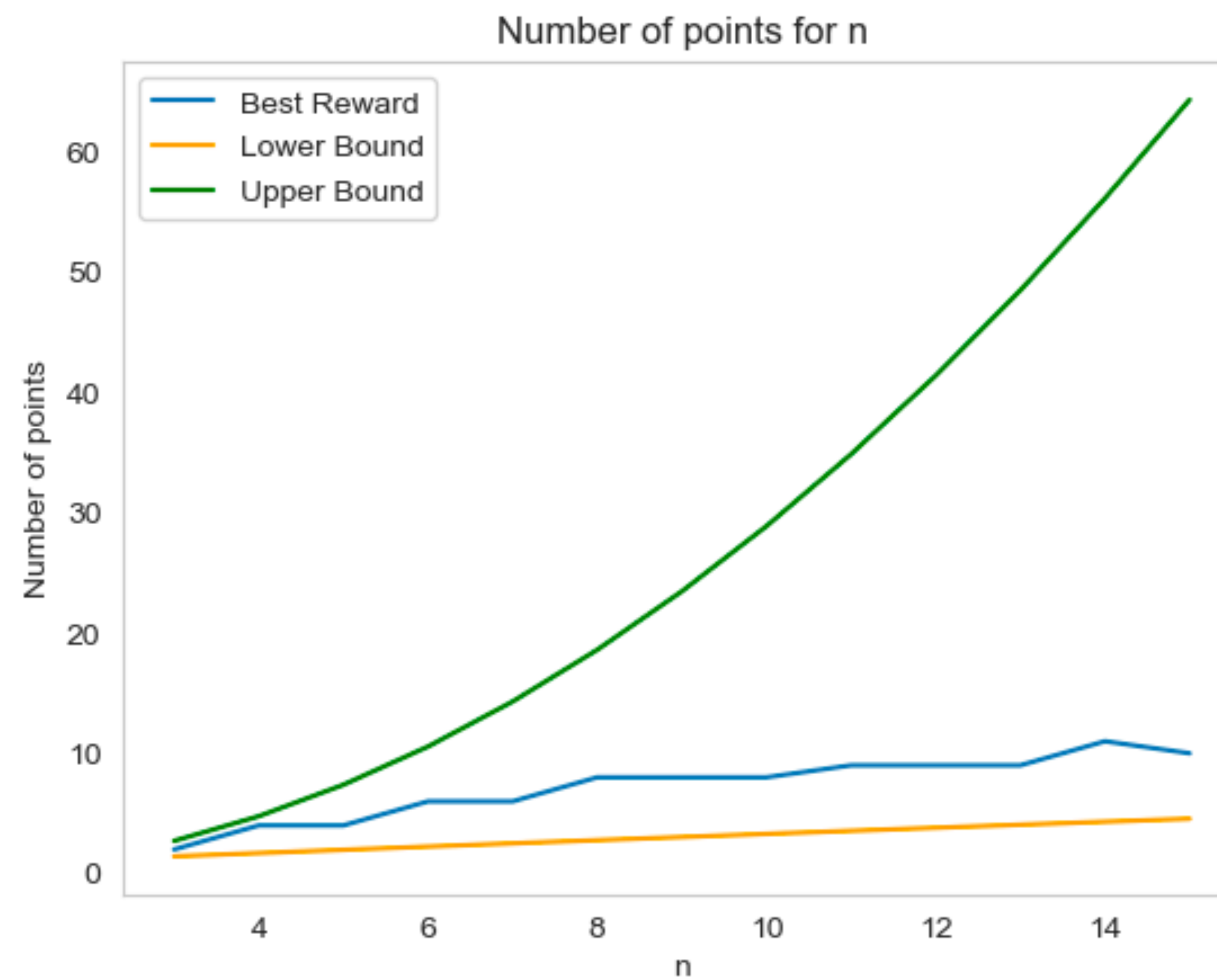
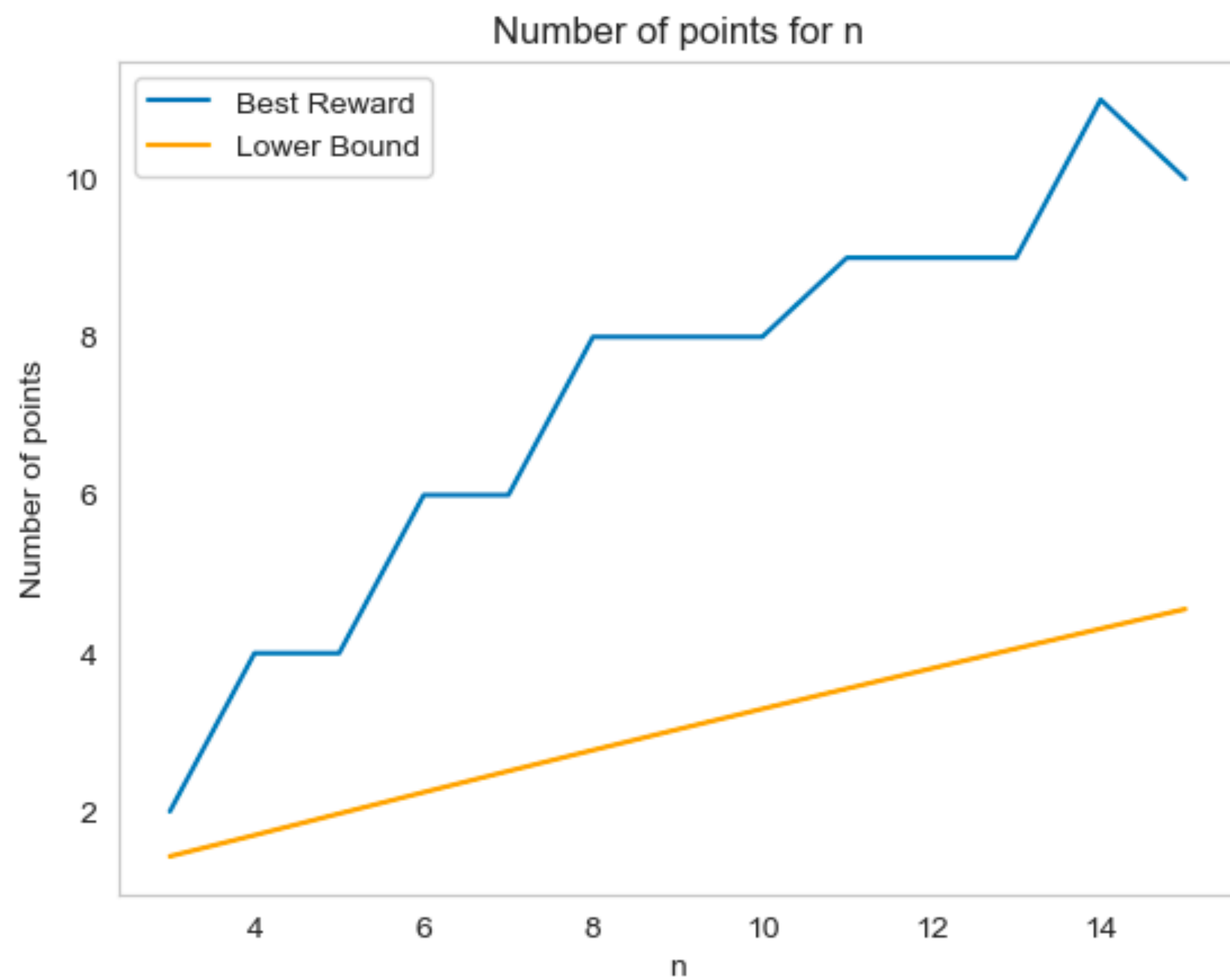
1. Are there any solutions bigger than 10x10 with full symmetry?
2. Is every solution that has vertical and horizontal lines of symmetry fully symmetric including rotationally symmetric?
3. Are there any solutions that have no symmetries for a $>18 \times 18$ board?

Problem 3



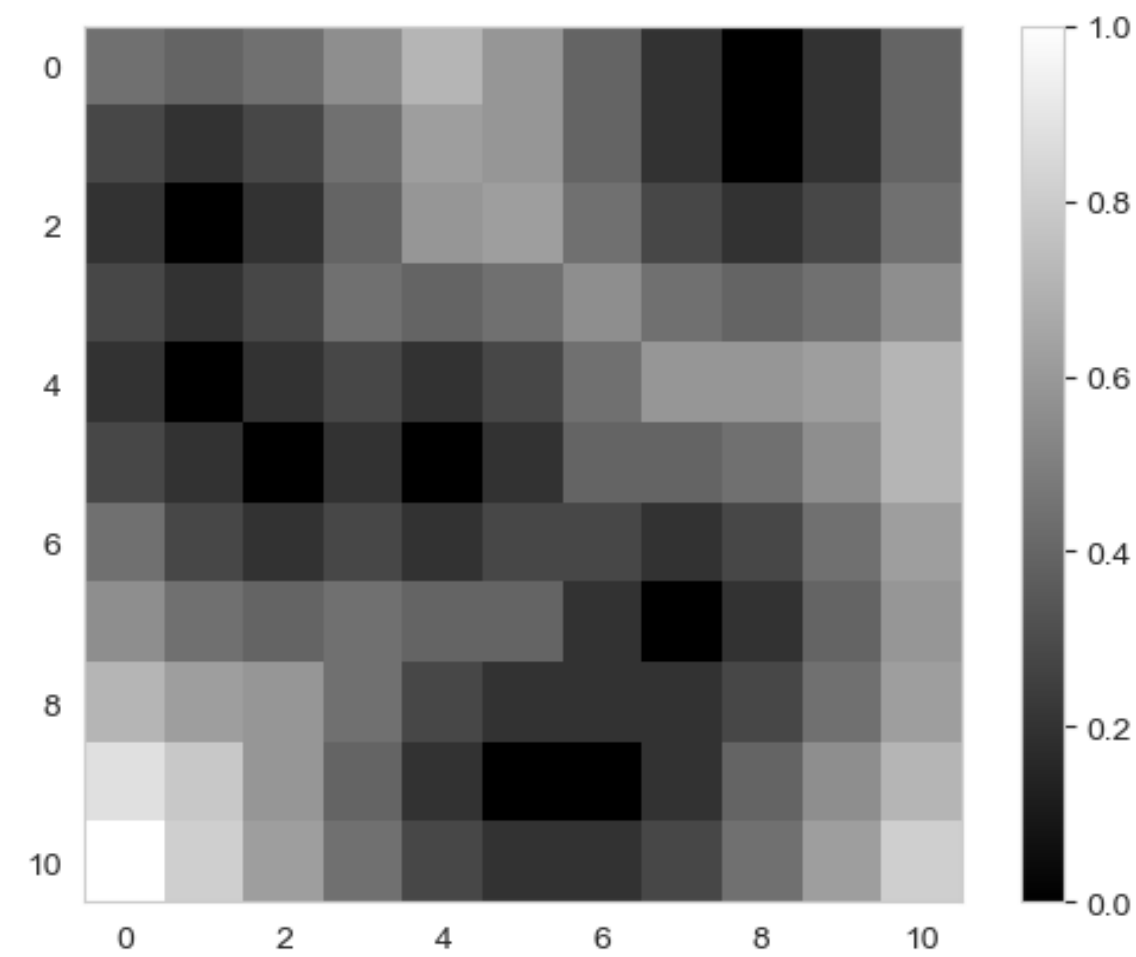
Given an $n \times n$ finite integer lattice, what's the size of the largest subset such that no three points form an isosceles triangle?

Results so far

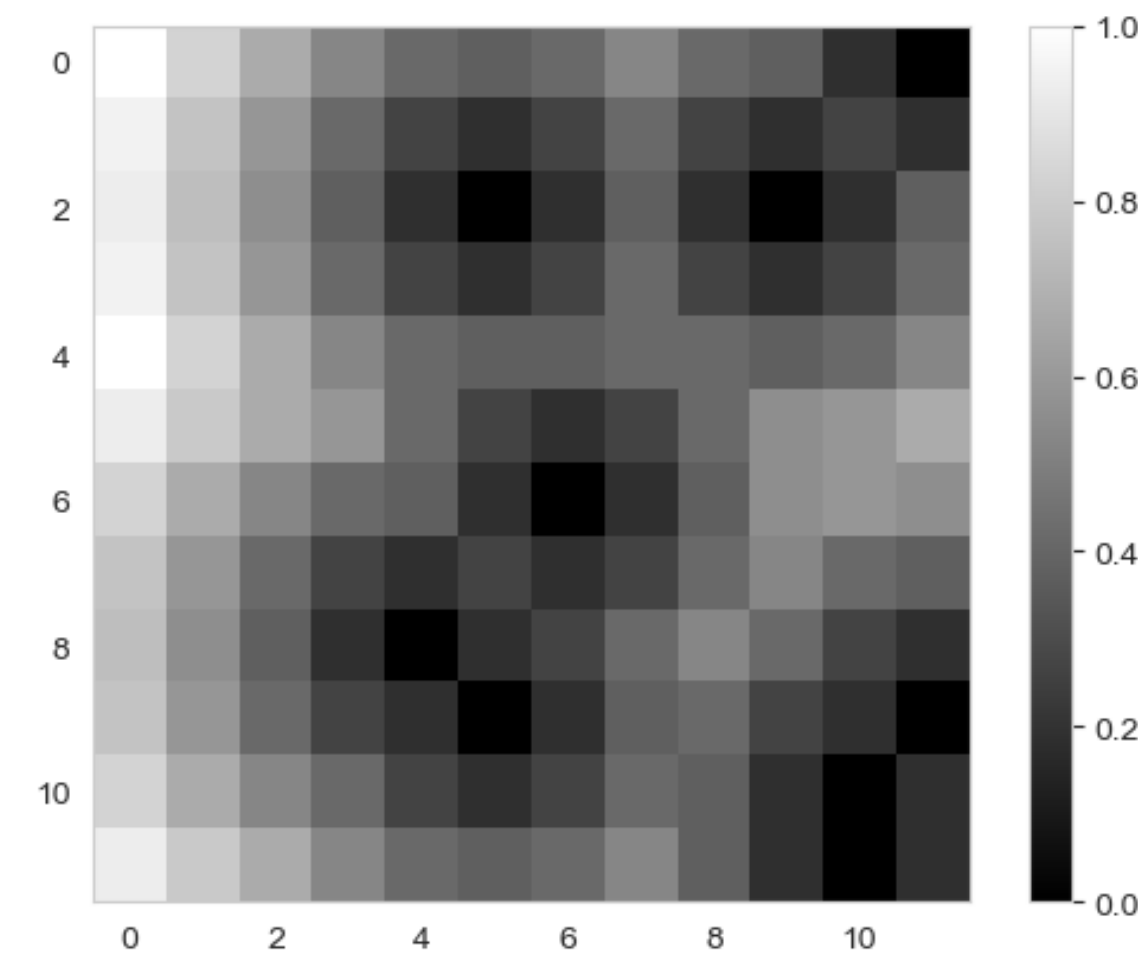


Results so far

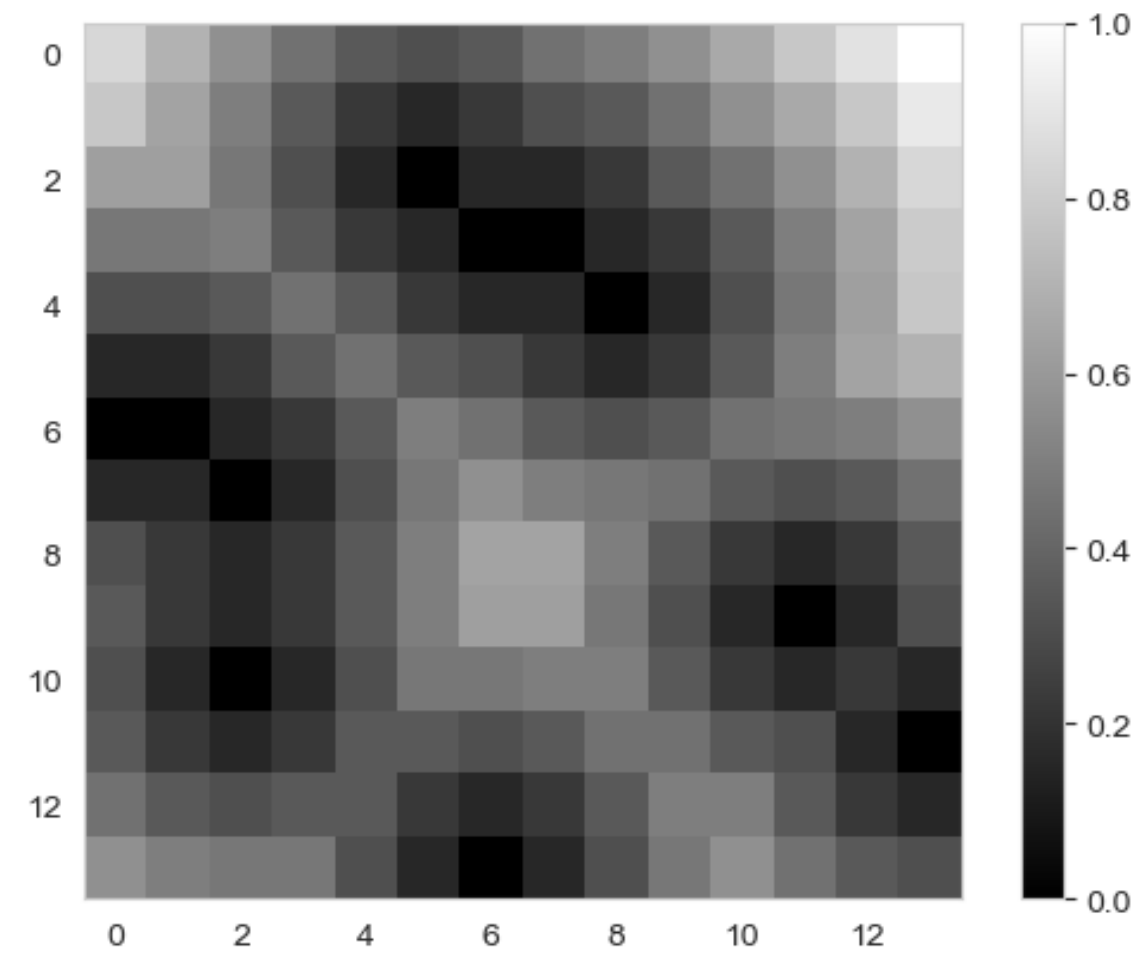
n=10



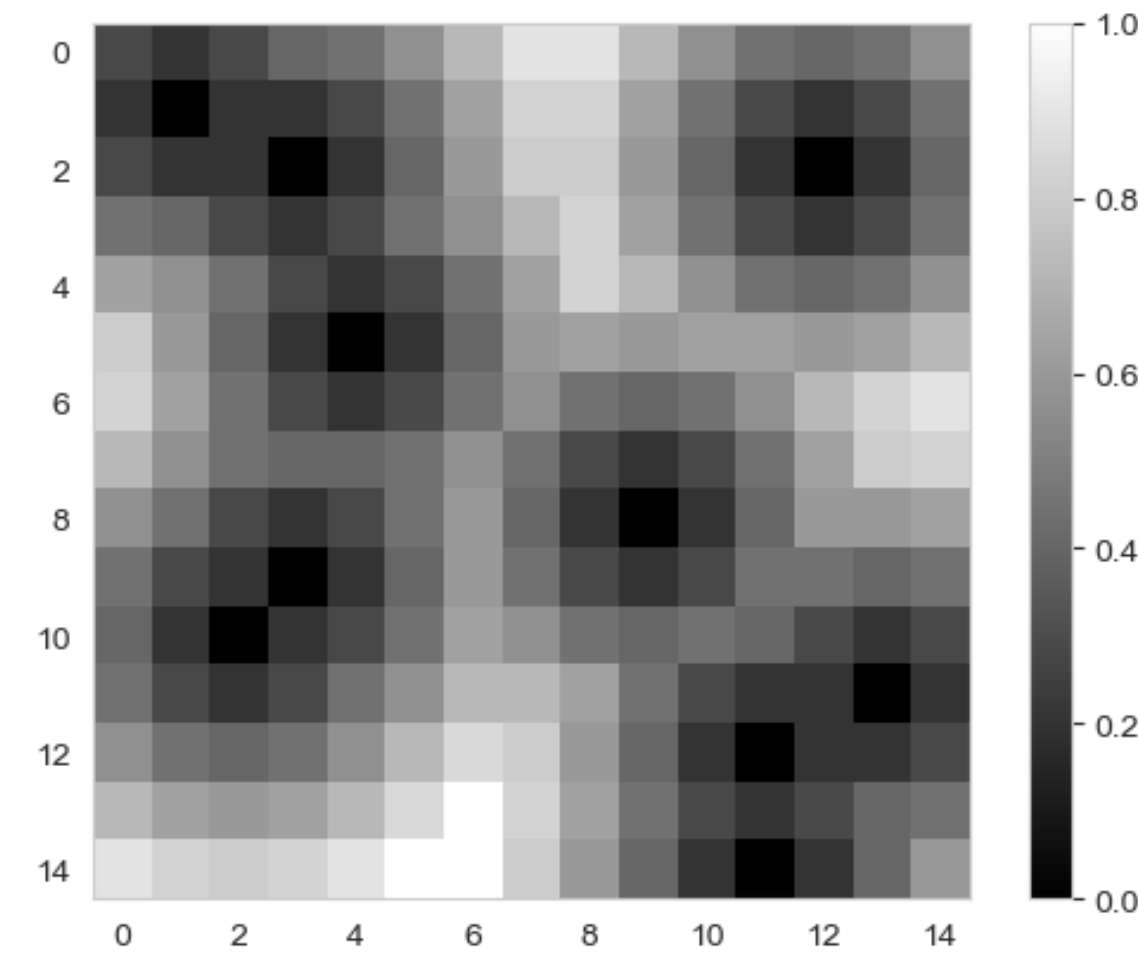
n=11



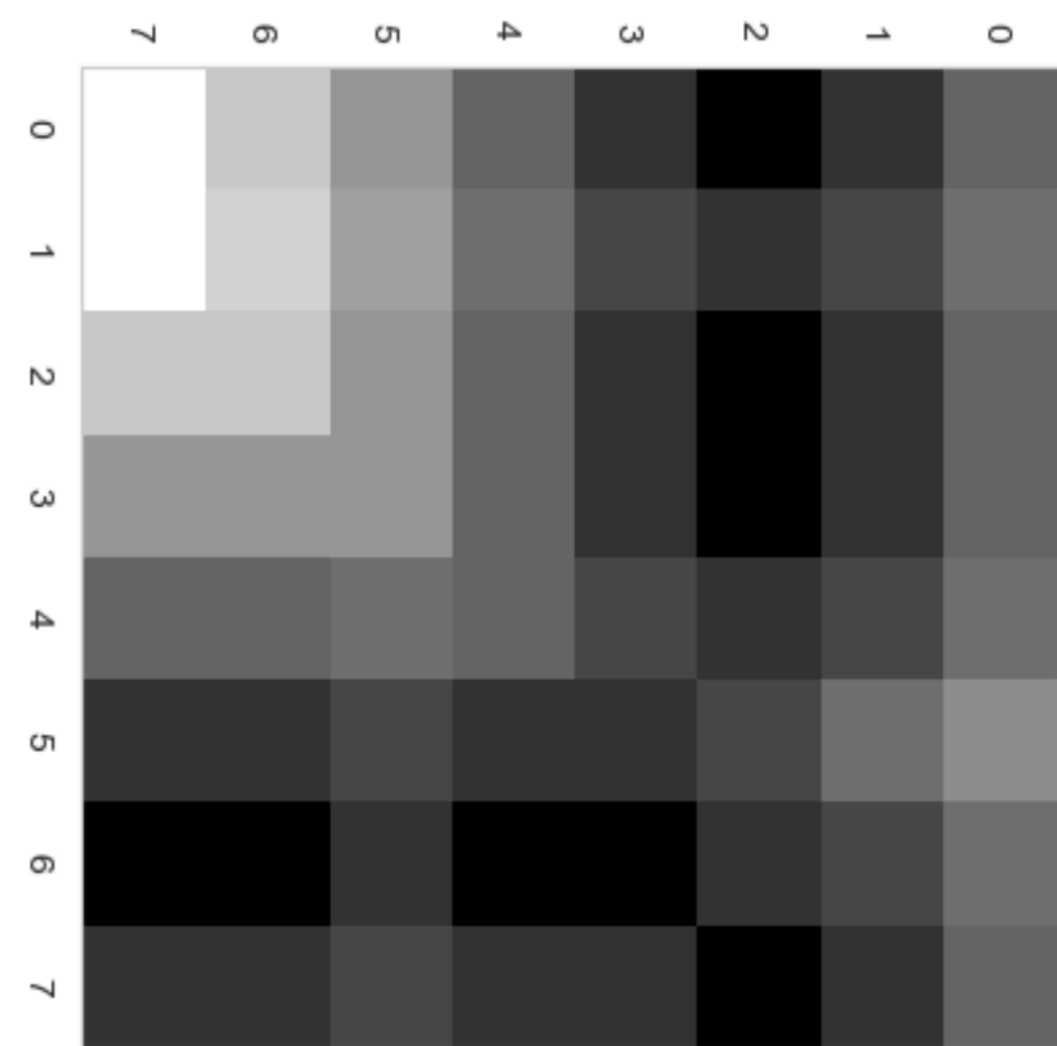
n=13



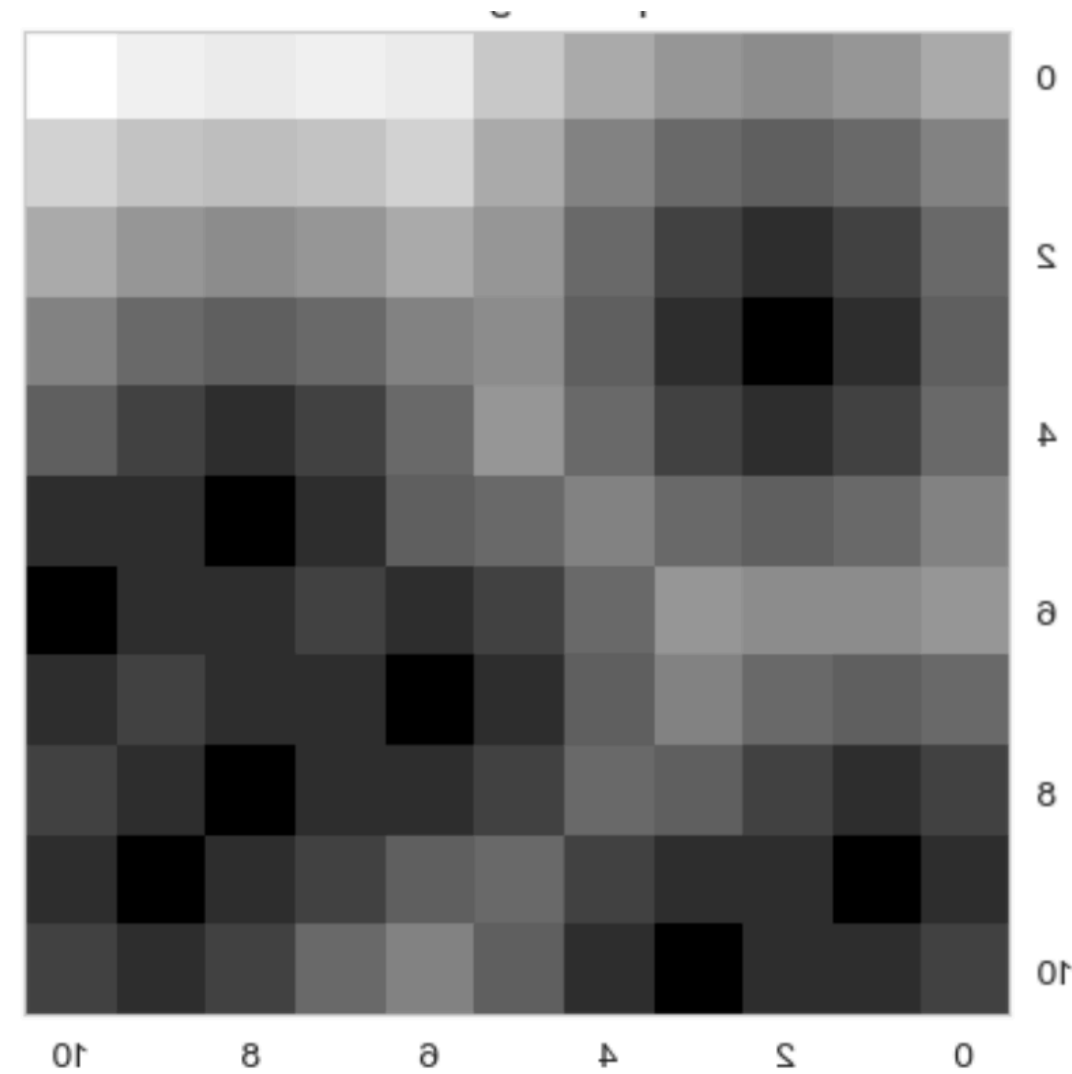
n=14



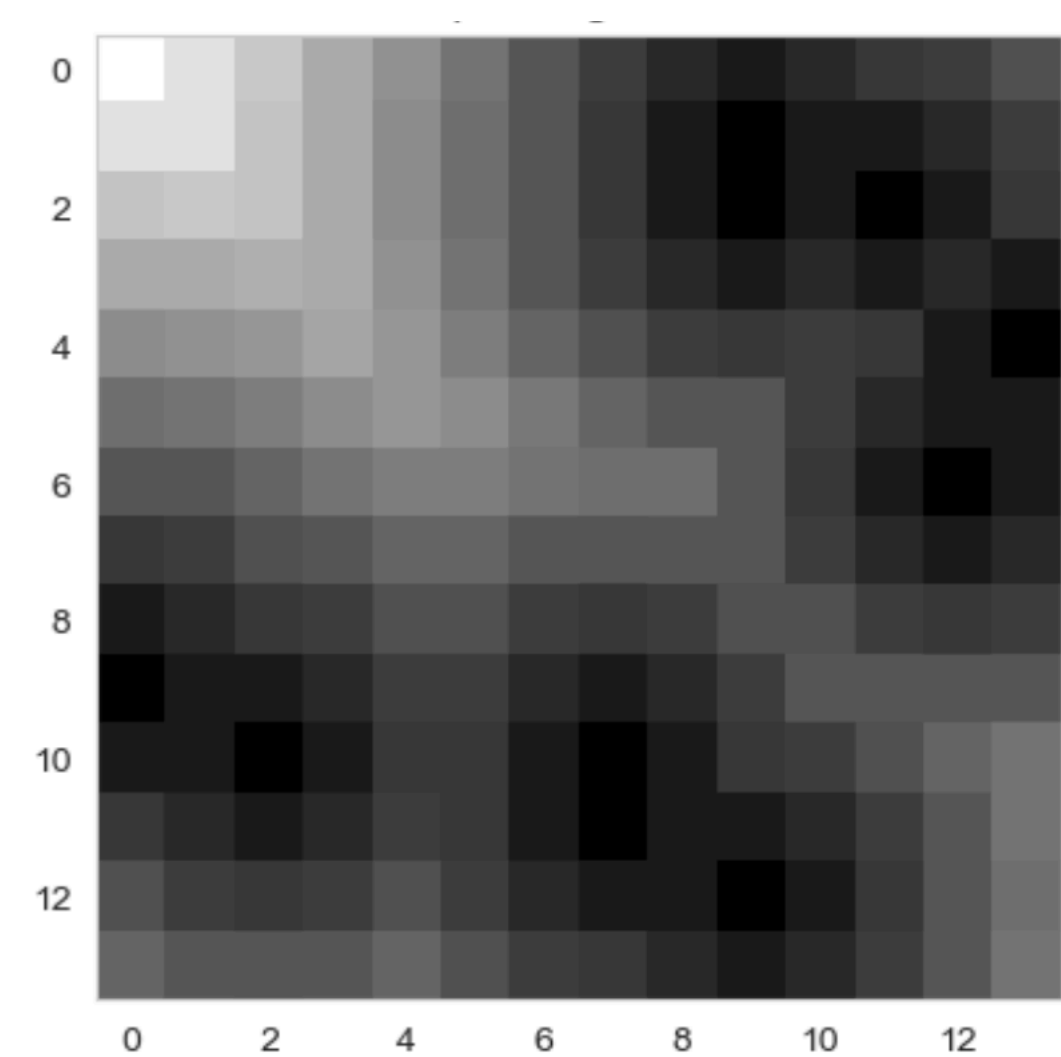
Results so far



$n=8$



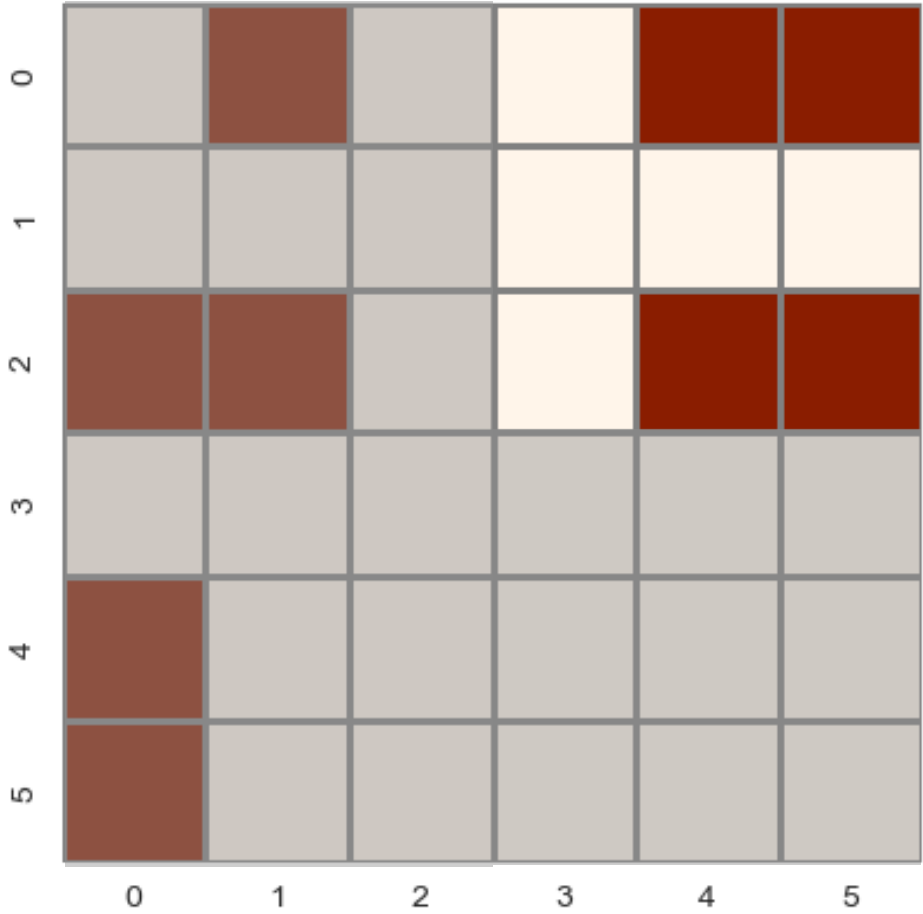
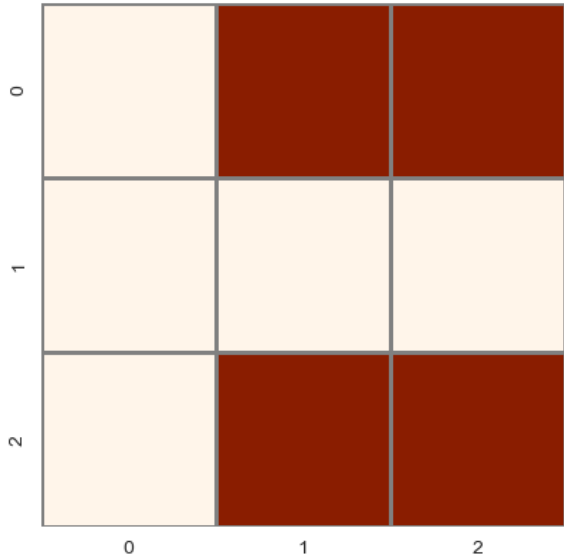
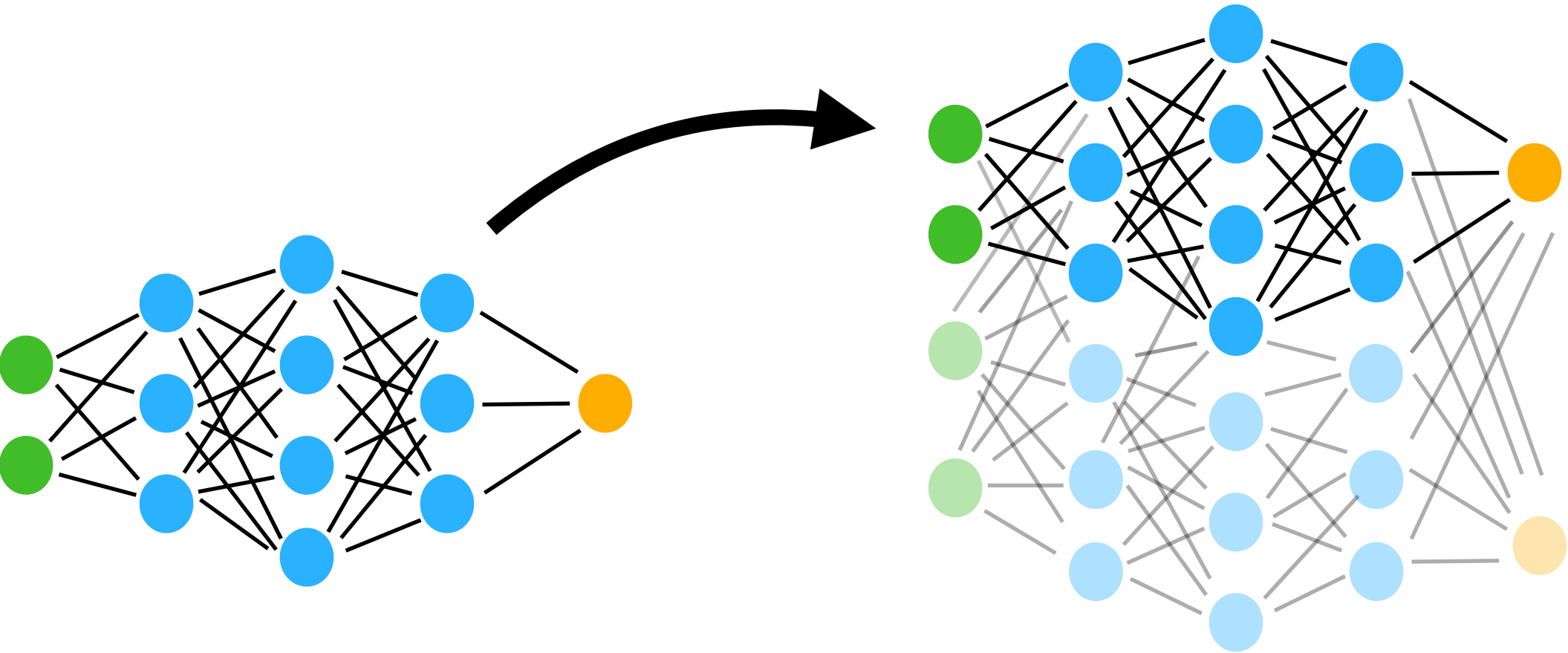
$n=11$



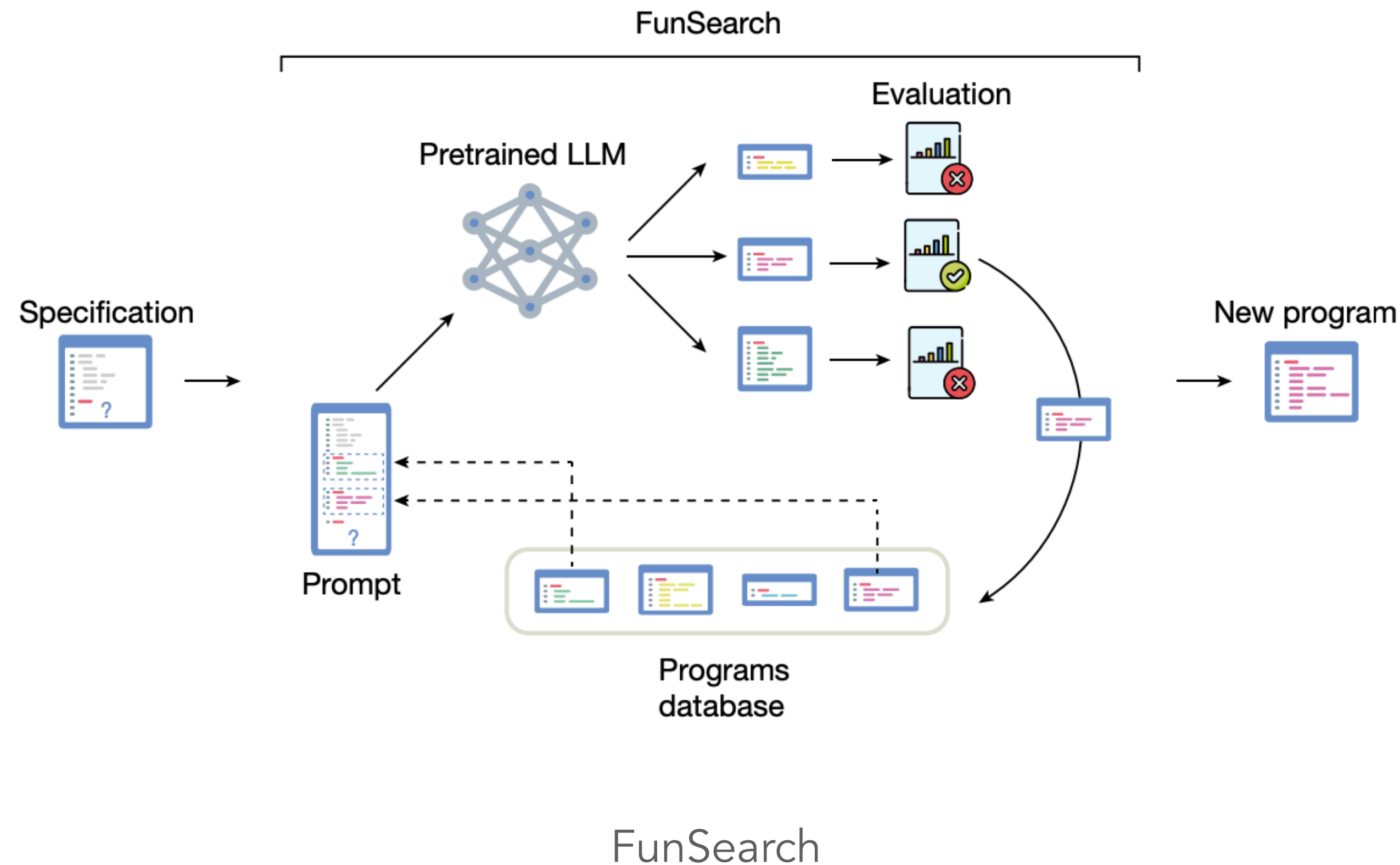
$n=14$

But still not generally true

Future Directions



Future Directions



Uses a large language model instead of a classical neural network

Searches space of generating programs instead of examples

Potentially a way to get more interpretable examples

Future Directions

Discovered function that builds the best known independent sets in C_9^n for $n = 3, \dots, 7$

These independent sets match the best known construction reported by [Matthew & Östergård \(2016\)](#).

```
def priority(el: tuple[int, ...], num_nodes: int, n: int) -> float:
    """Returns the priority with which we want to add `el` to the set."""
    s = 0.
    for i in range(n):
        s += el[i] << i
        s %= num_nodes
    return (2 * el[2] - 4 * el[0] + el[1]) % num_nodes + s
```

Future Directions

Linear Shannon Capacity of Cayley Graphs

Venkatesan Guruswami and Andrii Riazanov

Carnegie Mellon University

Computer Science Department

Pittsburgh, PA 15213

Email: {venkatg, riazanov}@cs.cmu.edu

Abstract—The Shannon capacity of a graph is a fundamental quantity in zero-error information theory measuring the rate of growth of independent sets in graph powers. Despite being well-studied, this quantity continues to hold several mysteries. Lovász famously proved that the Shannon capacity of C_5 (the 5-cycle) is at most $\sqrt{5}$ via his theta function. This bound is achieved by a simple linear code over \mathbb{F}_5 mapping $x \mapsto 2x$.

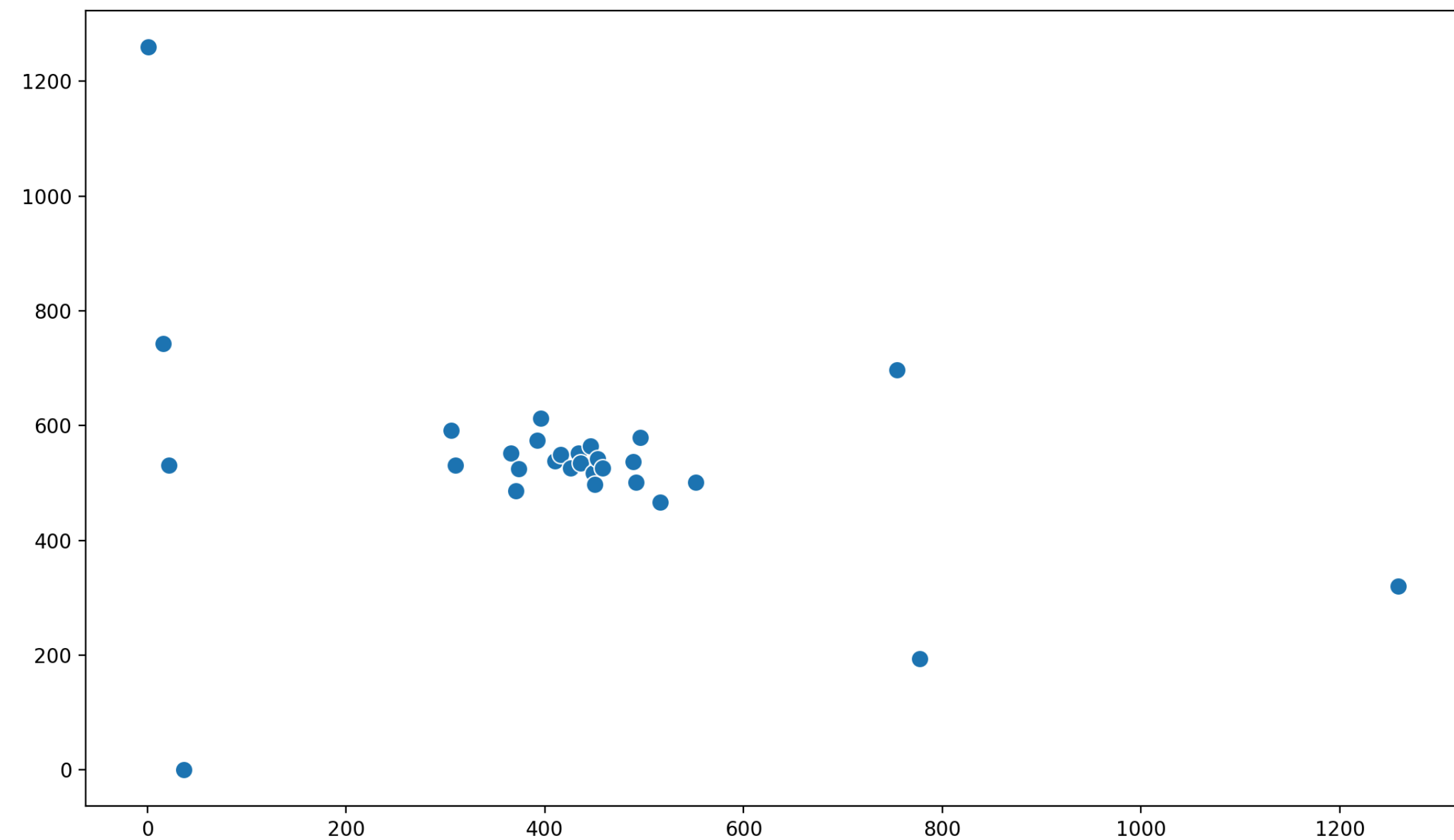
This motivates the notion of *linear Shannon capacity* of graphs, which is the largest rate achievable when restricting oneself to linear codes. We give a simple proof based on the polynomial method that the linear Shannon capacity of C_5 is $\sqrt{5}$. Our method applies more generally to Cayley graphs over the additive group of finite fields \mathbb{F}_q , giving an upper bound on the linear Shannon capacity. We compare this bound to the Lovász theta function, showing that they match for self-complementary Cayley graphs (such as C_5), and that the bound is smaller in some cases. We also exhibit a quadratic gap between linear and general Shannon capacity for some graphs.

C_5 , the famous work of Lovász that introduced the theta function proved that the Shannon capacity equals $\sqrt{5}$ [2].

In coding theory, $\Theta(G)$ captures the zero-error capacity of the channel with confusion graph G . Specifically, consider a coding channel with input set $V = \{1, 2, \dots, n\}$, and let the confusion graph G have V as the vertex set. Further, let $(v, u) \in E(G)$ if and only if the letters v and u might be confused in the transmission (i.e. lead to the same output). Clearly, $\alpha(G)$ captures the maximum size of a set of letters that can be communicated in an error-free manner in a single use of the channel. From the definition of the graph power, it follows that $\alpha(G^k)$ represents the largest set of k -letter words (code) that can be communicated in an error-free manner over k uses of the channel. Therefore, $\Theta(G)$ can be interpreted as the maximal effective number of symbols that can be transmitted per use of the channel, amortized over k uses of the channel in the limit of large k .

Example Problems

Can we upper bound
the number of points in
the real plane
So that no empty
convex-6-gons exist?



Convex Geometry



Karan Srivastava
ksrivastava4@wisc.edu